

# Horizontally acquired genes in early-diverging pathogenic fungi enable the use of host nucleosides and nucleotides

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**Horizontal gene transfer (HGT) among bacteria, archaea, and viruses is widespread, but the extent of transfers from these lineages into eukaryotic organisms is contentious. Here we systematically identify hundreds of genes that were likely acquired horizontally from a variety of sources by the early-diverging fungal phyla Microsporidia and Cryptomycota. Interestingly, the Microsporidia have acquired via HGT several genes involved in nucleic acid synthesis and salvage, such as those encoding thymidine kinase (TK), cytidylate kinase, and purine nucleotide phosphorylase. We show that these HGT-derived nucleic acid synthesis genes tend to function at the interface between the metabolic networks of the host and pathogen. Thus, these genes likely play vital roles in diversifying the useable nucleic acid components available to the intracellular parasite, often through the direct capture of resources from the host. Using an in vivo viability assay, we also demonstrate that one of these genes, TK, encodes an enzyme that is capable of activating known prodrugs to their active form, which suggests a possible treatment route for microsporidiosis. We further argue that interfacial genes with well-understood activities, especially those horizontally transferred from bacteria or viruses, could provide medical treatments for microsporidian infections.**

horizontal gene transfer | Microsporidia | Cryptomycota | thymidine kinase | metabolic networks

Horizontal gene transfer (HGT), or the nonvertical transmission of genetic information between distantly related organisms, is common in bacteria, archaea, and viruses (1–3). The importance and scale of HGT in eukaryotes, however, is a matter of debate (4–7). In particular, HGT into fungi was thought to be rare, but several examples from bacteria into fungi or between fungi have recently been described (5, 8, 9). For example, one phylum of early-diverging fungi, the Microsporidia, has had a handful of HGT events documented (5, 10–12), but these fungi are thought to have acquired relatively few genes through HGT (13).

Microsporidia are obligate intracellular parasites of animals. Their genomes are highly compact, and they have eliminated many core metabolic processes in favor of relying on their host for the synthesis of essential molecules (14). They are opportunistic pathogens that primarily infect immunocompromised individuals, such as AIDS patients and organ-transplant recipients (15). They also infect a number of economically important animals as potent zoonotic pathogens (16). Members of a related early-diverging fungal phylum, Cryptomycota, are also obligate intracellular parasites that infect algae (17), amoeboids (18), and other fungi (19), highlighting the similarity between their lifestyles and their evolutionary affinity.

Prior analysis of microsporidian genomes demonstrated that, like other microbial eukaryotes, this phylum of fungi has obtained multiple genes through HGT (20), which may have provided a portion of the raw material required for adaptation, as seen in other organisms (21). Known HGT events into Microsporidia

include the following: (i) the ADP/ATP translocase gene family, originating from an HGT event that transferred the founding gene from a member of the bacterial phylum *Chlamydia* (10), which are known to steal energy-bearing molecules from their host; (ii) a six-gene folate synthesis pathway transferred into *Encephalitozoon hellem* from multiple donors, a transfer hypothesized to reduce host metabolic stress (11); and (iii) the acquisition of a glutamate-ammonia ligase from an unknown prokaryotic source by *Spraguea lophii*, which is thought to provide spores a mechanism for defense against the ammonia generated by the decomposing flesh in which they are embedded (12). These case studies, the first of which is shared with the Cryptomycota (19), suggest a role for HGT in the evolution of their unusual pathogenic metabolisms and indicate the need for a thorough, systematic analysis of HGT events in these early-diverging fungi.

## Results

To quantify the number of HGT-derived genes in these intracellular parasites, we deployed a high-throughput analytical pipeline to analyze the mode of inheritance of 14,914 genes (Table 1) from sequenced genomes of *Encephalitozoon cuniculi* (22, 23), *E. hellem* (11), *Nosema ceranae* (24, 25), *Nematocida parisii* (26), and *Rozella allomyces* (19), the latter being the sole Cryptomycota genome available (Table S1). Specifically, for each gene, we first generated Alien Index (AI) scores (27) that compared the similarity of the gene between specified ingroup and outgroup taxa (e.g., fungi and

