

# 2017 USTA AND EASTERN SECTION LEAGUE REGULATIONS November 18, 2016

These regulations govern all levels of play in USTA League Tennis in the USTA Eastern Section. There is no authorization granted to any entity or person, including Section and/or local coordinators, Section and/or local Grievance or Grievance Appeal Committees to modify or amplify these regulations without prior permission from the Section League Coordinator and/or the Eastern Adult League Committee.

Note: The regulations specific to the Eastern Section, incorporated in this document, are differentiated from national regulations by means of a black border surrounding the text.

	VALID NTRP COMPUTER RATINGS FOR 2017					
	Players who are 59 years or under during the 2017 League Year will have their most current					
			self-rate if their NTRP rating is older			
2017 League Year			(T) rating and chooses to participate in the (M) or (T) rating is less than 3 years			
	X indicates the years of valid	computer rating in Tenr	nisLink for play in 2017			
	Players who will be 60 years or older during the 2016 League Year will have their most current computer rating displayed in TennisLink. They must self-rate if their NTRP rating is older than 2 years.  *Exception: A player who receives a published (M) or (T) rating and chooses to participate in the Adult Division will be required to self-rate even if the (M) or (T) rating is less than 2 years old.					
	X indicates the years of valid	computer rating in Tenr	nisLink for play in 2016.			
Last Year Computer Rating Received	2014 2015 2016					
Age 59 or Under						
during 2017 League Year	X X X					
Age 60 or Over during 2017 League Year		Х	X			

TennisLink will automatically remove expired computer and self-ratings thereby allowing individuals to selfrate. Players with expired ratings will not be allowed to self-rate at a lower level than their last valid NTRP rating. However, they will have the opportunity to file a self-rate appeal of the NTRP rating level assigned.

Computer ratings are valid based on the above table.

Self-ratings are valid for 2 years from the date issued or until replaced by a dynamic or computer rating.

NTRP DYNAMIC DISQUALIFICATION					
Each NTRP	Each NTRP Level is followed by a letter indicating the type of rating.				
The following ide	entifies w	ho can and cannot be NTRP dynamically disqualified.			
Who	cannot	be NTRP dynamically disqualified?			
NTRP Level followed by the	letter belo	W:			
	С	Computer Rated Players *			
M Mixed Exclusive Players **					
	T Tournament Players **				
Exception:					

\*\* Year-end (M) and (T) rated players are required to self-rate to enter the Adult Division, automatically become (S) rated players and therefore become subject to NTRP dynamic disqualification.

#### Who can be NTRP dynamically disqualified? Participants in the Adult Division:

NTRP Level followed by the letter below:			
Α	Appealed – all granted appeals including Medical and Promoted Players 60 or Over		
S Self-rated Players			
D	Dynamic or NTRP Grievance Disqualified Players		
С	Exceptions to C year-end ratings as noted above *		

Players participating in the Adult Division who are promoted as a result of NTRP dynamic disqualification will be immediately required to participate at their new NTRP level in all USTA League Programs.

## MATCHES REQUIRED TO ADVANCE TO CHAMPIONSHIPS FOR ADULT AND MIXED DIVISIONS

SECTIONAL CHAMPIONSHIPS AND BELOW			NATIONA	L CHAMPIONSHIPS
LOCAL MATCHES REQUIRED	DEFAULTS THAT COUNT		TOTAL MATCHES REQUIRED THROUGH SECTIONAL CHAMPIONSHIP	DEFAULTS THAT COUNT
To advance to <b>any</b> championship level through Sectional Championship	To advance to any championship level through Sectional Championship		To advance to National Championship	To advance to National Championship
2 matches on the same team	1 default <u>received</u> shall count		3 matches on the same team	One default received shall count
EASTERN SECTION DIVISIONS	LOCAL MATCHES REQUIRED	DEFAULTS		
65&Over	1 match	NO defaults		
Tri-Level *	1 match	NO defaults	TBD*	TBD*
	RETIRED ma	tches shall	count for all pla	ayers.

# USTA LEAGUE MAJOR REGULATION CHANGES FOR 2017

- 1. 2.03A4 and Inside Cover: allow one (1) default to count towards eligibility for National Championships.
- 2. Early Start Ratings are eliminated.
  - 2.05B(3)a: Players who are moved up and are clearly above level must adjust to their new NTRP level immediately.
  - 2.05B(3)b: Players will always use their most recent valid year-end rating to register for any early start leagues. Players moved up at year-end are not allowed to advance to any National Championship at the lower NTRP level
  - 2.05B(3)c:. There is an exception for players on a 2.5 team; they may continue through National Championships unless they are clearly above level.
- 3. 2.05E(2) Ratings for players 65 & Over who are moved up will be published; automatic appeal will be granted upon request (through TennisLink auto-appeal function).
- 4. 2.07: Only National Championship players will not be allowed to appeal their rating down for one year after participating in a National Championship. All other Championship players will be allowed to appeal their ratings up or down. The appeal will be granted if they meet the appeal criteria.
- 5. 3.01 Grievance Procedures: Sections may honor sanctions imposed in another Section.

## USTA LEAGUE EASTERN SECTION MAJOR REGULATION CHANGES FOR 2017

A.17. - Player Declaration Prior to Championship Play - Players who play on two or more teams at the same NTRP level in different regions of the Eastern Section must declare which team they will represent prior to the start of any regional or sectional championship should more than one team qualify to advance. This declaration is done by playing in a championship match.

If a player declares for a team and that team is eliminated from championship play, the player is eligible to re-select and play for a different team, in any regional or sectional championship as long as they meet eligibility requirements for that team.

- B.3. Where less than 3 courts are played (or two courts for Adult 2.5, 5.0+, 55 & Over, 65 & Over, or Tri-Level, or Mixed) the invalid match will be excluded when determining team standings if it affects final flight standings for the team receiving the default. Regions/Areas may assess additional sanctions on captains and/or teams that cause invalid matches without just cause. The Local League Coordinator has final discretion in rescheduling of courts to avoid invalid matches.
- B.22. A team that forfeits an entire team match without just cause may be penalized to the extent that all matches for the season will be considered null and void when determining team standings if it affects final flight standings for the team receiving the default; the team members may not be allowed to participate in league play the following season. Playing an ineligible player for the second time by the same team during the course of the league year will constitute forfeiture of a team match without just cause. Captains/teams are expected to play a valid match when forfeiture of said match will affect the outcome of local league standings. The Local League Coordinator has final discretion in rescheduling of matches.

A team that forfeits an entire team match without just cause at any level of Championships may be penalized to the extent that all matches at that Championship level will be considered null and void if it affects championship standings for the team receiving the default as determined by the Tournament Committee; the team members may not be allowed to participate in league play the following league year.

B.28. - Players above the NTRP level at which they are competing may continue at the lower NTRP level through 12/31/2016. However the local coordinator has the discretion to allow play through the conclusion of the local league portion of an ESL in progress. (See tri-level page for ESL rules).

### THE USE OF THE NATIONAL TENNIS RATING PROGRAM AND NTRP COMPUTER RATINGS IN THE USTA LEAGUE

The National Tennis Rating Program (NTRP) is the official system for determining the levels of competition for the USTA League. The USTA NTRP Computer Rating System assigns ratings based on play in the local league and at championships and select NTRP tournaments.

- 1. The National Tennis Rating Program (NTRP) Guidelines define the characteristics of the various NTRP skill levels.
- 2. The USTA NTRP Computer Rating System is the official system to determine computer ratings for players throughout the country who participate in the USTA League. It is a mathematical system that assigns computer ratings to players by direct and indirect comparison of match results obtained from the USTA League and select NTRP tournaments.
- 3. A valid NTRP rating level is in effect for two years for individuals 60 years of age or older prior to, or during, the League Year and for three years for individuals 59 years of age or under, or until another valid NTRP rating level is generated. (See *Valid NTRP Computer Ratings Table* inside back cover)
- 4. Players with a valid NTRP rating level must enter at that level or higher. (See *Valid NTRP Computer Ratings Table* inside back cover)
- 5. Players in the USTA League without a valid computer rating must self-rate in accordance with the *General & Experienced Player Guidelines Supplement to the NTRP Guidelines*. Factors such as a player's on-court performance, tennis background, and any additional information should be considered in the self-rating decision. When players are rating themselves, if they question at which level they should play, they should place themselves in the higher NTRP level of play.

**Note:** Players who are good athletes or intend to spend a great deal of time taking lessons and practicing should be aware that their improvement may be significant enough to surpass their original self-rating during the local league season or championship play. To avoid NTRP Dynamic Disqualification, these players should enter at a higher NTRP level of play at the beginning of the local league season.

- 6. In the USTA League Adult Division, dynamic ratings will be calculated at regular intervals for all participants during local league competition, at the end of the local league season, and either during, or at the end of, District/Area through Sectional Championships.
- 7. At the USTA League Adult Division National Championships, players will continue to generate dynamic ratings through their last match played, but there will be no NTRP Dynamic Disqualifications at National Championships.
- 8. After the USTA League Adult Division National Championships, year-end NTRP published levels will be calculated using USTA NTRP Computer Rating System Procedures approved by the USTA League Committee.
- 9. In the USTA League Mixed Division, an NTRP level will be calculated for participants who play in that Division exclusively. Year-end ratings will be based on the final dynamic rating generated from local league and championship level competition unless the player has a valid Computer (C) rating from a previous year.

#### **USTA LEAGUE REGULATIONS**

#### 2017 Championship Year

#### 1.00 GENERAL.

The Regulations in 1.00 GENERAL shall apply to all USTA League Divisions. Any authority delegated by these Regulations to the Section, unless otherwise stated, may be delegated by the Section to a lower authority.

If a Section, District/Area or Local League does not have a regulation in place to address an issue and there is a national regulation on that issue, the Section, District/Area or Local League must follow the national regulation.

**1.01 NAME.** The USTA Board of Directors has approved the adoption of rules and regulations for the USTA League that shall be known as the USTA LEAGUE REGULATIONS.

**1.02 GOVERNANCE.** The USTA LEAGUE REGULATIONS shall apply to the USTA League Program (USTA League). The FRIEND AT COURT - *The USTA Handbook of Tennis Rules and Regulations*, including Wheelchair Rules of Tennis, shall apply to all matches played in the USTA League (unless modified by these USTA LEAGUE REGULATIONS). In all matches played without officials, the USTA official publication, THE CODE, shall be observed. The USTA Point Penalty System shall be used at all championship levels.

1.02A Waiver of Regulations and Procedures Prohibited. Except where a waiver is specifically permitted by a USTA League Regulation or by a USTA League Procedure, no USTA League Regulation or USTA League Procedure shall be waived by any Sectional, District/Area or Local Association or by any Sectional, District/Area or Local League Coordinator. Any violation of this Regulation shall be subject to such penalties as may be imposed by the USTA League Committee. Such penalties are not subject to appeal.

1.02B Amendments. These USTA LEAGUE REGULATIONS may be amended by the USTA League Committee with the approval of a committee comprised of the Chair and Vice-Chair of the USTA League Committee and the National League Administrator. All proposed amendments shall be in writing and shall be forwarded to the USTA League Committee at least 10 days before any meeting of the USTA League Committee. Without the approval of the Chair and Vice-Chair of the USTA League Committee and the National League Administrator, no amendments to these USTA LEAGUE REGULATIONS shall become effective prior to January 1st of the next USTA League Year.

A.1. USTA Eastern: The USTA Eastern Section Regulations may be amended by the Eastern Section Adult League Committee.

**1.02C Written Interpretation.** A committee comprised of the Chair and Vice Chair of the USTA League Committee and the National League Administrator has the authority and the responsibility to provide written interpretations of USTA LEAGUE REGULATIONS in the *USTA League Questions and Answers (Interpretations)*.

A.2. USTA Eastern: The Eastern Section League Coordinator, in conjunction with the Eastern Section Adult League Committee, has the authority to interpret the USTA Eastern Section League Regulations.

**1.02D Glossary of Terms.** For purposes of these Regulations, certain words and phrases are defined in a glossary included herein. This glossary has been prepared as a reference to many terms included in these Regulations. The definitions provided have been drawn from these Regulations and, in some cases, other documents published by the USTA.

**1.02E Committees.** Each committee referenced in the USTA League Regulations shall consist of a minimum of three members, including a chair. All decisions shall be by majority vote. The members of a committee may be the same as, or different in whole or in part from, the committee first approved.

**1.03 NON-DISCRIMINATION.** Discrimination in the USTA League is prohibited as specified in the Bylaws of the United States Tennis Association.

1.04 USTA LEAGUE.

**1.04A USTA League Program.** The USTA League is a team competition for men, women and mixed genders at specified National Tennis Rating Program (NTRP) levels of play. The purpose of the USTA League Program is to provide organized recreational play, emphasizing local competition, with advancement for winning teams from local competition to District/Area, Sectional and National Championships to determine annually USTA League National Championship teams in each approved level of competition. The USTA League is approved by USTA without necessity of a sanction application (See *FRIEND AT COURT* XVII B).