## Significance

**Early-diverging fungi from the phylum Microsporidia are opportunistic pathogens of humans and other animals. A genome-wide search was conducted in four species of Microsporidia and one species of Cryptomycota for genes acquired by horizontal transfer from other organisms. Up to 2% of their genes had strong evidence for horizontal transfer. We showed that many transferred nucleic acid metabolism genes lie on the boundary of the host and pathogen metabolic networks. Finally, we functionally characterized the gene encoding thymidine kinase, whose multiple parallel transfers from three different sources strongly suggest an integral adaptive role in the lifestyles of these intracellular parasites. Microsporidian thymidine kinases activate a prodrug, suggesting a treatment route for microsporidian infections.**

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**Table 1. HGT events among early-diverging fungi**

| Category                           | <i>E. cuniculi</i> | <i>E. hellem</i> | <i>No. ceranae</i> | <i>N. parisi</i> | <i>R. allomycis</i> |
|------------------------------------|--------------------|------------------|--------------------|------------------|---------------------|
| Total genes                        | 1,996              | 1,847            | 2,060              | 2,661            | 6,350               |
| Genes with AI Score                | 1,296              | 1,313            | 1,004              | 1,241            | 4,406               |
| Positive AI genes                  | 594                | 610              | 390                | 576              | 1,240               |
| High-positive AI genes             | 55                 | 64               | 44                 | 58               | 327                 |
| Genes of interest                  | 50                 | 56               | 39                 | 39               | 268                 |
| Ambiguous HGT events               | 15                 | 17               | 11                 | 13               | 73                  |
| Likely HGT events                  | 16                 | 22               | 16                 | 10               | 57                  |
| Likely HGTs with nested topologies | 8                  | 12               | 9                  | 9                | 41                  |
| Range of HGT event proportion, %   | 0.40–1.55          | 0.65–2.11        | 0.44–1.31          | 0.34–0.86        | 0.65–2.04           |

bacteria, respectively; Fig. S1). We chose a more relaxed AI score cutoff than the original study because the relatively small number of genes in these organisms made manual curation of gene trees feasible. Using this AI cutoff, we were able to recover 8 of the 10 previously noted HGT events that fell within the scope of the species surveyed (5, 10, 11, 13, 22, 26, 28, 29); even using our relaxed AI threshold, two previously described HGTs were not identified, in one case narrowly (Table S2).

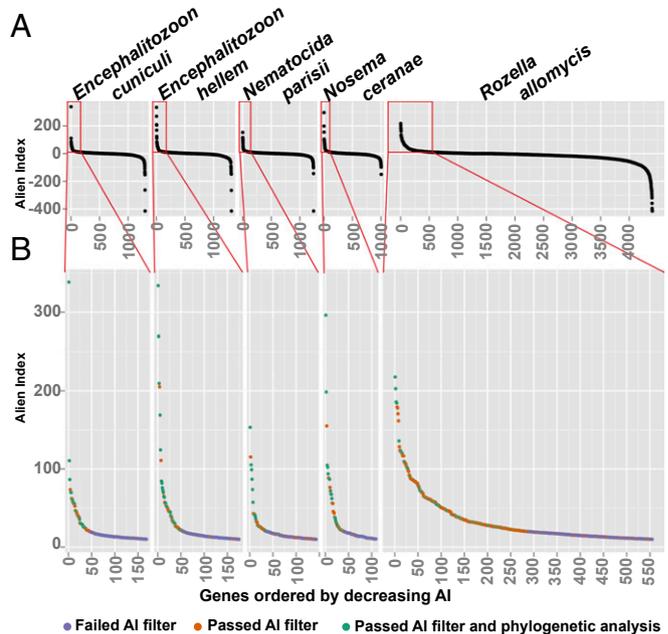
Detection of most, but not all, known HGT events suggests that our methodology is sensitive but conservative. Between 44 and 64 microsporidian genes per genome and 327 *R. allomycis* genes were designated as high-positive AI genes (genes with  $AI \geq 20$  or with  $10 \leq AI < 20$  and no significant BLAST hit to other fungi; Dataset S1). The high-positive AI genes were cross-referenced with Eukaryotic Orthologous Group (KOG), Kyoto Encyclopedia of Genes and Genomes (KEGG), Gene Ontology (GO), and InterPro annotations provided by the Joint Genome Institute MycoCosm Portal (30). Between 39 and 56 microsporidian genes per genome and 268 *R. allomycis* genes had at least one functional annotation and were designated as genes of interest. These genes of interest were analyzed phylogenetically, and 10–22 microsporidian genes per genome and 57 *R. allomycis* genes had strong phylogenetic support for HGT (Table 1, Fig. 1, and Dataset S2). In addition, the majority of these likely transferred genes were nested within well-established higher-order taxa, which further supports their HGT origin. Depending on the stringency of criteria applied, the range of HGT events varied between 0.34% and 2.11% of the total genes present in a genome.

Many of the putatively HGT-derived genes identified in this screen are annotated as aminoacyl-tRNA synthetases, DNA repair enzymes, and nucleic acid anabolic enzymes (Dataset S2). The latter group of genes is particularly interesting because it includes previously characterized HGTs. For example, purine nucleotide phosphorylases (PNPs) and phosphoribosyltransferases (PRTs) are hypothesized to play roles in guanine metabolism in *E. hellem* (11), whereas ADP:ATP translocases transferred from *Chlamydia* are found in all Microsporidia (10). In addition, cytidylate kinase (CK) was previously noted as a possible HGT (5, 26), but its role in Microsporidian biology and its connection to other HGTs is uncharacterized. These, together with the newly identified nucleic acid salvage gene thymidine kinase (*TK*), may enable the harvest of host nucleosides and nucleotides.

Closer examination of the nucleic acid subpathway in the Microsporidia *E. hellem* revealed it to be completely disconnected from the core metabolic network, an observation conserved in the other microsporidians examined (Fig. 2). Thus, many, if not all, Microsporidia are unable to synthesize nucleic acid components de novo and must rely on scavenging free nucleic acid components from the host. Remarkably, each of the HGT-derived genes in the *E. hellem* metabolic subpathway lies on or near the perimeter. The only HGT-derived gene (*PNP*) that is removed by one step coexists with an HGT-derived gene (*PRT*) that directly connects it to the perimeter of the subpathway (11, 29). Thus, each

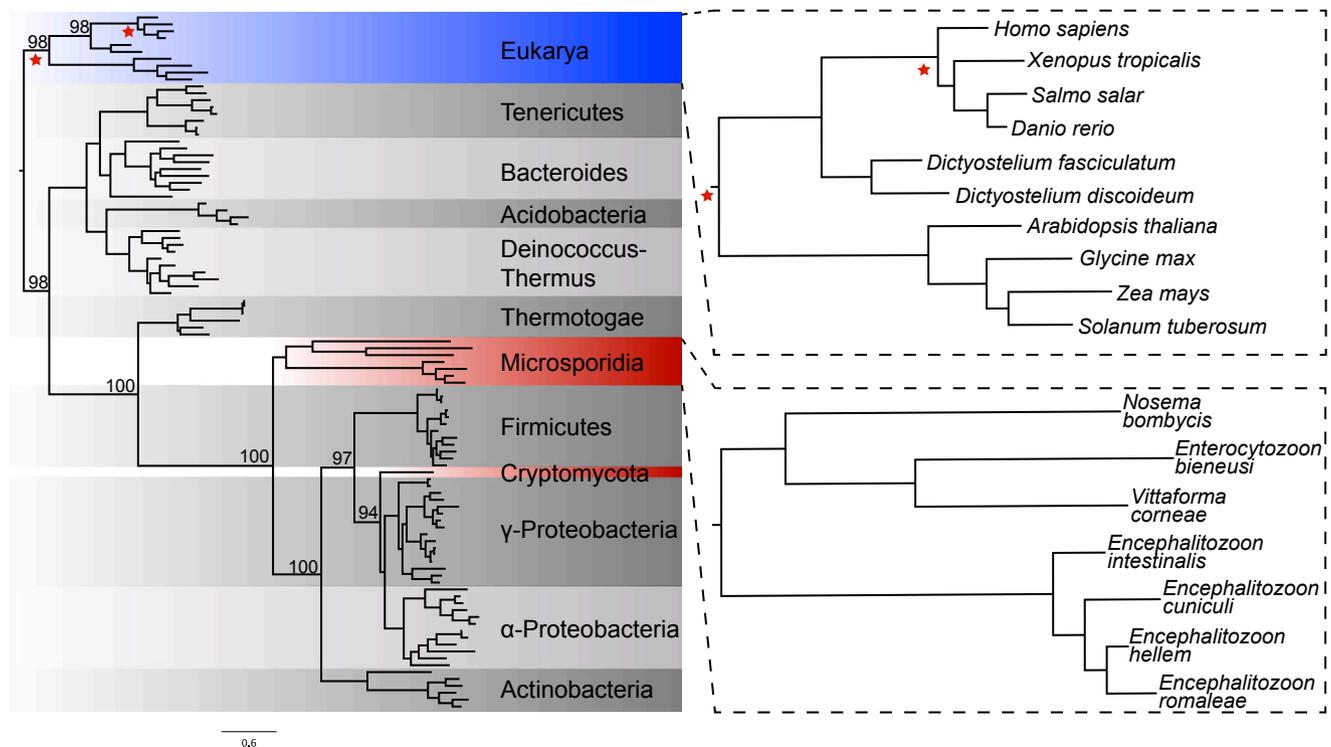
HGT provides a new interface that connects the pathogen's nucleic acid subpathway to the host metabolic network. To test the hypothesis that HGT-derived metabolic nodes tend to lie at the host–pathogen interface, we permuted the *E. hellem* nucleic acid metabolic subpathway, independently evaluating enzymatic steps and genes for the number of steps remaining to the perimeter. We found that the observed subnetwork was among the most extreme permutations ( $P = 0.0027$  and  $P = 0.019$ , respectively; Dataset S3), leading us to focus on the genes at the perimeter of this network.