USTA LEA	USTA LEAGUE PROGRAM ORGANIZATION AT THE NATIONAL LEVEL						
DIVISION	AGE GROUP	LEAGUE FORMAT	NTRP LEVELS				
	18 & Over	1 – Singles 2 – Doubles	2.5 women, 5.0+				
Adult	18 & Over	2 – Singles 3 – Doubles	3.0, 3.5, 4.0, 4.5				
	40 & Over	2 – Singles 3 – Doubles	3.0, 3.5, 4.0, 4.5+				
	55 & Over	3 – Doubles	6.0, 7.0, 8.0, 9.0				
Mixed	18 & Over	3 – Doubles	6.0, 7.0, 8.0, 9.0, 10.0				
iviixeu	40 & Over	3 – Doubles	6.0, 7.0, 8.0, 9.0				

#### 1.04B Administration.

- **1.04B(1) USTA League Committee.** The USTA League shall be governed by the USTA League Committee appointed by the President of the USTA and subject to the control and direction of the USTA Board of Directors.
- **1.04B(2) USTA National League Administrator.** The USTA National League Administrator shall be responsible for the day-to-day administration of the USTA League.
- **1.04B(3) Sectional Associations.** The USTA has 17 Sectional Associations, the names and territories of which are defined in the USTA Bylaws. Each Sectional Association is responsible for the development and implementation of the USTA League within its geographic territory in accordance with the USTA LEAGUE REGULATIONS.
- A.3. USTA Eastern: The USTA Eastern Section League Program shall be governed by the Adult League Committee appointed by, and subject to the direction of, the USTA Eastern President and Board of Directors.
  - **1.04B(3)a Sectional League Coordinators.** Each Sectional Association shall appoint a Sectional League Coordinator to implement and administer the USTA League.
  - **1.04B(3)b District/Area League Coordinators.** Each District/Area may have a District/Area League Coordinator to implement and administer the USTA League. The District/Area shall have such geographic boundaries as the Sectional Association may determine.
  - **1.04B(3)c Local League Coordinators.** Each Local League may have a Local League Coordinator to implement and administer the USTA League.
  - **1.04B(4) Team Captain.** Each team shall appoint a captain to manage team activities and to represent the team in USTA League matters.
  - **1.04B(5)** Championships Committees. A Championship Committee, one of whom is a USTA Certified Referee or Umpire, shall govern each championship. At least one member of the Championship Committee shall be in attendance at all times during play. The Championships Committees, except for the National Championships, shall be appointed by the Sectional and District/Area Associations at their respective championships. The Chair of the USTA League Committee shall appoint the Championship Committees for the National Championships.
- **1.04C Official Information System.** TennisLink is the official information system of the USTA League.
  - **1.04C(1) Official League Registration.** TennisLink is the official system for registering teams and players for the USTA League. A player's name must be listed on the team roster, as shown in TennisLink, prior to participation in

any match. This includes any player who is added to a team roster after the commencement of local league play. Each Section shall establish deadlines and procedures for registering teams in TennisLink. Players shall not be added to the team roster during local league flight play-offs or at any championship.

during local league flight play-offs or at any championship.

#### A.4. USTA Eastern: This regulation will also apply to the Tri-Level leagues offered by Eastern.

**1.04C(2) Official Score Reporting and Standings System.** TennisLink is the official system for reporting scores and providing standings for the USTA League. Each Section should establish deadlines and procedures for reporting scores in TennisLink. Unless otherwise established by a Section, the initial entry of match scores into TennisLink must take place within 48 hours of the completion of the match. After match scores have been entered in TennisLink, they must be confirmed by the opposing team within 48 hours of the initial entry or the initial score will automatically be considered valid.

#### 1.04D Local League.

- **1.04D(1) Definition**. A local league is a team competition in a specified geographic area that applies specific local league regulations and consists of NTRP level(s) with a minimum of two teams per level. A local league may choose to divide any or all NTRP levels into flights. Where flights are used, a playoff structure may be established to determine a champion for each NTRP level (See Reg. 2.01C Competition Format).
- **1.04D(2) USTA League Year**. The League Year shall be January 1 through December 31, except that the USTA League Committee may authorize the commencement of a local league season prior to January 1, subject to such conditions as may be prescribed by the Committee.
- A.6. USTA Eastern: Some Regions within Eastern will offer Early Start League(s) with the agreement of the Section League Coordinator and the Adult League Committee. For Early Start Leagues other than Tri-Level and 18 & Over Mixed, a waiver must be requested from the Adult League Committee for the first year. Waivers will be reviewed after the first year granted; thereafter waivers will be valid for 5 years.
  - **1.04D(3) Local League Season**. Each Sectional Association shall determine the dates for the season(s) of the local leagues within its Section. The local league season ends on the date entered in TennisLink as the league season end date.
  - **1.04D(4) Team.** A team shall consist of players eligible to compete at a specific NTRP level of competition in accordance with the following table. A Section may limit the number of players on a team who have an individual NTRP level below the team NTRP level. A Section may also limit the number of players who appear on a team roster, but may not have fewer than the minimum number of players as shown in the following table:

	TEAM COMPOSITION					
DIVISION	AGE GROUP	MINIMUM NUMBER OF PLAYERS	GENDER	NTRP LEVEL		
	18 & Over 2.5 women & 5.0+	5	Same Gender	A player's NTRP rating level shall not exceed the team NTRP level		
	18 & Over 3.0, 3.5, 4.0, 4.5	8	Same Gender	except for 18 & Over 5.0+ and 40 & Over 4.5+.		
	40 & Over 3.0, 3.5, 4.0, 4.5+	8	Same Gender			
Adult	55 & Over Straight Levels 3.0, 3.5, 4.0, 4.5 <b>OR</b> Combined Levels 6.0, 7.0, 8.0, 9.0	6	Same Gender	When using straight NTRP levels, a player's NTRP rating level shall not exceed the team NTRP level.  Combined NTRP rating levels of partners shall not exceed the team NTRP level.  NTRP rating level difference between partners shall not exceed 1.0.  The minimum NTRP Level for: 6.0 is 2.5; 7.0 is 3.0; 8.0 is 3.5 9.0 is 4.0.		
Mixed	18 & Over Straight Levels, 3.0, 3.5, 4.0, 4.5, 5.0 OR Combined Levels 6.0, 7.0, 8.0, 9.0,10.0 40 & Over Combined Levels 6.0, 7.0, 8.0, 9.0	3 men 3 women	Men and Women	When using straight NTRP levels, a player's NTRP rating level shall not exceed the team NTRP level.  Combined NTRP rating levels of partners shall not exceed the team NTRP level.  NTRP rating level difference between partners shall not exceed 1.0.  The minimum NTRP Level for: 6.0 is 2.5; 7.0 is 3.0; 8.0 is 3.5 9.0 is 4.0; 10.0 is 4.5.		

A.7. USTA Eastern: Each Region and/or Area within Eastern should establish minimum and maximum roster sizes for each league/level; the minimum roster size may be greater than the minimum in the table above, at the local coordinator's discretion.

**1.04D(5) Two Team Leagues.** If any Adult Division Age Group consists of only two teams in a level of play, each team must maintain its roster with at least 40 percent of its players at the designated NTRP level of play. The exception is the Adult Division 55 & Over Age Group that uses combined NTRP rating levels and will not be required to comply with this Regulation.

A.8. USTA Eastern: Adult 18&Over and 40&Over leagues require a minimum of 50% (or higher % at the local coordinator's discretion) at NTRP level except for two team leagues which require 40%. Minimum percentage level does not apply to any combined leagues, 55&Over and 65&Over.

#### 1.04E Player Eligibility.

**1.04E(1) Domicile and Residency Requirements.** Any individual who competes in the USTA League must be domiciled within the boundaries of a USTA Sectional Association or participate through a USTA Direct Member Club. Residents of foreign countries who meet membership and age requirements may be invited by a USTA Section to participate in the USTA League Program provided that all such matches are played within the Section. Sections may create residency requirement rules to encourage and foster local league play.

- A.11. USTA Eastern: Residency Requirement Players must live within the boundaries of the Eastern Section or participate through a facility within the Section.
  - **1.04E(2) Membership.** All individuals who compete in the USTA League must be current USTA members in good standing through the local league season. Any individual who progresses to a championship level in the USTA League must be a current USTA member through each championship progression.
  - **1.04E(3) Age.** Each player shall have reached the required minimum age prior to or during the calendar year in which such player participates in his or her first local league.
  - **1.04E(4) Player Agreement.** All players participating in the USTA League, as a condition of said participation, agree to abide and be bound by the USTA Constitution and Bylaws; the USTA LEAGUE REGULATIONS; the FRIEND AT COURT *The USTA Handbook of Tennis Rules and Regulations* including THE CODE and Wheelchair Rules of Tennis (unless modified by these USTA LEAGUE REGULATIONS); and the standards of good conduct, fair play and good sportsmanship.
- A.12. USTA Eastern: Players, teammates, spectators, or coaches who interfere and/or are disruptive at matches are considered to have violated the standards of good conduct, fair play and good sportsmanship. This includes conduct before, during and after completion of the match. Teammates, spectators, or coaches may not volunteer advice on line calls, scoring, or on the conduct of the match. It is the responsibility of the teams, to control spectators' conduct at all matches.
  - **1.04E(5) Waiver of Claims.** Players participating in the USTA League acknowledge the risks associated with playing competitive tennis, accept those risks voluntarily, and in consideration of their acceptance in the USTA League Program, assume all risks for bodily injury, waive all claims for injury and property damage and release and hold harmless the USTA and the host facility, their officials, employees and agents with respect to any injury or loss caused by negligence or otherwise to the fullest extent permitted by law.
- **1.04F Official League Rating Program.** The National Tennis Rating Program (NTRP) is the official system for rating levels of competition for the USTA League.
  - **1.04F(1)** Entry. A player without a valid NTRP rating level in TennisLink, a returning player with an expired NTRP rating level, a Tournament Exclusive (T) rated player or a Mixed Exclusive (M) rated player who does not have a valid computer (C) rating from a previous year and chooses to participate in the Adult Division, must self-rate to enter the USTA League Program. A player with a valid NTRP rating level in TennisLink must use that rating to enter the USTA League Program as shown in the following table:

	NTRP RATING LEVEL FOR ENTRY INTO LEAGUE PLAY			
DIVISION	AGE GROUP	PLAYER'S NTRP RATING LEVEL		
	18 & Over 40 & Over	Current NTRP level and/or up to one level above the player's current NTRP level.		
	55 & Over Straight NTRP	18 & Over 5.0+ level may have up to two players from the next higher NTRP level on a team roster		
	Levels	40 & Over 4.5+ level may have up to three players from the next higher NTRP level on a team roster		
Adult		Combined NTRP rating levels of partners shall not exceed the team NTRP level.		
	55 & Over Combined NTRP Levels	NTRP rating level difference between partners shall not exceed 1.0.		
		The minimum NTRP Level for: 6.0 is 2.5; 7.0 is 3.0; 8.0 is 3.5; 9.0 is 4.0.		
	18 & Over 3.0, 3.5, 4.0, 4.5, 5.0 Straight NTRP Level	Current NTRP level and/or up to one level above the player's current NTRP level.		
Mixed	18 & Over	Combined NTRP rating levels of partners shall not exceed the team NTRP level.		
	40 & Over Combined NTRP Levels	NTRP difference between partners shall not exceed 1.0.		
	Leveis	The minimum NTRP Level for:		
		6.0 is 2.5; 7.0 is 3.0; 8.0 is 3.5; 9.0 is 4.0;10.0 is 4.5.		

- **1.04F(1)a** Rating levels are derived in accordance with the USTA NTRP Computer Rating System Procedures. Once a player is assigned a valid Computer (C) NTRP rating level, it is valid for the Adult and Mixed Divisions for all players 59 years of age or under for three consecutive years or until another NTRP published rating level is generated. For players 60 years of age or over, a valid Computer (C) NTRP rating level is valid to play in the Adult and Mixed Divisions for two consecutive years or until another NTRP published rating level is generated. (See Reg.1.04F(1)b and c and *Valid NTRP Computer Ratings Table* inside back cover).
- **1.04F(1)b** Mixed results will not be part of generating a player's year-end rating, except for those players who participate in the Mixed Division exclusively. A player who plays in the Mixed Division exclusively will receive a published Mixed Exclusive (M) rating level at year-end unless they have a valid Computer (C) rating level from a previous year on file in TennisLink. A published (M) rating level is valid to play in the Mixed Division exclusively and will be in effect for players 59 years of age or under for three consecutive years and for players 60 years or older for two consecutive years or until another published NTRP rating level is generated. A player who plays exclusively in the Mixed Division and subsequently chooses to participate in the Adult Division must enter by using a valid Computer (C) rating from a previous year. If such player does not have a valid (C) rating from a previous year, he or she must self-rate with the minimum NTRP rating level being the higher of the self-rating or valid Mixed Exclusive (M) rating.
- **1.04F(1)c** A player who plays exclusively in NTRP tournaments and subsequently chooses to participate in the Adult Division must enter that Division by using a valid Computer (C) rating from a previous year. If such player does not have a valid (C) rating from a previous year, he or she must self-rate with the minimum rating being the higher of the self-rating or valid Tournament Exclusive (T) rating.
- **1.04F(1)d** Players who do not have a valid NTRP rating level on file in TennisLink shall self-rate in accordance with the *General & Experienced Player Guidelines Supplement to the NTRP Guidelines* and complete the self-rating process on TennisLink when registering for a team. Players who allow someone else to complete the self-rating process for them will be ultimately responsible and held accountable for information submitted or omitted. Failure to self-rate in accordance with the *Guidelines*, or omission of

information regarding a player's tennis history, will subject the player as well as the captain and/or others who completed, assisted, condoned and/or approved an inappropriate self-rating to penalties and suspension. Players whose self-ratings are determined to be inaccurate or inappropriate shall be disqualified. (See Reg. 3.03E(1)a).

- **1.04F(1)e** Self-ratings are valid for two years from the date issued or until replaced by a dynamic or computer rating.
- **1.04F(1)f** TennisLink will automatically remove expired computer and self-ratings thereby allowing individuals to self-rate. Players with expired ratings will not be allowed to self-rate at a lower level than their last valid NTRP rating level. However, when assigned an NTRP rating level by TennisLink, the player will have the opportunity to file a Self-Rate Appeal.
- **1.04F(1)g** Each Sectional Association shall designate a committee to handle Self-Rate Appeals for those who appeal their assigned self-rating level. This committee may be the same, in whole or part, as the Sectional League Grievance Committee that handles NTRP Grievances. Players who appeal their rating and anyone acting on their behalf will abide by the Self-Rate Appeals Committee's decision without further right to appeal same, except that the Committee may reconsider its decision in the event there has been a clear factual error made by the Committee. Players granted an appeal of their self-rating may be subject to NTRP Grievance based only on newly discovered or missing information not previously considered. (See *General & Experiences Player Guidelines Supplement to the NTRP Guidelines*.)
- A.13 USTA Eastern: The NTRP Appeals Committee, in conjunction with the Section League Coordinator will be responsible for processing Self-Rate appeals.
  - 1.04F(1)h Valid NTRP Computer Ratings: (See Valid NTRP Computer Ratings Table inside back cover).
  - 1.04G Player Participation Eligibility.
    - **1.04G(1)** League players may play in the USTA League Adult and Mixed Divisions during the same local league season.
    - **1.04G(2)** A player may play on only one team in an NTRP level within an Age Group in the same local league during the same season. In local leagues where NTRP levels are divided into flights, a player may not play in more than one flight.
    - **1.04G(3)** A player may play on more than one team in an NTRP level within an Age Group in the same season provided it is in separate local leagues. The Section has the authority to accept or deny participation.
  - **1.04G(4)** A Section may authorize participation in more than one NTRP level within an Age Group in the same local league during the same season.
- A.15. USTA Eastern: Playing on Multiple teams A player may play on more than one team in a local league as long as the teams do not play each other during the local season.
  - 1.04G(5) A player may play up to one NTRP level above the player's current NTRP level.
- A.16. USTA Eastern: Playing in Multiple Divisions Team members may play in more than one NTRP level within a Division (Adult or Mixed) or League providing it is a higher level than their rated level and is no more than one level above their rating. The team must keep within the local guidelines regarding the percentage of players at level.
  - **1.04G(6)** A player who qualifies to advance to championships on more than one team may be required by the Section to choose which team he or she will represent.

A.17. USTA Eastern: Player Declaration Prior to Championship Play - Players who play on two or more teams at the same NTRP level in different regions of the Eastern Section must declare which team they will represent prior to the start of any regional or sectional championship should more than one team qualify to advance. This declaration is done by playing in a championship match. If a player declares for a team and that team is eliminated from championship play, the player is eligible to re-select and play for a different team, in any regional or sectional championship as long as they meet eligibility requirements for that team.

- **1.04G(7)** At any National Championship, if a player qualifies for two or more teams at the same NTRP level for an event, the individual must declare which team he or she will represent. In the absence of a declaration, the first match played will determine which team he or she will represent.
- 1.04G(8) A player may participate in only one individual match within each team match.
- **1.04G(9)** A player who participates in the USTA League in violation of any provision of Section 1.04G *Player Participation Eligibility*, shall be subject to an Eligibility Grievance as defined in Section 3.02D *Eligibility Grievance*.

**1.05 Official Ball.** In USTA League matches, a standard Type 2 USTA approved ball shall be used. The use of any USTA "transition" ball is prohibited.

#### 2.00 USTA LEAGUES REGULATIONS.

All USTA League Regulations in 1.00 GENERAL, 3.00 GRIEVANCE PROCEDURES and the following Regulations in this section shall apply to USTA League Adult and Mixed Divisions except for Reg. 2.04 NTRP DYNAMIC DISQUALIFICATION AND REVIEW PROCEDURES which shall apply only to the USTA League Adult Division.

#### 2.01 LOCAL COMPETITION.

#### 2.01A NTRP Levels of Play.

**2.01A(1)** When using straight NTRP levels, a player cannot have an NTRP rating higher than the NTRP level in which the player is competing except in the 5.0+ NTRP level of the Adult Division, 18 & Over and in the 4.5+ NTRP level of the Adult Division, 40 & Over. Adult Division, 18 & Over 5.0+ team rosters may include up to two (2) players from the next higher NTRP level. Adult Division, 40 & Over 4.5+ team rosters may include up to three (3) players from the next higher NTRP level.

**2.01A(1)a** In Plus (+) NTRP levels utilizing three individual matches within a team match, no more than one Plus (+) level player shall be allowed to play in a team match and shall be required to play in a #1 position, either singles or doubles.

**2.01A(1)b** In Plus (+) NTRP levels utilizing four or more individual matches within a team match, two Plus (+) level players may play in a team match and shall be required to play in a #1 position, either singles or doubles.

**2.01A(2)** When using combined NTRP levels, the combined NTRP rating levels of the doubles team cannot exceed the combined NTRP level in which the players are competing;

2.01A(3) The NTRP difference between members of an individual doubles team may not exceed 1.0.

**2.01A(4)** USTA League NTRP levels in the local league and at championships are approved in accordance with the following table:

NTRP LEVELS OF PLAY					
DIVISION	AGE GROUP	LOCAL LEAGUES	ALL CHAMPIONSHIPS BELOW NATIONAL CHAMPIONSHIPS	NATIONAL CHAMPIONSHIPS	
	18 & Over	2.5, 3.0, 3.5, 4.0, 4.5, 5.0+	2.5, 3.0, 3.5, 4.0, 4.5, 5.0+	2.5 women, 3.0, 3.5, 4.0, 4.5, 5.0+	
Adult	40 & Over	3.0, 3.5, 4.0, 4.5+	3.0, 3.5, 4.0, 4.5+	3.0, 3.5, 4.0, 4.5+	
	55 & Over	Straight Levels 3.0, 3.5, 4.0, 4.5	Straight Levels 3.0, 3.5, 4.0, 4.5  OR  Combined Levels 6.0, 7.0, 8.0, 9.0	Combined Levels 6.0, 7.0, 8.0, 9.0	
Mixed	18 & Over	Straight Levels 3.0, 3.5, 4.0, 4.5 OR Combined Levels 6.0, 7.0, 8.0, 9.0, 10.0	Combined Levels 6.0, 7.0, 8.0, 9.0, 10.0	Combined Levels 6.0, 7.0, 8.0, 9.0, 10.0	
	40 & Over	Combined Levels 6.0, 7.0, 8.0, 9.0	Combined Levels 6.0, 7.0, 8.0, 9.0	Combined Levels 6.0, 7.0, 8.0, 9.0	

**2.01B Men, Women and Mixed Doubles.** Men's and women's leagues shall be separate at each approved NTRP level in the Adult Division. Leagues in the Mixed Division are comprised of a man and a woman who combine to play in each individual match. The local league shall determine which of these approved NTRP levels in Reg. 2.01A(4) shall be available for men, women and mixed genders in local league competition.

#### 2.01C Competition Format.

**2.01C(1) Round Robin.** Each NTRP level within a local league shall play at least one round robin competition wherein every team plays every other team. When any NTRP level consists of only two teams, each team is required to play a minimum of three team matches, which is a triple round robin. When any NTRP level consists of only three teams, each team is required to play a minimum of four team matches, which is a double round

robin. Any NTRP level may be divided into flights and each team shall play every other team in its flight. The flight winners may enter a playoff structure to establish a local league champion for that NTRP level.

- B.1. USTA EASTERN: Two team leagues must play a minimum of four matches to be eligible for playoffs at the next level, but are encouraged to play five or more matches. An entire forfeited match will not count as a match played.
  - 2.01C(1)a Each Sectional Association may permit additional matches, selected randomly, within a flight.
  - **2.01C(1)b** Each Sectional Association may permit additional cross-flight matches in which each team in one flight plays an equal number of matches in the other flight, selected randomly if not a full round robin.
  - **2.01C(1)c** Each Sectional Association may permit the top teams in a flight to play an additional round robin to determine advancement, and the teams without a mathematical chance to advance may play an additional round robin.
- B.2. USTA EASTERN: Local leagues may choose to permit (a) additional matches selected randomly within a flight or (b) additional cross-flight matches in which each team in one flight plays an equal number of matches in the other flight, selected randomly if not a full round robin or (c) additional round robin to determine advancement and the teams without a mathematical chance to advance may play an additional round robin.
  - **2.01C(2) Team Match.** Each team match in a local league shall consist of such number of individual matches of singles, doubles, or any combination thereof as determined by the local league. For each team match, a majority of the individual matches must actually be played to constitute a valid team match. Each Sectional Association shall establish a procedure to determine the actions to take when the combination of individual match defaults given by the two teams in the team match results, or would result, in a situation where the majority of the individual matches would not be played .
- B.3. USTA EASTERN: Where less than 3 courts are played (or two courts for Adult 2.5, 5.0+, 55 & Over, 65 & Over, or Tri-Level, or Mixed) the invalid match will be excluded when determining team standings if it affects final flight standings for the team receiving the default. Regions/Areas may assess additional sanctions on captains and/or teams that cause invalid matches without just cause. The Local League Coordinator has final discretion in rescheduling of courts to avoid invalid matches.
- B.4. USTA EASTERN: It is the responsibility of both captains, and their designees, to contact each other at least 48 hours in advance to verify the time and place of a scheduled match and to make certain that a complete team will be fielded. It is the responsibility of the home captain to inform the visiting captain of any dress code required by the host site.
  - **2.01C(3) Match Scoring and Formats.** It is recommended that all matches be the best of three tiebreak sets with a match tiebreak in lieu of a third set. The match tiebreak shall be scored as one set and one game for tiebreak purposes. For play at or below the Sectional level, the Sectional Association may authorize the use of other USTA approved scoring methods and other scoring methods that are compatible with the USTA NTRP Computer Rating System. Other match formats include: best of three tiebreak sets with a set tiebreak at 6-all, pro-set matches, a single set with a set or match tiebreak at 6-all and timed matches. No-Ad scoring can be used with any of the above scoring methods. The method of awarding points in order to determine the winning team of a local league team match and the winner of the local league competition may be the same as that used in championship competition or may be another method compatible with the USTA NTRP Computer Rating System selected by the local league. (See Reg. 2.03D *Competition Format* and Reg. 2.03E *Team Match and Scoring* for championship competition.) The scoring in the event of a default or disqualification shall be 6-0, 6-0. Retirements shall be scored as described in Reg. 2.03N *Scoring of Retirements*.
- B.5. USTA EASTERN: Unless a waiver is granted by the Adult League Committee, the match format for all local, area, district, and region matches will be best 2 out of 3 sets with a match tie break in lieu of a 3<sup>rd</sup> set. Play must be continuous throughout the match. A 2-minute break is allowed following each set.

**2.01C(4) Individual Match.** An individual match is defined as any singles match or any doubles match played as part of a team match.

B.6. USTA EASTERN: Regular matches will be scheduled and played according to the league schedule, if possible. Rained out matches or stoppage of play due to circumstances beyond the control of the players should be rescheduled as soon as possible and must be played using the original lineup cards exchanged on the originally scheduled date and time. Agreements for rescheduled matches must be submitted in writing by both captains to the Local League Coordinator, unless the league coordinator reschedules the match.

**2.01C(5) Team Line-Ups.** The team captain for each team shall exchange their team line-up simultaneously prior to the beginning of the team match. No substitution may be made in an individual match after the line-up has been presented, except for injury to, illness of, or disqualification of a player prior to the start of such match and except under such further circumstances as a Sectional Association may authorize. If the substitution is made during the warm-up, the substitute player is entitled to a five minute warm-up. The default principles in Reg. 2.03K *Team Defaults* shall be applicable.