The transfer of *TK* into microsporidians would enable the direct phosphorylation of thymidine from the host into dTMP for further synthesis by the pathogen, relieving shortages that might be encountered at various stages of the host's cell cycle. In particular, *TK* reduces the need for folate by microsporidians, the ultimate source of which is the diet of the animal host. Folate donates a methyl group to convert dUMP to dTMP, and this particular stress has been invoked previously to explain the horizontal transfer of several genes encoding folate synthesis enzymes into *E. hellem* (11). Interestingly, not all microsporidians possess the bacterial *TK*, such as *Vavraia culicis* and *Trachipleistophora hominis*. Instead, these organisms have retained an ancestral gene encoding a deoxycytidine monophosphate deaminase, which



**Fig. 1.** Plots of the AI for five early-diverging fungal eukaryotes. (A) AI scores were calculated for every gene in the five genomes analyzed, and they were ordered by decreasing AI score. (B) Genes with an AI score  $\geq 10$  were plotted with colors corresponding to whether they passed or failed the AI score or phylogenetic filters (see main text).





**Fig. 3.** TK has been transferred from bacteria into Microsporidia and Cryptomycota by independent HGT events. Top BLASTP hits for *E. cuniculi*, *No. ceranae*, and *R. allomycis* TK were aligned along with TK sequences from representative eukaryotes and other bacterial phyla, and the resulting alignment was used to infer a maximum-likelihood phylogeny. Red stars indicate the location on the tree where likelihood-ratio tests were performed to evaluate the relationship of the sequences in question with Animalia or other Eukarya representatives. Bootstrap values for key nodes are noted. The *N. parisii* TK results from a third independent HGT, but is absent from the phylogenetic tree because of its distinctive viral domain structure and amino acid sequence (Fig. S2). Note that the *No. ceranae* TK has also been excluded due its long branch length (Dataset S2), but *Nosema bombycis* is shown.

bacterial CK prefers CMP or dCMP as a substrate, whereas the UMP/CMP kinase found in animals and fungi prefers CMP or UMP as a substrate (44, 45). Because Microsporidia possess the bacterial CK, they may be much more susceptible to dCMP analogs than their host cells, a treatment strategy that has been used against HIV (46). Many other pathogenic fungi that infect animals, such as *Aspergillus fumigatus*, have also acquired an appreciable number of genes through HGT (47), including entire secondary metabolism gene clusters (48). Using HGT events to identify novel candidate drug targets may thus prove a promising general strategy across diverse clades of pathogenic fungi and other recalcitrant pathogens whose physiology is similar to humans.