B.7. USTA EASTERN: Teams are encouraged to field their players for each team match in order of strength. Local regulations may require a point system for scorekeeping to discourage stacking.

B.8. USTA EASTERN: Each team must have a captain or acting captain, familiar with local league rules, present at every match. The captains, or their designees, must fill out the scorecard, exchange the cards simultaneously at least 5 minutes prior to the match start time (even if all players are not yet present\*\*), record the scores as each match finishes, and sign both cards.

B.9. USTA EASTERN: If, after the scorecards have been exchanged but prior to the first point of an individual match being played, a player becomes unable to play due to injury, illness or disqualification, a substitution may be made using a rostered player. No other player changes are allowed for unplayed matches on that scorecard. The original line-up may not be changed (except as noted above or in 201C(5) even though one or more individual matches may not be concluded on the date and time initially scheduled.

B.10. USTA EASTERN: \*\* (Except for Adult Tri-Level programs) defaults must occur from the bottom up. If a doubles player is missing at the end of the warm-up, the line-up must be adjusted and that team placed in the 3<sup>rd</sup> doubles position. The 2<sup>nd</sup> and 3<sup>rd</sup> doubles teams (as designated on the scorecard exchanged) for the team with the missing player may then be moved up 1st position e.g. if the first doubles team is missing a player those players must be placed in third doubles and the 2<sup>nd</sup> doubles team moved up to first and the 3<sup>rd</sup> doubles team moved up to 2nd. No other changes in either team's line-up are allowed. Similarly, a missing singles player must be placed in the 2<sup>nd</sup> singles position. Third doubles and/or 2<sup>nd</sup> singles must be the first courts defaulted.

**2.01D Coaching.** When the scoring method is the best of three tiebreak sets and a 10 minute rest period is taken between the second and third sets, coaching is permitted only during this rest period.

#### 2.02 PROGRESSION.

#### 2.02A Local League.

**2.02A(1) Local League Competition.** Each team that wins a specific level of local league competition shall be entitled to advance to the next level of competition if otherwise eligible.

**2.02A(2) Local League Champions.** At the conclusion of local league competition, the Local League Coordinator shall certify to the Sectional League Coordinator the results of the local league competition and the name(s) and address(es) of the team captain(s). Local league competition must be concluded prior to the deadline set by the Sectional Association.

#### 2.02B Championships.

- **2.02B(1) District/Area Championships.** Each Sectional Association shall determine and announce a method of progression suitable for its geographic boundaries for advancement of its local league teams to Sectional League Championships. Such progression may include one or more championships below the Sectional Championships.
- **2.02B(2) District/Area and Sectional Championship Events.** Each Sectional Association shall determine the dates of the championships within the Section. Each championship event ends on the date entered in TennisLink as the championship end date. In the event a championship is not concluded on the date published in TennisLink, then the end date in TennisLink should be amended to reflect the date of the last match played.
- **2.02B(3) Sectional Championships.** Each Sectional Association shall hold a Sectional League Championship in each National approved NTRP level for each Division and Age Group, on or before the date specified by the USTA League Leadership, to determine its Sectional champions. The winning team at each NTRP level and Age Group shall be allowed to compete in the USTA League National Championships if otherwise eligible. If the winning team at any NTRP level of competition is unable to compete further towards the National Championships, then the Sectional League Coordinator may select the second place team for such further competition, etc.
- **2.02B(4)** National Championships. The team winning the National Championship in each NTRP level of competition in each Age Group of the Adult and Mixed Divisions shall be the USTA League National Champion.

#### 2.03 DISTRICT/AREA, SECTIONAL AND NATIONAL LEAGUE CHAMPIONSHIPS.

#### 2.03A Eligibility.

- **2.03A(1)** The District/Area or Section has the authority to determine the progression of teams to its championship competition by determining a champion of the preceding level of competition in its NTRP category or by using the *Championships Wildcard Procedures*. Champions of the preceding level of competition must be included in the progression if otherwise eligible.
- 2.03A(2) Participation. A player may participate in only one individual match within each team match.
- **2.03A(3)** In the Adult and Mixed Divisions, a player is eligible to progress to championship level competition below National Championships if that player has played on the same team in at least two matches at the same NTRP level in the same Age Group during its local league season and is otherwise eligible. A maximum of one default received by the player during local league competition shall count for advancing. Retired matches shall count toward advancing for all players involved in both USTA League Divisions. (See *Matches Required to Advance to Championships Table.*)
- **2.03A(4)** In the Adult and Mixed Divisions, a player is eligible to advance to National Championship competition if that player has played on the same team in at least three matches at the same NTRP level in the same Age Group through Sectional Championships and is otherwise eligible. A maximum of one default received by the player during local league or championship compettion shall count for advancing. Retired matches shall count toward advancing for all players involved in both USTA League Divisions. (See *Matches Required to Advance to Championships Table.*)
- **2.03A(5)** At all Championships, a minimum number of team members as shown in the following table, who were on the final roster of their local league team at the conclusion of local league play, must be available and eligible to compete or to combine and compete, as appropriate. The required minimum number of team members may be reduced with an approved waiver, but to not less than the minimum as noted in the following table. At Sectional Championship level and below, the Championship Committee may grant such a waiver. At the National Championships a committee comprised of the USTA League Chair, Vice-Chair and the National League Administrator may grant such a waiver.

MINIMUM NUMBER OF TEAM MEMBERS REQUIRED AT CHAMPIONSHIPS					
DIVISION	AGE GROUP	MINIMUM NUMBER AT ALL CHAMPIONSHIPS	MINIMUM NUMBER WITH APROVED WAIVER AT ALL CHAMPIONSHIPS		
	18 & Over 2.5 women & 5.0+	5	3		
Adult	18 & Over 3.0, 3.5, 4.0, 4.5	8	4		
Adult	40 & Over	8	4		
	55 & Over	6	4		
	65 & Over	6	N/A		
	Tri-Level	6	Invitational		
Mixed	18 & Over 40 & Over	3 Men 3 Women	2 Men 2 Women		

B.11. USTA Eastern: Each league is required to commence play a minimum of 31 days prior to the scheduled start date for the Sectional Championship for that league.

B.12. USTA EASTERN: A minimum of 8 eligible players (Adult Division 3.0, 3.5, 4.0, and 4.5) or 5 eligible players (Adult Division 2.5, 5.0, 5.0+) or 6 eligible players (Adult Division 55&Over, 65&Over or Tri-Level and Mixed Division 18&Over or 40&Over) at each level of championship play must participate. All teams competing at Sectional Championship events must play all scheduled matches; penalties may be assessed if teams do not comply.

B.13. USTA EASTERN: Waivers for the minimum number of participants at Section Championship events will only be granted by the Eastern Section Adult League Committee in conjunction with the Section League Coordinator. Teams that do not meet these Sectional requirements may be subject to penalties.

**2.03B Official League Championships Entry.** An official copy of the final USTA TEAM ROSTER FOR LEAGUES shall be submitted by the team captain or coordinator to the Championship Committee at each level of league championships prior to the deadline announced for entry into the event.

B.14. USTA EASTERN: For sectional championships teams must register, designate the rostered players who will attend and pay the team fee via the Eastern Section web site no later than the Monday proceeding the first scheduled day of championship play or an alternate team will be selected.

**2.03C Scoring.** It is recommended that all matches be the best of three tiebreak sets with a match tiebreak in lieu of a third set. The tiebreak shall be scored as one set and one game for tiebreak purposes. For championship play at or below the Sectional level, the Sectional Association may authorize the use of other USTA approved scoring methods and other scoring methods that are compatible with the USTA NTRP Computer Rating System. Other match formats include: best of three tiebreak sets with a set tiebreak at 6-all, pro-set matches, or a single set with a set or match tiebreak at 6-all. No-Ad scoring may be used with any of the above scoring methods.

B.15. USTA EASTERN: The match format will be the best of three tiebreak sets with a match tiebreak in lieu of a third set for all Section championships and for championships below the Section level unless a waiver has been specifically granted by the Adult League Committee.

**2.03D Competition Format.** The Championship Committee shall determine the format for the competition. It may be round robin competition, single elimination competition or a combination of the two.

**2.03D(1) Round Robin.** If round robin competition is used, each team shall play every other team in its flight and the team with the most team points shall be the champion in its flight. The use of flights requires a playoff structure to establish a champion.

B.16. USTA EASTERN: The Section Championships will be a round robin format in all levels of competition unless only two teams have registered for an NTRP level.

**2.03D(2) Single Elimination.** If single elimination competition is used, each team shall be drawn in a tournament format in accordance with the USTA Tournament Regulations

**2.03E Team Match and Scoring.** A team match will consist of the matches as shown in the following table. The team winning the majority of individual matches will be awarded one team point. For each team match, a majority of the individual matches must actually be played by the two teams to constitute a valid team match. The Championship Committee has the authority to determine the actions to take when the combination of individual match defaults given by the two teams in the team match would result in a situation where the majority of the individual matches would not be played.

TEAM MA	TEAM MATCH AND SCORING AT ALL CHAMPIONSHIPS					
DIVISION AND AGE GROUP	TEAM MATCH	ONE TEAM POINT AWARDED FOR				
Adult 18 & Over 3.0, 3.5, 4.0, 4.5 Adult 40 & Over	2-Singles 3-Doubles	3 individual matches won				
Adult 18 & Over 2.5 women & 5.0+	1-Singles 2-Doubles					
Adult 55 & Over Adult 65&Over, Tri-Level Mixed 18 & Over Mixed 40 & Over	3-Doubles	2 individual matches won				

B.17. USTA EASTERN: The actions to be taken in the event of an invalid team match will be determined on a case-by-case basis by the designated Championship Committee at each sectional event and by the designated Championship Committee for championships below the Section Level.

**2.03F Substitutions.** No substitution may be made in an individual match after the line-up has been presented, except for injury to, illness of, or disqualification of a player prior to the start of such match, and except under such further circumstances as the Championship Committee may authorize. If the substitution is made during the warm-up, the substitute player is entitled to a five minute warm-up.

B.18. USTA EASTERN: In the event of rain or stoppage of play due to circumstances beyond the control of the players during local play and/or championships:

- 1. Completed individual matches will stand as played.
- 2. An incomplete match shall be continued by the same players and resumed at the exact point where it was discontinued set, game, point as existed when play was halted. (Any point played is always counted and never replayed.) If players (s) are unavailable to continue the match on the rescheduled time or date then the individual match will be scored as either a retirement in favor of the team whose players are available or a double default if both teams are missing a player.
- 3. All matches should be rescheduled and played as a team, if possible.
- 4. If teams have assembled to play and the beginning of the match is delayed by rain or wet courts or other circumstances beyond the control of the players, teams must wait no longer than one hour past the original time for the start of the first match to determine whether or not courts are playable. If the courts are not playable after 1 hour, teams are free to leave and reschedule the match, unless both teams agree to wait longer.

**2.03G Coaching.** When the scoring method is the best of three tiebreak sets and a 10 minute rest period is taken between the second and third sets, coaching is permitted only during this rest period.

**2.03H Procedures in the Event of a Tie.** In the event of a tie, whether in round robin or single elimination competition, the tie shall be broken by the first of the following procedures that does so:

- 2.03H(1) Individual Matches. Winner of the most individual matches in the entire competition.
- 2.03H(2) Head-to-Head. Winner of head-to-head match.
- 2.03H(3) Sets. Loser of the fewest number of sets.
- 2.03H(4) Games. Loser of the fewest number of games.
- **2.03H(5)** A Method to be Determined by the Championships Committee. Procedure to be announced prior to commencement of championship competition.

B.19. USTA Eastern: The procedures in 2.03H will be used to determine winners during championship events at or below the Section level. 2.03H(1) is the number of courts won. At the discretion of the local league coordinator, these or alternative procedures may be used to determine which local league team(s) will advance to championship play.

**2.03I Individual Defaults.** An individual default occurs when a player fails to appear on time, or is disqualified by a tournament official for misconduct.

**2.03J Scoring of Individual Defaults**. For the purpose of determining standings, individual defaults will be scored as a 6-0, 6-0 win for the player or doubles team receiving the default and a 0-6, 0-6 loss for the player or doubles team that defaulted the match. In the event of a default by both players or doubles teams, both sides will be given a 0-6, 0-6 loss and neither receives credit for a win. If a double default results in a tie, the tiebreak procedure shall be the same as in Reg. 2.03H *Procedures In the Event of a Tie* in order to determine a winner of that team match.