## Methods

**AI Analysis of Early-Diverging Fungal Genomes.** All predicted proteins from five early-diverging fungal genomes (Table S1) were queried by using BLAST (E-value = 0.001, max target seqs = 1,000) against a custom database consisting of the National Center for Biotechnology Information's (NCBI's) nonredundant protein database (last updated November 24, 2014), as well as additional

protein sequences from 411 fungal and plant genome assemblies (Dataset S5). These additional genomes served to increase the representation of close relatives to microsporidians in the database, which is critical when searching for HGTs based on relative BLAST scores. They also allowed the taxonomic extent of HGTs into the Microsporidia to be assessed without risking false positives that could be caused by genomes of variable quality (e.g., bacterial contamination). An AI approach was used to screen these genomes for genes with significantly better BLAST hits to distantly related organisms (e.g., metazoans or bacteria) than to closely related ones (e.g., other fungi) and thereby identify HGT candidates. Two taxonomic lineages were first specified: the recipient lineage into which possible HGT events may have occurred (i.e., Microsporidia or Cryptomycota, depending on the query) and a larger group of related taxa (i.e., Fungi). When parsing the BLAST output, all hits to the recipient lineage were skipped. The AI score is given by the formula:

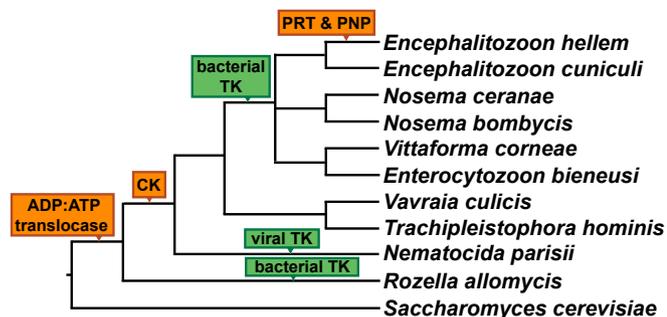
$$AI = (\ln(bbhG + 1 \times 10^{-200}) - \ln(bbhO + 1 \times 10^{-200})),$$

where *bbhG* is the E-value of the best BLAST hit to a species within the GROUP lineage (i.e., the best nonmicrosporidian or, in the case of *R. allomycis*, noncryptomycotan fungal match) and *bbhO* is the E-value of the best blast hit to a species outside of the GROUP lineage (i.e., the best nonfungal match).

**Table 2. Likelihood ratio tests support two independent transfers into Microsporidia and Cryptomycota**

| Description                              | Likelihood    | Difference  | P value  |
|--|---------------|-------------|----------|
| Best tree from Fig. 3                    | -23,232.36221 | n/a         | n/a      |
| <i>Rozella</i> and Microsporidia grouped | -23,276.41079 | -44.048579  | 5.57E-07 |
| Microsporidia sister to Animalia         | -23,354.81342 | -122.451214 | 2.36E-23 |
| Microsporidia sister to Eukarya          | -23,314.16858 | -81.80637   | 5.89E-15 |
| <i>Rozella</i> sister to Animalia        | -23,438.13523 | -205.773023 | 1.15E-46 |
| <i>Rozella</i> sister to Eukarya         | -23,349.71471 | -117.352501 | 2.40E-27 |

n/a, not applicable.



**Fig. 4.** Schematic of nucleic acid metabolism HGT events in the Microsporidia and Cryptomycota. A cladogram of representative members of early-diverging fungi highlighting HGT-derived genes that function in the nucleic acid subpathway. Green boxes denote three independent horizontal transfers of TK, while orange boxes denote other horizontal transfers of nucleic acid metabolism genes (10, 11, 27).

In cases where there were no significant BLAST hits, the corresponding *bbhG* or *bbhO* was set to 1. AI can range from 461 to  $-461$ , and AI is greater than zero if the gene has a better BLAST hit to a species outside of the group lineage. Contamination in the genome assembly could also result in a positive AI score; therefore, any assembly contigs containing only genes with positive AI scores were flagged as potential contamination and eliminated from the analysis.