2.03K Team Defaults. In all championships after local league competition, a team must have a minimum number of eligible players available for play in each team match in accordance with the following table or the entire match must be defaulted. The maximum number of positions that may be defaulted without defaulting the entire match is also noted. When defaults are necessary, the defaults shall be determined by the defaulting team captain in accordance with the table below:

	ALLOWABLE DEFAULTS AT ALL CHAMPIONSHIPS					
DIVISION	AGE GROUP	MINIMUM NUMBER OF ELIGIBLE PLAYERS REQUIRED TO BE AVAILABLE PER MATCH	MAXIMUM NUMBER OF POSITIONS THAT CAN BE DEFAULTED	REQUIRED POSITION(S) AND ORDER OF MATCH DEFAULTS		
	18 & Over 2.5 women & 5.0+	3	1	No. 1 Singles Or No. 2 Doubles		
Adult	18 & Over 3.0, 3.5, 4.0, 4.5			<u>Singles:</u> No. 2 Singles before No. 1		
	40 & Over	4	2	Singles <u>Doubles</u> No. 3 Doubles  before No. 2  Doubles, and No. 2 Doubles before  No. 1 Doubles		
Adult	55 & Over	4 players who are				
Mixed	18 & Over 40 & Over	eligible to combine and compete	1	No. 3 Doubles		

B.20. USTA EASTERN: Local Matches -There is a fifteen-minute default rule (inclusive of warm up time) in effect for all local matches. Warm up time is limited to 5 minutes when a player arrives on court after the scheduled start time for the match. In cases of extenuating circumstances a Local League Coordinator may extend the default time. These circumstances would include, but not be limited to, extreme weather travel conditions, such as snowstorms, bridge or tunnel closings, fires, tornadoes and major street closings.

B.21. USTA EASTERN: Championship Matches - Beginning with District championships, the fifteen-minute default rule will be followed. In cases of extenuating circumstances the Tournament Director may extend the default time. These circumstances would include, but not be limited to, extreme weather/travel conditions, such as snowstorms, bridge or tunnel closings, fires, tornadoes and major street closings.

**2.03L Scoring of Team Defaults.** If a team defaults an entire team match for any reason during round robin play, that team is ineligible to continue play at that championship. All matches of the defaulting team already played shall be null and void when determining standings but will be used for ratings and advancement purposes. If all teams with a mathematical chance to advance have played the defaulting team in good faith, those matches shall stand as played when determining standings. The Sectional Association may impose further penalties on the defaulting team.

B.22. USTA EASTERN: - A team that forfeits an entire team match without just cause may be penalized to the extent that all matches for the season will be considered null and void when determining team standings if it affects final flight standings for the team receiving the default; the team members may not be allowed to participate in league play the following season. Playing an ineligible player for the second time by the same team during the course of the league year will constitute forfeiture of a team match without just cause. Captains/teams are expected to play a valid match when forfeiture of said match will affect the outcome of local league standings. The Local League Coordinator has final discretion in rescheduling of matches.

A team that forfeits an entire team match without just cause at any level of Championships may be penalized to the extent that all matches at that Championship level will be considered null and void if it

affects championship standings for the team receiving the default as determined by the Tournament Committee; the team members may not be allowed to participate in league play the following league year.

**2.03M Retirement.** A retirement occurs when an individual match has started and a player/doubles team is unable to continue due to injury, loss of condition, emergency or refusal to play.

**2.03N Scoring of Retirements.** In case of a retirement, for the purpose of determining standings, the non-retiring player/doubles team shall be credited with such number of additional games as would have been won if the match was completed and the non-retiring player/doubles team won every subsequent game. For NTRP computer data entry in TennisLink, mark as *retired* and submit actual scores of match at the point of retirement.

#### 2.04 NTRP DYNAMIC DISQUALIFICATION AND REVIEW PROCEDURES.

2.04A Applicability. The Regulations in this section are applicable only to the USTA League Adult Division.

**2.04B NTRP Dynamic Disqualification Procedures.** Dynamic ratings will be calculated for all Adult Division players during local league competition and at every level of championship competition below National Championships to determine if any players have reached the NTRP Dynamic Disqualification criteria stated in the USTA NTRP Computer Rating System Procedures. Players subject to NTRP Dynamic Disqualification, as described in the *NTRP Dynamic Disqualification Table* – inside back cover, will be dynamically disqualified if they reach the disqualification level three times based on all matches except retirements received.

**2.04B(1) Local League NTRP Dynamic Disqualification.** Players who are NTRP dynamically disqualified will be notified by the Sectional League Coordinator or designee and have the right to a review in accordance with the procedures in Reg. 2.04C – *NTRP Dynamic Disqualification Review Procedures*.

**2.04B(2)** Championships NTRP Dynamic Disqualification. There will be no NTRP Dynamic Disqualifications at National Championships. Players who are NTRP dynamically disqualified at any championship below Nationals will be notified by the Sectional League Coordinator or designee and have the right to a review in accordance with procedures in Reg. 2.04C NTRP Dynamic Disqualification Review Procedures. The Section shall choose one of the following options for NTRP Dynamic Disqualifications at each Sectional Championship and below:

**2.04B(2)a** Run dynamic calculations and produce ratings throughout the championship. Through the conclusion of the championship event, notify and disqualify any player who meets the criteria for NTRP Dynamic Disqualification and reverse appropriate matches played. (See Regs. 2.04E(2)a and b for championship scoring procedures when NTRP Dynamic Disqualifications are done throughout the championship competition.)

**2.04B(2)b** Run dynamic calculations following the conclusion of the championship and disqualify those players who meet the criteria for NTRP Dynamic Disqualification. Matches played will stand. (See Reg. 2.04E(2)c for championship scoring procedures when NTRP Dynamic Disqualifications are done following the conclusion of championship competition.)

B.23. USTA EASTERN: Will elect to use option (a) — Run dynamic calculations throughout the championship and disqualify those players who meet the criteria for NTRP Dynamic Disqualification.

**2.04B(3)** Following an NTRP Dynamic Disqualification, the player may not play at the disqualified NTRP level of play or any lower NTRP level of play in either singles or doubles for the remainder of the Championship Year and for the succeeding Championship Year. In any Division using combined levels, the combined NTRP rating of the disqualified player and partner may not surpass the level of competition. A player who has been moved up as a result of an NTRP Dynamic Disqualification in the Adult Division must immediately adjust his/her NTRP level of play in the Mixed Division.

**2.04B(4)** Players who are NTRP dynamically disqualified may be allowed to move up and participate on another team at a higher NTRP level during that same local league season provided registration has not closed.

- **2.04B(5)** Individuals who receive their third strike while participating in an Early Start League (ESL) must immediately adjust their NTRP level of play. Such players may not participate at the disqualified NTRP level in a previous year's championship for which they may have qualified.
- **2.04B(6)** In the local leagues and at District/Area or Sectional Championships, if the NTRP Dynamic Disqualification of a player is not upheld by the NTRP Dynamic Disqualification Review Committee, a second NTRP Dynamic Disqualification will be considered if warranted by additional data.
- 2.04C NTRP Dynamic Disqualification Review Procedures. Reviews are based solely on missing or incorrect data.
  - **2.04C(1) Local.** If the team captain or the player disqualified in the local league desires a review of the NTRP Dynamic Disqualification, a written request must be submitted to the Sectional League Coordinator or designee asking for a review before the NTRP Dynamic Disqualification Review Committee of the nearest jurisdiction, within the deadline set by the administrator. The written request for a review shall be delivered by the recipient to the Chair of the NTRP Dynamic Disqualification Review Committee. A review shall be held as soon as reasonable.
  - **2.04C(2) Championship.** If the team captain or the player disqualified during or after the conclusion of championship competition desires a review of the disqualification, a written request must be submitted to the Tournament Director, Sectional League Coordinator or designee, asking for a review before the NTRP Dynamic Disqualification Review Committee of the nearest available jurisdiction within the deadline set by the Chair of the Championships Committee or designee. The written request for a review shall be delivered by the recipient to the Chair of the NTRP Dynamic Disqualification Review Committee. A review shall be held as soon as reasonable.

#### 2.04D NTRP Dynamic Disqualification Review Committees.

- **2.04D(1)** The Sectional Association shall appoint an NTRP Dynamic Disqualification Review Committee at the Sectional level and at championships below the National Championships to consider reviews of NTRP Dynamic Disqualifications. The Sectional Association may delegate such authority to the District/Area which may not be further delegated. The committees shall be appointed with the approval of their respective League Coordinator.
- **2.04D(2)** The members of any NTRP Dynamic Disqualification Review Committee may be the same as, or different in whole or in part from, the members of any other Sectional, District/Area Committees or Championship Committees below the National level.

**B.24 USTA Eastern: Dynamic Disqualification Reviews will be handled by the Section Grievance or the Section Grievance Appeal Committee at the direction of the Section League Coordinator.** 

- **2.04D(3)** The Committee Chair or designee shall immediately notify the affected players and team captains in writing of its decision.
- **2.04D(4)** There shall be no further right of appeal of the decision of any NTRP Dynamic Disqualification Review Committee.
- **2.04D(5)** The NTRP Dynamic Disqualification Review Committees for all local leagues and championships shall observe the review and disqualification procedures approved by the USTA League Committee.

#### 2.04E Scoring Procedures.

**2.04E(1) Local.** In the event of an NTRP Dynamic Disqualification from a particular level of play, the Section shall determine and publish in its regulations what matches, if any, shall be considered losses. The disqualified matches shall be considered 6-0, 6-0 wins for the opposing player or doubles team.

- 2.04E(2) Championship.
  - **2.04E(2)a NTRP Dynamic Disqualification Done Throughout Championship Competition When Using a Round Robin Format or Segment.** If the Section chooses to calculate dynamic ratings and disqualify throughout the championship, all matches played by a dynamically disqualified player shall be considered losses and those matches shall be considered 6-0, 6-0 wins for the opposing player or doubles team.
  - 2.04E(2)b NTRP Dynamic Disqualification Done Throughout Championship Competition When Using a Single Elimination or Segment. If the Section chooses to calculate dynamic ratings and disqualify throughout

the championship, the last individual match played by a dynamically disqualified player shall be considered a loss and that individual match shall be considered a 6-0, 6-0 win for the opposing player or doubles team.

**2.04E(2)c NTRP Dynamic Disqualification Done Following Conclusion of Championship Competition.** If the Section chooses to calculate dynamic ratings and disqualify following the conclusion of the championship, all points earned by dynamically disqualified players at the championship will stand but the disqualified players will not be allowed to continue or advance.

B.27. USTA Eastern: The Section chooses to calculate dynamic ratings and disqualify throughout District, Region and Section Championships.

#### 2.05 YEAR-END NTRP COMPUTER RATINGS AND APPEAL PROCEDURES.

**2.05A Applicability.** Regulations in this section are applicable to the Adult and Mixed Divisions.

**2.05B Year-end Computer Ratings.** When year-end NTRP computer ratings are determined in accordance with the USTA NTRP Computer Rating System Procedures for players, such ratings shall be the minimum NTRP rating levels of players.

**2.05B(1)** A year-end NTRP computer rating is valid for three consecutive years or until a new valid NTRP level is published for players who are 59 years of age or under through the League year, except for players with a published Mixed Exclusive "M" or Tournament "T" rating who choose to participate in the Adult Division. (See *Valid Computer Ratings Table* - inside back cover).

**2.05B(2)** A year-end NTRP computer rating is valid for two consecutive years or until a new valid NTRP level is published for players 60 years of age or older prior to or during the League year, except for players with a published Mixed Exclusive "M" or Tournament "T" rating who choose to participate in the Adult Division. (See *Valid Computer Ratings Table* - inside back cover).

**2.05B(3)** Early Start League players must follow the procedures set forth below when they receive a year-end computer rating that places them above the NTRP level at which they are competing:

**2.05B3(a)** Players who are found to have valid computer ratings, after the appeal process, that place them at the clearly above level mark must immediately adjust to their new NTRP level. Prior team matches played are valid.

**2.05B3(b)** Players who are found to have valid computer ratings, after the appeal process, that place them above the NTRP level at which they are competing may continue their participation at the lower NTRP level through the conclusion of any Early Start League in progress or until such other date <u>or championship level</u> as determined by the Section. They shall not be permitted to advance to any <u>National</u> Championship <u>at the</u> lower NTRP level. Prior team matches played are valid.

**2.05B3(c)** Players on a 2.5 team who are found to have valid computer ratings, after the appeal process, that place them above the 2.5 NTRP level may continue their participation through all championships at the 2.5 level UNLESS their year-end rating reached the clearly above level mark. If it did, they must immediately adjust to their new NTRP level. Prior team matches played are valid.

B.28. USTA EASTERN: Players above the NTRP level at which they are competing may continue at the lower NTRP level through 12/31/2016. However the local coordinator has the discretion to allow play through the conclusion of the local league portion of an ESL in progress. (See tri-level page for ESL rules).

**2.05C Appeal of Year-End Ratings.** Year-end NTRP valid computer rating levels may be appealed or denied through TennisLink except for 2.05D *Medical Appeals*.

**2.05D Medical Appeals.** If an intervening, permanently disabling injury or illness indicates that a player's current NTRP computer rating level may be too high, the player may request a medical appeal of such rating level in accordance with *USTA League NTRP Medical Appeal Procedures* – *Questions and Answers*.

B.29. USTA EASTERN: Medical appeals must be filed in writing with the Section League Coordinator, using forms available on the Eastern Section website, and must be accompanied by evidence sufficient to demonstrate that a player's medical condition is (1) permanent and (2) disabling. Medical Appeal Procedures and the list of required information that must be provided to substantiate a medical appeal are available for review on the Eastern web site. Medical appeals may be filed at any time and will be reviewed by the Eastern Section Medical Appeals Committee. If the Section Medical Appeals Committee finds that the injury/illness cited in the appeal is permanently disabling, the appeal and any supporting documentation will be forwarded to the National Medical Appeal Committee for a final decision.

- **2.05D(1)** Each Sectional Association shall designate a Medical Review Committee(s) to evaluate medical appeals. The members of the Committee are to be approved by the Sectional League Coordinator.
- **2.05D(2)** The Section designated Medical Review Committee shall have the authority to deny the appeal and such decision shall be final and binding. If said Committee deems the medical appeal should have further consideration, it will be forwarded to the National Medical Appeal Committee for a final decision.
- **2.05D(3)** The Chair of the USTA League Committee shall appoint a National Medical Appeal Committee to evaluate all medical appeals forwarded from the Sectional Associations. No member of the National Medical Appeal Committee shall have been a member of the Section designated Medical Review Committee who forwarded the medical appeal.
- **2.05D(4)** The National Medical Appeal Committee shall have the authority to either grant or deny the appeal. All decisions of the Committee are final and binding.
- **2.05D(5)** An NTRP rating level received from a granted medical appeal will be valid until a new NTRP computer rating level is published or the player is eligible to self-rate.

#### 2.05E Promotion of Players 60 or Over and 65 or Over

**2.05E(1)** Any player who is 60 years of age or older prior to, or during, the calendar year in which such player plays his or her first local league match and has achieved the same rating level or lower for his or her three most recent year-end ratings, without benefit of appeal of the player's year-end rating, will automatically be granted an appeal if promoted.

**2.05E(2)** All players age 65 or over, if promoted, will automatically be granted an appeal (A rating) of their current rating back to their previous valid year-end rating. NTRP Dynamic Disqualification procedures as outlined in 2.04B(3) apply.

#### 2.06 MOVE-UP/SPLIT-UP.

#### 2.06A National Championship Teams.

**2.06A(1):** Adult Divisions: Teams and team members that advanced to, or qualified for, any Adult National Championship may play together as a team, in whole or in part, in any Age Group within the Adult Division if they move up one NTRP team level in accordance with the following table. These players may combine and play at any level for which they are eligible in the Mixed Division.

- **2.06A(2) Mixed Divisions:** Teams and team members that advanced to, or qualified for, any Mixed Division National Championship may play together as a team, in whole or in part, in any Age Group within the Mixed Division if they move up one NTRP team level in accordance with the following table. These players may combine and play at any level for which they are eligible in the Adult Division.
- **2.06A(3) All Divisions:** No more than 3 players (2 for Adult 2.5 and 5.0+) who were on the roster of any team or combination of teams, that advanced to, or qualified for, any National Championship the previous year may play together in any age group within the same Division and NTRP level or lower NTRP level as the National Championship team, if their NTRP rating allows. Move Up/Split Up requirements only apply to players who participated in three (3) or more matches (1 default allowed) for that team during the

championship year. If a Section has a regulation that limits the number of players on a roster that are at a specific level, the Section must suspend that regulation for one year for any team that advanced to, or qualified for, any National Championship the previous year and chooses to move up one NTRP team level.

When a player or team plays at this National Championship team level:	Maximum number of players who can combine to play together at that same NTRP level	Adult 18&Over move up level	Adult 40&Over move up level if eligible	Adult 55&Over move up level if eligible	Mixed 18&Over move up level	Mixed 40&Over move up level if eligible
Adult 18 & Over 2.5 women	2	3.0	3.0	6.0	*	*
Adult 18 & Over 3.0	3	3.5	3.5	7.0	*	*
Adult 18 & Over 3.5	3	4.0	4.0	8.0	*	*
Adult 18 & Over 4.0	3	4.5	4.5+	9.0	*	*
Adult 18 & Over 4.5	3	5.0+			*	*
Adult 18 & Over 5.0+	2				*	*
Adult 40 & Over 3.0	3	3.5	3.5	7.0	*	*
Adult 40 & Over 3.5	3	4.0	4.0	8.0	*	*
Adult 40 & Over 4.0	3	4.5	4.5+	9.0	*	*
Adult 40 & Over 4.5+	3	5.0+			*	*
Adult 55 & Over 6.0 or 3.0	3	3.5	3.5	7.0	*	*
Adult 55 & Over 7.0 or 3.5	3	4.0	4.0	8.0	*	*
Adult 55 & Over 8.0 or 4.0	3	4.5	4.5+	9.0	*	*
Adult 55 & Over 9.0 or 4.5	3	5.0+			*	*
Mixed 18 & Over 6.0	3	**	**	**	7.0	7.0
Mixed 18 & Over 7.0	3	**	**	**	8.0	8.0
Mixed 18 & Over 8.0	3	**	**	**	9.0	9.0
Mixed 18 & Over 9.0	3	**	**	**	10.0	
Mixed 18 & Over 10.0	3	**	**	**		
Mixed 40 & Over 6.0	3	**	**	**	7.0	7.0
Mixed 40 & Over 7.0	3	**	**	**	8.0	8.0
Mixed 40 & Over 8.0	3	**	**	**	9.0	9.0
Mixed 40 & Over 9.0	3	**	**	**	10.0	

<sup>\*</sup>Adult Division players may play in the Mixed Division with no restrictions

## B.30. USTA Eastern: Move-Up/Split Up requirements are not applicable to Adult 65&Over or Tri-Level Leagues.

#### 2.06B Section Options for Move Up/Split Up.

**2.06B(1)** All Championships Below Nationals. Sections shall have the right to decide whether a team who competes at any championship level below the National Championships must move-up or disperse to form new teams for the following championship year and under what conditions.

**2.06B(2)** Sections shall have the option to restrict crossover between Adult and Mixed Divisions notwithstanding Section 2.06A.

#### 2.07 CHAMPIONSHIP PLAYERS.

A championship player's computer rating achieved as a result of play in National Championships may not be appealed down following the Championship Year it is received except as in Reg. 2.05D *Medical Appeals* and Reg. 2.05E *Promotion of Players 60 or Over and 65 or Over.* 

<sup>\*\*</sup>Mixed Division players may play in the Adult Division with no restrictions

Note: USTA Eastern: The Section and Local Grievance Procedures are displayed following Section 3.00 of the National Regulations.

#### 3.00 GRIEVANCE PROCEDURES.

The Regulations in 3.00 GRIEVANCE PROCEDURES shall apply to all USTA League Divisions.

**3.01 ADMINISTRATION.** All grievances within the USTA League shall be administered in accordance with the provisions of this section. Any Section may, but shall not be required to, honor the sanction(s) imposed in another Section (at the conclusion of the original Section's grievance period and process). Sections choosing to honor the sanction(s) of another Section shall mirror the original sanction exactly; no appeals of any kind will be accepted in the honoring Section(s).

#### 3.01A Grievance Committees.

- 3.01A(1) Local. Each local league shall appoint a Local League Grievance Committee.
- **3.01A(2) Sectional and District/Area.** Each Sectional Association shall appoint a Sectional Association League Grievance Committee and each District/Area shall appoint a District/Area League Grievance Committee.
- C.1. USTA Eastern: A Section Grievance Committee will be appointed and, at a minimum, each Region shall appoint a Grievance Committee at the Region and/or District level to handle local, District/Area or Region grievances.
  - 3.01A(3) Championships Grievance Committees.
    - **3.01A(3)a Sectional and District/Area Championships.** Each Sectional Association shall appoint a Sectional Association League Championship Grievance Committee and each District/Area shall appoint a District/Area League Championship Grievance Committee.
- C.2. USTA Eastern: A Section League Championship Grievance Committee will be appointed for each championship event, on an event by event basis, by the Section League Coordinator, and the Chairs of the Section Grievance and Adult League Committees. Each Region shall appoint a Championship Grievance Committee, on an event by event basis, for championships below the Section level.
  - **3.01A(3)b National Championships.** The Chair of the USTA League Committee shall appoint National League Championship Grievance Committees. The members may be the same as, or different in whole or in part from, the members of the National League Championship Committees.
- **3.01B Grievance Appeal Committees.** No member of a Grievance Committee may serve as a member of a Grievance Appeal Committee that is considering an appeal of a grievance that was adjudicated by the Grievance Committee on which that person served.
  - 3.01B(1) Local. Each local league shall appoint a Local League Grievance Appeal Committee.
  - **3.01B(2) Section and District/Area.** Each Sectional Association shall appoint a Sectional Association League Grievance Appeal Committee and each District/Area shall appoint a District/Area League Grievance Appeal Committee.
- C.3. USTA Eastern: A Section Grievance Appeals Committee will be appointed and, at a minimum, each Region shall appoint a Grievance Appeals Committee at the Region and/or District level to handle local, District/Area or Region grievance appeals.
  - **3.01B(3) National.** The Chair of the USTA League Committee shall appoint a National League Grievance Appeal Committee.
  - 3.01B(4) Championship Grievance Appeal Committees.

**3.01B(4)a Section and District/Area Championships.** Each Sectional Association shall appoint a Sectional Association League Championship Grievance Appeal Committee and each District/Area shall appoint a District/Area League Championship Grievance Appeal Committee.

C.4. USTA Eastern: A Section League Championship Grievance Appeals Committee will be appointed for each championship event, on an event by event basis, by the Section League Coordinator, and the Chairs of the Section Grievance and Adult League Committees. Each Region shall appoint a Championship Grievance Appeals Committee, on an event by event basis, for championships below the Section level.

**3.01B(4)b National Championships.** The Chair of the USTA League Committee shall appoint National League Championship Grievance Appeal Committees. The members may be the same as, or different in whole or in part from, the members of the National League Championship Committees.

#### 3.02 GRIEVANCE TYPES.

**3.02A General Grievance.** Any grievance, other than those defined in Regulation 3.02B *Administrative Grievance*, 3.02C *National League Grievance*, 3.02D *Eligibility Grievance* and 3.02E *NTRP Grievance*, alleging a violation of (i) the USTA Constitution and Bylaws; (ii) the USTA LEAGUE REGULATIONS; (iii) the FRIEND AT COURT – *The USTA Handbook of Tennis Rules and Regulations* including THE CODE and Wheelchair Rules of Tennis (unless modified by these USTA LEAGUE REGULATIONS); or (iv.) the standards of good conduct, fair play, and good sportsmanship, shall constitute a General Grievance.

**3.02B Administrative Grievance**. Any grievance pertaining to administration of the League Program at any level shall constitute an Administrative Grievance. Captains and committee members are not subject to Administrative Grievances.

**3.02C National League Grievance** The only National League Grievance that can be filed is an Administrative Grievance against the National League Administrator.

**3.02D Eligibility Grievance.** Any grievance, other than an NTRP Grievance, contending that a player and/or team has failed to meet eligibility requirements to participate in the USTA League, shall constitute an Eligibility Grievance.

**3.02E NTRP Grievance.** Any grievance contending that a player's NTRP level is lower than his/her actual skill level shall constitute an NTRP Grievance. (See Reg. 3.03E(1) *Players Subject to NTRP Grievances.*)

#### 3.03 GRIEVANCES.

#### 3.03A General Procedures.

**3.03A(1)** Any grievance alleging a violation of (i.) the USTA Constitution and Bylaws; (ii.) the USTA LEAGUE REGULATIONS; (iii.) the FRIEND AT COURT – *The USTA Handbook of Tennis Rules and Regulations* including The Code and Wheelchair Rules of Tennis (unless modified by these USTA LEAGUE REGULATIONS); or (iv.) the standards of good conduct, fair play and good sportsmanship, shall be filed in writing with the USTA League Grievance Committee responsible for enforcement. Exceptions to filing a grievance with the USTA League Grievance Committee responsible for enforcement are noted in Regs. 3.03A(3) and 3.03A(4) regarding filing a grievance against a Sectional League Coordinator or the National League Administrator and in Regs. 3.03B(3)a regarding eligibility.

**3.03A(2)** An Administrative Grievance at the District/Area level or below shall be filed at the next higher level with the Sectional Association being the final authority. An Administrative Grievance may be filed by a captain, Local, District/Area League Coordinator, Sectional League Coordinator or a member of a Championship Committee. An Administrative Grievance shall be filed within one year of the incident leading to the grievance, or the grievance shall be barred.

**3.03A(3)** Any Administrative Grievance against a Sectional League Coordinator shall be filed in writing with the Sectional League Committee. The decision of the Sectional League Committee shall be final and binding.