**Systematic Identification of Likely HGT Events.** Genes with  $AI \geq 20$  or genes with  $20 > AI \geq 10$  and with no significant BLAST hit to other Fungi, were classified as high-positive AI genes. These genes were cross-referenced with KOG, KEGG, GO, and InterPro annotations provided by the Joint Genome Institute (30), and all genes with at least one functional annotation were classified as genes of interest and analyzed by using a phylogenetic pipeline (Fig. S1). For each HGT candidate, a Perl script based publicly available software (49) extracted up to 400 homologs from the custom database (referenced above) based on BLAST similarity, allowing up to five orders of magnitude difference between query and subject lengths, and extracting up to five sequences per species. To reduce the number of sequences per gene tree, highly similar sequences were collapsed with CD-HIT using default parameters (50). Sequences were aligned with MAFFT by using the E-INS-i strategy (51). The resulting alignment was trimmed with TRIMAL by using the automated1 strategy (52). All genes with trimmed alignments  $<100$  amino acids were discarded. Phylogenetic trees were constructed by using RAxML (53) with the PROTGAMMAAUTO amino acid model of substitution and 100 bootstrap replicates. Trees were midpoint-rooted, and branches with  $<50\%$  bootstrap support were collapsed by using TreeCollapseCL4 ([emmahdcroft.com/TreeCollapseCL.htm](http://emmahdcroft.com/TreeCollapseCL.htm)). The resulting phylogenies were manually inspected to assess each gene's mode of transmission. First, the presence or absence of fungal BLASTP hits was determined. Second, the nearest neighbor node to the query was identified as prokaryotic, eukaryotic, opisthokont, and/or fungal (multiple nearest neighbors are possible because poorly supported nodes were collapsed). Next, if the nearest node was opisthokont, the likelihood of parallel loss to explain the topology was assessed. Finally, we noted whether the query was nested within a well-established alien clade. A gene's mode of transmission was labeled as a likely HGT event if and only if its phylogeny was inconsistent with the organismal phylogeny (19) and any parallel-loss scenario (e.g., a protein sequence from *E. cuniculi* being nested within a clade of Proteobacteria instead of sister to the Fungi). If a phylogeny could be explained by simple parallel loss or any mechanism other than horizontal transfer, its mode of transmission was labeled as unlikely HGT. If the phylogeny provided no clear support for either vertical or horizontal transmission, its mode was labeled as ambiguous. Finally, some phylogenies were labeled as "no call" because they lacked an

interpretable topology (e.g., "star phylogeny"). All phylogenetic trees and calls are available in Dataset S2.

**Permutation Analyses of the *E. hellem* Nucleic Acid Subpathway.** The enzymatic steps at the periphery of the complete *E. hellem* nucleic acid subpathway interface directly with the metabolism of the host (Fig. 2). These steps had a distance to the host of zero, whereas other steps were assigned a distance corresponding to the smallest number of steps from their nearest peripheral metabolites. The observed average distance to the host for enzymatic steps was 0.167 for steps experiencing HGTs, whereas the average for the complete subpathway was 1.394. Because CK (and some non-HGT enzymes) can perform multiple steps, we also considered genes in a separate analysis by averaging the values of each step they encode, yielding an observed average distance of 0.2 for genes experiencing HGTs vs. an average of 1.163 for the complete subpathway. To assess significance, 1 million random permutations were simulated, and the proportion of permuted networks that were at least as extreme as the observed network was determined. Complete documentation is provided in Dataset S3.

**Phylogenetic Analysis of TK.** The *E. cuniculi* and *R. allomycis* TK sequences were used as BLASTP queries via the NCBI BLAST website ([www.ncbi.nlm.nih.gov/BLAST](http://www.ncbi.nlm.nih.gov/BLAST)), and the top hits were downloaded along with the TK sequences of representatives from eukaryotic and prokaryotic clades. These protein sequences were aligned in MUSCLE (54) by using default settings, and maximum-likelihood analysis was performed by using the PROTGAMMALG model of evolution in multithreaded RAxML (53). To evaluate alternative possibilities for the source of these sequences, five different alternate trees were drawn, also by using the PROTGAMMALG model in RAxML, and the likelihood ratio was calculated for each tree compared with the best tree (55). *P* values were calculated from this ratio in the Python Scipy library  $\chi^2$  probability module (56).

**Plasmid and Strain Construction.** The protein sequence for soluble TK of *H. sapiens* was downloaded from NCBI (BAG70082.1), and the TK protein sequences for *E. cuniculi* (ECU01\_0740im.01) (22), *N. parisii* (Nempa11372) (26), and *R. allomycis* (Rozal12947) (19) were downloaded from JGI; note that the *R. allomycis* constructs were designed twice, once without and once with predicted intron sequences present, resulting in *R. allomycis* TK versions A and B, respectively. These protein sequences were codon-optimized for expression in *S. cerevisiae* by using the Integrated DNA Technologies (IDT) webtool ([www.idtdna.com/CodonOpt](http://www.idtdna.com/CodonOpt)). Although critical to ensuring proper heterologous protein expression (57), this approach necessarily eliminates any RNA regulation that may occur in *E. cuniculi*, *N. parisii*, and *R. allomycis*. gBlocks for the *H. sapiens* and *E. cuniculi* sequences, as well as plasmids containing synthesized sequence for the *N. parisii* and both versions of *R. allomycis*, were ordered from IDT. Primers (Table S4) were designed to amplify each gBlock or synthesized gene, while adding  $\sim 40$  bp of sequence on both ends to enable homology repair (58) of the gBlock sequence into the plasmid pRS426-HygMX under the control of the *TDH3* promoter and the *CYC1* terminator. This cloning was performed in the wild diploid strain M22 of *S. cerevisiae* (59) by transforming it using linearized pRS426-HygMX and PCR product via the lithium acetate/PEG method (60). Plasmid repair and yeast transformation was selected for on yeast extract/peptone/dextrose (YPD) +Hyg (10 g/L yeast extract, 20 g/L peptone, 20 g/L glucose, 18 g/L agar, and 200 mg/L hygromycin B) after 3 h of recovery in liquid YPD. Resulting yeast colonies had their total DNA extracted, which was used to transform *Escherichia coli* by electroporation, and the recovered plasmids had their insertions Sanger-sequenced. Once the gene-insertion sequences were confirmed, plasmids were again transformed into M22 by selection on YPD +Hyg plates as described above.

**Functional Assay of TK.** Single colonies of the six plasmid-bearing strains of *S. cerevisiae* (Table S5) were grown overnight in SC-MSG +Hyg (1.72 g/L yeast nitrogen base, 2 g/L Complete Drop-Out Mix, 1 g/L monosodium glutamate, 20 g/L glucose, and 200 mg/L hygromycin B) liquid medium.

**Table 3.** Endpoint analysis of optical density of TK-expressing strains relative to control cultures

| Media condition | Blank vector    | <i>H. sapiens</i> TK | <i>E. cuniculi</i> TK | <i>N. parisii</i> TK* | <i>R. allomycis</i> TK A | <i>R. allomycis</i> TK B |
|-----------------|-----------------|----------------------|-----------------------|-----------------------|--------------------------|--------------------------|
| SC-MSG          | 11.0 $\pm$ 0.21 | 10.6 $\pm$ 0.01      | 10.3 $\pm$ 0.35       | 2.8 $\pm$ 0.07        | 10.8 $\pm$ 0.21          | 10.6 $\pm$ 0.35          |
| SC-MSG +FUdR    | 9.4 $\pm$ 0.16  | 0.0 $\pm$ 0.0        | 0.0 $\pm$ 0.0         | 0.0 $\pm$ 0.0         | 9.2 $\pm$ 0.29           | 9.0 $\pm$ 0.41           |

\**N. parisii* TK expression inhibits growth; data gathered after 168 h. Other strains were evaluated after 60 h.

A total of 30,000 cells of each strain were used to inoculate 3 mL of SC-MSG +Hyg +100 mg/L FUDR in quadruplicate; control medium tubes containing SC-MSG +Hyg without FUDR were also inoculated with 30,000 cells for each strain in duplicate. All cultures, except for the *N. parisii* TK-expressing strain, were grown for 60 h, and their optical density was measured with a 600-nm photometer (Implen GmbH). The *N. parisii* cultures were measured after 168 h.

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