- **3.03A(4)** Any Administrative Grievance against the National League Administrator shall be filed in writing with the USTA League Committee. The decision of the USTA League Committee shall be final and binding.
- **3.03A(5)** Any grievance with regard to any aspect of the USTA League, except those governed by Regs. 3.03A(3) or 3.03A(4) regarding a grievance against a Sectional League Coordinator or the National League Administrator or in Reg. 3.03B(3)a regarding eligibility, shall be processed through the USTA League Grievance and Grievance Appeal Committees at the appropriate level (i.e., Local, District/Area, Sectional or National). Decisions of Grievance Appeal Committees shall be final and binding except with regard to suspensions of individuals or teams for a period of 12 months or more. (See Reg. 3.04B(4).)
- **3.03A(6)** Any grievance against an individual or team may only be filed by (a) the team captain of the team who has competed in the match where the alleged violation occurred, (b) a league coordinator or (c) a member of a Championship Committee, except for Administrative Grievances, Eligibility Grievances and NTRP Grievances, which may be filed as stated in Regs. 3.03A(2), 3.03B(3), 3.03C(3) and 3.03E(2).
- **3.03A(7)** Regardless of any other regulation, a league coordinator or a member of a Championship Committee may file a grievance at any time, except for Administrative Grievances and NTRP Grievances. (See Regs. 3.03A(2) and 3.03E(3).)
- **3.03A(8) Play During Grievance Procedures.** An individual or team may continue to play during a grievance investigation and hearing but must understand that if upheld, all matches played during that time may be reversed.

#### 3.03B Local League Procedures.

- **3.03B(1)** Any grievance alleging a violation by an individual or team during local league competition shall be filed in writing with the Local or District/Area League Coordinator or designee having jurisdiction. The grievance must be filed prior to the commencement of whichever occurs first: (a) the involved team's next match in that flight, whether or not the involved player participates or (b) within 24 hours after the end of the local league season, except for Administrative Grievances (See Reg. 3.03A(2), Eligibility Grievances (See Reg. 3.03B(3), and NTRP Grievances (See Reg. 3.03E(3).)
- **3.03B(2)** Upon receipt of the grievance, the Local or District/Area League Coordinator or designee shall immediately send a copy to the Chair of the appropriate League Grievance Committee, or other Committee as designated in these USTA League Regulations, and to the party(ies) against whom the grievance has been filed.
- **3.03B(3)** Any grievance regarding failure to meet eligibility requirements may be filed by a team captain, league coordinator or member of a Championship Committee at any time.
  - **3.03B(3)a** For eligibility matters of simple fact (e.g., age, USTA membership, TennisLink registration) a USTA league coordinator may declare a player ineligible and disqualify that player without filing a grievance. (See Regs.1.04C(1) Official League Registration, 1.04E(2) Membership, 1.04E(3) Age, and 1.04F Official League Rating Program.)
  - **3.03B(3)b** All other grievances must go to the appropriate League Grievance Committee or other Committee as designated in these USTA League Regulations.
  - 3.03B(4) Scoring of Eligibility Disqualification in Local League.
  - **3.03B(4)a** In the event of an eligibility disqualification during the local league season and up to 24 hours after the end of the local league season, all matches played by the disqualified player shall be considered defaults and those matches shall be considered wins for the opposing players or doubles teams and scored 6-0, 6-0 for determining standings. In case of an eligibility disqualification in single elimination, the last individual match played by the disqualified player shall be considered a win for the opposing player or doubles team and scored 6-0, 6-0 for determining standings. If a double disqualification results in a tie, the local tiebreak procedure shall be used to determine the winner of the team match. If no such local tiebreak procedure exists, Reg. 2.03H *Procedures in the Event of a Tie* shall be used in order to determine a winner of the team match.
  - **3.03B(4)b** In the event of an eligibility disqualification after the conclusion of the local league season by more than 24 hours, the team will remain eligible to participate and retain points received up to the disqualification, but the ineligible player will not be allowed to continue or advance.

#### 3.03C Championship Procedures.

- **3.03C(1)** Any grievance alleging a violation during championship competition shall be in writing and delivered to the duly appointed site director or designee prior to whichever occurs first: (a) within 30 minutes of the completion of the involved team's match or (b) the commencement of the involved team's next match, whether or not the involved player participates, except for Administrative Grievances (See Reg. 3.03A(2), Eligibility Grievances (See Reg. 3.03B(3) and NTRP Grievances (See Reg. 3.03E(3).)
- **3.03C(2)** At the time a grievance is filed, a copy of the grievance shall be sent by the Championship Committee to the party(ies) against whom the grievance has been made.
- **3.03C(3)** Any grievance regarding failure to meet eligibility requirements may be filed by a team captain, league coordinator or member of a Championship Committee at any time.
- 3.03C(4) Scoring of Eligibility Disqualification for Championships.
  - **3.03C(4)a Eligibility Disqualification That Occurs Prior To or After the Conclusion of a Championship Event.** In the event of an eligibility disqualification prior to or after the conclusion of a championship event, the team will remain eligible to participate and retain points received up to the disqualification, but the ineligible player will not be allowed to continue or advance.
  - **3.03C(4)b Eligibility Disqualification That Occurs During a Championship Event When Using a Round Robin Format or Segment.** In the event of an eligibility disqualification in a round robin format during the championship event, the player will not be allowed to continue or advance on that team and all matches played by the player shall be considered losses and those matches shall be considered wins for the opposing players or doubles teams and scored 6-0, 6-0 for determining standings. If a double disqualification results in a tie, the tiebreak procedure shall be the same as in Reg. 2.03H *Procedures in the Event of a Tie,* in order to determine a winner of that team match.
  - **3.03C(4)c Eligibility Disqualification That Occurs During a Championship Event When Using a Single Elimination Format or Segment.** In case of a disqualification in single elimination format during the championship event, the player will not be allowed to continue or advance on that team and the last individual match played by the player shall be considered a win for the opposing player or doubles team and scored 6-0, 6-0 for determining standings. If a double disqualification results in a tie, the tiebreak procedure shall be the same as in Reg. 2.03H *Procedures in the Event of a Tie*, in order to determine a winner of that team match.

#### 3.03D Grievance Committee Action.

- **3.03D(1)** Following the receipt of a grievance and as soon as reasonable, the League Grievance Committee shall investigate the alleged violation as it deems appropriate. If the Committee deems necessary, it shall arrange for a hearing at which the parties involved shall have the opportunity to present evidence.
- **3.03D(2)** The USTA League Grievance Committee shall have the power to dismiss or deny the grievance or to direct the correction of any violations by reasonable means, including the suspension of an individual or team. This is applicable to any party to the grievance as long as such party has been given the opportunity to review and present evidence.
- **3.03D(3)** The USTA League Grievance Committee shall prepare a written decision setting forth the basis for its decision and the deadline for any written appeal and promptly send copies to the parties involved.

#### 3.03E NTRP Grievances.

3.03E(1) Players Subject to NTRP Grievances.

**3.03E(1)a** Any self-rated player who is playing at an NTRP level lower than his/her actual skill level is subject to an NTRP Grievance. If an NTRP Grievance Committee determines that the self-rated player has an inaccurate or inappropriate self-rating, the player shall be disqualified and have his/her NTRP level adjusted by the NTRP Grievance Committee. Scoring of matches played by the disqualified player, per Reg. 3.03E(6), may be administered in accordance with Reg. 3.03B(4) *Scoring of Eligibility Disqualification in Local League* and Reg. 3.03C(4) *Scoring of Eligibility Disqualification for Championships.* The NTRP Grievance Committee may additionally impose other penalties against a player who has inappropriately self-rated, including suspension. Also, a captain or others who have completed, assisted, condoned or approved inappropriate self-rating will be subject to such penalties as the NTRP Grievance Committee may decide, including suspension.

- **3.03E(1)b** A player with a valid NTRP Computer (C) rating or granted an Automatic Appeal (A) rating of a Computer (C) rating is not subject to an NTRP Grievance. Players 60 or over <u>and players 65 or over</u> who are promoted and granted an appeal per Reg. 2.05E are also not subject to an NTRP Grievance. Any other player is subject to an NTRP Grievance including players with granted Medical Appeals.
- 3.03E(2) Any league captain, coordinator or member of a Championship Committee may file an NTRP Grievance.
- **3.03E(3)** NTRP Grievances may be filed against a player at any time up to 48 hours after the conclusion of the Sectional Championship of the player's team, whether or not the player participated. NTRP Grievances will not be accepted at National Championships.
- **3.03E(4) Local League Competition.** NTRP Grievances shall be filed in writing with the Local/District/Area League Coordinator or designee having jurisdiction. NTRP Grievances shall be administered by the Sectional League NTRP Grievance Committee of the player against whom the NTRP Grievance was filed.
- **3.03E(5) Championship Competition.** NTRP Grievances shall be filed in writing with the duly appointed site director or designee having jurisdiction. NTRP Grievances shall be administered by the Sectional League NTRP Grievance Committee of the player against whom the NTRP Grievance was filed. Each Section shall have the option to adjudicate NTRP Grievances prior to, during or after a championship event.
- **3.03E(6)** NTRP Grievance disqualifications occur when the Sectional League NTRP Grievance Committee reaches such decision and sends notification to the parties involved. The date and time that the Sectional League NTRP Grievance Committee sends notification controls the assessment of penalties, including the scoring of matches, which shall be administered in accordance with USTA League Regulations 3.03B(4) *Scoring of Eligibility Disqualification in Local League* and 3.03C(4) *Scoring of Eligibility Disqualification for Championships*.
- **3.03E(7)** Following an NTRP Grievance Disqualification, the player may not play at the disqualified NTRP level of play or any lower NTRP level of play in either singles or doubles for the remainder of the Championship Year and for the succeeding Championship Year. In any Division using combined levels, the combined NTRP rating of the disqualified player and partner may not surpass the maximum permitted combined level for that Division. A player who has been moved up as a result of an NTRP Grievance Disqualification in the Adult Division must immediately adjust his/her NTRP level of play in the Mixed Division.
- **3.03E(8)** Following an NTRP Grievance Disqualification in an Early Start League (ESL), the player must immediately adjust his/her NTRP level of play. The player may not participate at the disqualified NTRP level in a previous year's championship for which the player may have qualified.
- **3.03E(9)** The Sectional League NTRP Grievance Committee decision may be appealed in accordance with USTA League Regulation 3.04 *GRIEVANCE APPEALS*.

#### 3.04 GRIEVANCE APPEALS.

#### 3.04A General Procedures.

- **3.04A(1)** Any party(ies) to the grievance may appeal the decision of the Grievance Committee within the time fixed by the Grievance Committee. Upon receipt of an appeal of the decision of the Grievance Committee, the Grievance Appeal Committee shall act promptly to resolve the appeal.
- **3.04A(2)** The party(ies) appealing shall file a written notice of appeal with the appropriate coordinator or designee, who shall send it to the Chair of the appropriate Grievance Appeal Committee, the Chair of the Grievance Committee whose decision is being appealed, the Local, District or Sectional League Coordinator, and to the other party(ies) involved in the grievance.
- **3.04A(3)** The party(ies) appealing shall have an opportunity to submit, in writing, facts and arguments in support of their respective positions. All information submitted shall be provided to all parties.
- 3.04A(4) Play During Grievance Appeal Procedures.
  - **3.04A(4)a** If the grievance was upheld, the individual is subject to all penalties imposed by the Grievance Committee during the appeal process.

**3.04A(4)b** If the grievance was dismissed or denied and then appealed, the party(ies) may participate during the appeal process, but must understand that the decision of the Grievance Committee may be remanded for reconsideration.

#### 3.04B Grievance Appeal Committee Action.

- **3.04B(1)** The Grievance Appeal Committee shall not be required to hold any hearing except as provided in Reg. 3.04B(2). Its decision may be based entirely on the findings of fact by the Grievance Committee whose decision is being appealed and on the facts and arguments submitted in writing by the party(ies) to the appeal.
- **3.04B(2)** If the Grievance Committee did not hold a hearing, the Grievance Appeal Committee shall do so, provided any party involved in the grievance so requests in writing. However, the committee may hear such further evidence as it, in its absolute discretion, deems appropriate.
- **3.04B(3)** The Grievance Appeal Committee shall have the power to affirm, modify, remand for cause, or reject the decision of the Grievance Committee. The Grievance Appeal Committee shall not impose a harsher penalty than that imposed by the Grievance Committee. For the purpose of clarification, should the Grievance Committee elect to not impose a penalty, the Grievance Appeal Committee may not impose a penalty; however, the Grievance Appeal Committee may, for cause, remand the matter to the original Grievance Committee or a new Grievance Committee for reconsideration.
- **3.04B(4)** A copy of the Grievance Appeal Committee's written decision setting forth the basis of its decision shall be promptly sent to all interested parties and such decisions shall be final and binding. The following exception shall apply: any individual or team suspended by any Local, District/Area, or Sectional Grievance Appeal Committee for a period of 12 months or more may appeal the final decision of the Grievance Appeal Committee to the National League Grievance Appeal Committee within the deadline established by the Grievance Appeal Committee.

#### 3.04C National League Grievance Appeal Committee Action.

- **3.04C(1)** The National League Grievance Appeal Committee shall hear appeals from individuals/teams suspended for a period of 12 months or more by a Local, District/Area, or Sectional League Grievance Appeal Committee. Upon receipt of an appeal of the decision of the Grievance Appeal Committee, the National League Grievance Appeal Committee shall act promptly to resolve the appeal.
- **3.04C(2)** The National League Grievance Appeal Committee shall have the power to conduct such investigation as deemed necessary by the Committee in its absolute discretion and to affirm, modify, remand for cause or reject the decision of the Grievance Appeal Committee, but may not impose a harsher penalty. (See Reg. 3.04B(3).) However, the National League Grievance Appeal Committee may, for cause, remand the matter to the original Grievance Committee or a new Grievance Committee for reconsideration.
- **3.04C(3)** A copy of the National League Grievance Appeal Committee's written decision setting forth the basis of its decision shall be promptly sent to all interested parties including the Grievance Appeal Committee. Such decision shall be final and binding.

#### 3.05 GENERAL PROCEDURES FOR GRIEVANCE AND GRIEVANCE APPEAL COMMITTEES.

- **3.05A Teleconference Participation.** If one or more members of a Grievance Committee or Grievance Appeal Committee cannot be present at a hearing, such members may, at the discretion of the chair, participate by means of a telephone conference call, video teleconferencing, or other approved remote participation. If any witness cannot be present at a hearing to present evidence, such witness may, at the discretion of the chair, be permitted to do so by means of a telephone conference call, video teleconferencing or other approved remote participation.
- **3.05B Written Requirement.** The requirement that a notice or other document be in writing is satisfied if it is sent by mail, fax, e-mail or equivalent communication.

#### **USTA EASTERN SECTION AND LOCAL GRIEVANCE PROCEDURES**

#### A. LOCAL GRIEVANCE PROCEDURES

- 1. All complaints alleging a violation by an individual or team during local league competition must be submitted in writing to the Local League Coordinator and the Chairman of the Local League Grievance Committee, if applicable, and to the player(s) or team(s) referenced in the complaint in accordance with timelines established in local league rules. Except for NTRP grievances, a Local League Coordinator may file a grievance at any time.
- 2. The Local League Coordinator with the prior approval of the Local/District/Area/Region Grievance Committee, has the right to suspend the playing privileges of a captain, player, or team whose behavior has been disruptive and/or found to not be in compliance with the regulations. Any individual or team suspended by any Local/District/Area/Region or Section Grievance Appeal Committee for a period of 12 months or more may appeal the final decision to the National League Grievance Appeal Committee.
- 3. The Local Grievance Committee shall send a copy of the letter of grievance along with its written decision to the Eastern Section League Coordinator and the Chair of the Section Grievance Committee.
- 4. A complaint against an individual or team pertaining to local league competition may only be filed by (a) the team captain of the team who has competed in the match where the alleged violation occurred or (b) the Local League Coordinator or (c) the Section League Coordinator.
- 5. Except for Administrative, Eligibility and NTRP Grievances (see 3.03A(2),3.03B(3), 3.03C(3) and 3.03E(2) a complaint must be filed prior to the commencement of the next team match in that flight involving such individual or team or within 24 hours after the end of the local league season, whichever occurs first. (Flight playoffs are considered an extension of the local league season.)
- 6. For complaints regarding eligibility of simple fact (e.g. age, USTA membership, misrepresentation of identity, etc) other than self-rate eligibility complaints, the Local League Coordinator may declare a player ineligible and disqualify that player without filing a grievance prior to the disqualification. All other complaints must go to the appropriate League Grievance Committee.
- 7. In the event of an eligibility disqualification during the local league season and up to 24 hours after the end of local league play (the league season end date in TennisLink), all matches played by the player shall be considered defaults and those matches shall be considered wins for the opposing team when determining standings and scored 6-0.6-0. In the event of a disqualification after conclusion of the local league season by more than 24 hours, the team will remain eligible to participate and retain points received up to the disqualification but the ineligible player will not be allowed to continue or advance.
- 8. A local grievance committee may institute a fee for filing a grievance, refunded if the grievance is awarded.
- 9. A complaint against the administration of a local league should be filed and heard at the next higher level with the Section being the final authority. League administrators are expected to make every effort to avoid even the appearance of a conflict of interest when enforcing or modifying regulations.
- 10. Any challenge to an individual's self-rating is defined as an NTRP Grievance and is subject to the rules in Section B. NTRP Grievances and appeals of a decision rendered by the Local Grievance Committee must be filed directly with the Section League Coordinator. A copy of the complaint/appeal must also be provided to the Local League Coordinator simultaneously with submission to the Section League Coordinator. The Section League Coordinator will forward the complaint, and any documentation provided, to the Chair of the Section Grievance Committee.

#### B. NTRP GRIEVANCE AND DYNAMIC NTRP DISQUALIFICATIONS

1. NTRP Grievances - A captain, coordinator or member of a championship committee may file a NTRP Grievance if they believe a player is competing one level or more below their actual skill level or a captain knowingly condoned player entry at a level below their actual skill level. A player with a valid NTRP Computer (C) rating, or Benchmark (B) rating or granted an Automatic Appeal rating of a Computer (C) rating is not subject to an NTRP grievance. An NTRP grievance during local league play shall be filed in writing with the Local League Coordinator who will forward to the appropriate parties.

The Section League Grievance Committee shall handle all NTRP grievances. These grievances must be submitted to the Section League Coordinator on Form 13: the Eastern Section Grievance Complaint Form (available on the Eastern web site). Requests that are incomplete, not on the proper form, or not timely will be rejected. The subject of the NTRP grievance must submit a completed USTA Player Background Form to the Section League Coordinator who will forward the completed form to the Chairperson of the Section Grievance Committee. The grievance may be automatically granted where the player fails to timely submit a completed Background Form. After review of the grievance, and any supporting documentation, the Section Grievance Committee will submit a written decision to the Section League Coordinator documenting any actions they deem appropriate, which may include disqualification, suspension from league play, or other league sanctions. The Section League Coordinator will distribute the written decision to all affected parties to the grievance and to the Chair of the Grievance Appeal Committee. Captains or others who condone inappropriate self-rating may be subject to possible sanctions, including disqualification, removal from a team, or suspension (may no longer captain on a specific team or will be generally precluded from captaining teams). Captains or others who fail to comply with directives set forth in a decision of the Section Grievance Committee or Section Grievance Appeal Committee may be subject to additional sanctions.

C.5. USTA Eastern: A separate NTRP Grievance Form must be completed for each player being grieved; forms containing multiple names will be dismissed without consideration. Except for grievances filed by local league coordinators, a twenty-five dollar (\$25) fee must accompany each grievance form filed; the fee will be returned if the grievance is granted.

An NTRP grievance during championship competition (district, area, regional and section championships) shall be filed with the designated Championship Grievance Committee for that championship. Scoring of matches in these instances shall be in accordance with USTA regulations 2.04E(2)a or b or alternatively Reg. 2.04E(2)c.

- 2. Appeals The decision of the Section Grievance Committee pertaining to NTRP grievances may be appealed; appeals must be filed with the Section League Coordinator within the time frame specified in the written decision of the Section Grievance Committee. The Section League Coordinator is responsible for sending the appeal to the Chairperson of the Section Grievance Appeal Committee and all other parties to the grievance (see section 3.04 in the 2015 USTA and Eastern Section Regulations). A fifty-dollar (\$50) filing fee, payable to USTA Eastern must be received within 7 days of the filing of the appeal; fees will be refunded if the appeal is upheld.
- 3. The Eastern Section NTRP Appeals Committee will consider appeals from self-rated players.
  - a. NTRP Disqualification at the local level

Self-rated players may be disqualified from playing at their registered skill level if their dynamic NTRP rating exceeds the level established by the National NTRP Committee (the strike level) three times during the championship year. If a player is disqualified, all matches generating strikes at the DQ level will be considered a loss and (s) he becomes ineligible for any future play at that level for the remainder of the league season. Appeals will not be considered except for administrative errors. Computer rated players are not subject to dynamic disqualification (See Appendix B).

b. NTRP Disqualification at District, Area, and Regional Championships

See USTA Eastern rule B. 25 and 26.

c. NTRP Disqualification at Section Championships

See USTA Eastern rule B. 27.

#### **B.** GRIEVANCES – ADMINISTRATIVE POWERS AND PROCEDURES

- 1. A complaint may be filed by a team captain against a player, captain or team for specific behavior occurring during the current championship season. A complaint may be filed by a Local League Coordinator, the Section League Coordinator or a championship tournament official against a player, captain or team alleging a violation of the standards of good conduct, fair play and good sportsmanship, which includes egregious, disruptive or abusive behavior that interferes with the administration of the league at any time.
- 2. Suspension of captain, player or team

- a. The Local League Coordinator, with the prior approval of the Local Grievance Committee, or, where there is no Local Grievance Committee, by the Section Grievance Committee, has the right to summarily suspend the captain, player or team whose behavior has been found not to be in compliance with the regulations and/or violates the standards of good conduct, fair play and good sportsmanship, including egregious, disruptive or abusive behavior that interferes with the administration of the league. The Administrative Grievance filed by the Local League Coordinator within 24 hours will determine length of suspension. All appeals are to be filed with the Section League Coordinator who will refer the appeal to the Committee that granted the suspension. Failure to comply with directives set forth in a decision issued by a Local or Section Grievance Committee is a violation of the standards of good conduct, fair play and good sportsmanship and therefore can be the subject of a new grievance.
- b. The Section League Coordinator, with the prior approval of the Section Grievance Committee, has the right to summarily suspend the captain, player or team whose behavior has been found not to be in compliance with the regulations and/or violates the standards of good conduct, fair play and good sportsmanship, including egregious, disruptive or abusive behavior that interferes with the administration of the league. The Administrative Grievance filed by the Section League Coordinator within 24 hours will determine length of suspension. All appeals are to be filed with the Section League Coordinator who will refer the appeal to the Committee that granted the suspension.
- c. The decision whether to grant the suspension approval set forth in subsection B (1) above, shall be made by a majority vote of the available Grievance Committee members, or by a single committee member where the other members are not immediately available and shall be made as soon as practicable but no later than 48 hours after the suspension request from the Local League Coordinator, the Section League Coordinator or tournament official.
- d. A captain, player and/or team shall be notified of any such suspension in writing by the committee that gave the approval for the suspension as soon as practical but no later than 48 hours following the suspension decision, and shall include a notice to the captain, player and/or team of their right to file a petition to review the suspension to the committee that approved the suspension within 48 hours of the notification of suspension.

#### 3. Appeals

The decision of the Section League Grievance Committee pertaining to administrative grievances may be appealed. The party(ies) filing the appeal should demonstrate that either proper procedures were not followed or that the decision is not supported by the record and/or contains errors of rule or material fact. Appeals must be filed with the Section League Coordinator within the time frame specified in the written decision of the Section Grievance Committee. All appeals must be accompanied by a fifty-dollar (\$50) filing fee payable to USTA Eastern; fees will be refunded if the appeal is upheld.

#### **USTA EASTERN TRI-LEVEL DOUBLES REGULATIONS**

All Eastern Tri-Level Leagues shall follow these regulations and the USTA Eastern Adult League Play Regulations) as documented herein and follow "The Code".

- Women's and Men's team, any three adjacent levels (i.e., 4.5-4.0-3.5, 4.0-3.5-3.0, 3.5-3.0-2.5).
- Lower rated players are permitted to "play up" one court. (For example: a 4.0 may play up to 4.5 or a 3.0 player may play up to 3.5). At least one player must be "at level" on each court. Players may not play on a court below their rating.

#### Ratings:

"Self-rated" players may play in tri-level leagues with certain limitations:

- 4.5-4.0-3.5 level: only players with a current computer rating or Early Start rating are allowed to advance to
  post season play. The Early Start Rating takes precedence over 2014 or 2015 computer ratings. If a selfrated player will receive a year end "M" or "T" rating they are allowed to advance.
- All other levels: self-rated players may play, and at the 4.0-3.5-3.0 level, are allowed to advance to Section championships (See Appendix C: Matches Required to Advance).
- Early Start League players who receive a year-end computer rating that places them above the NTRP level at which they are competing may use the lower rating for all play up to and including sectionals, except for the following (who shall be moved to that new NTRP level):
  - Players who fall under the category of 2.05B3(a).
  - Self-rated players who are found to have valid 2016 year end computer ratings, after the appeal process, that place them above the NTRP level at which they are competing.
- The national tournament director has final discretion as to whether 2015 year end ratings can be used at the national invitational.
- Each team shall have a minimum of six (6) registered players (2 at each level) but are encouraged have additional players.
- Each match will consist of three doubles matches, one at each level. Format is order of strength e.g., 1<sup>st</sup> doubles 4.5, 2<sup>nd</sup> doubles 4.0, 3<sup>rd</sup> doubles 3.5. If there is a default, players are not required to move up to a higher level court.
- A Match Tiebreak will be used in lieu of a third set (1<sup>st</sup> to 10 by 2)
- To qualify for Section Championships a player must have played a minimum of 1 match (defaults do not count)
- Winning teams may advance to a Section Championship for the 4.5-4.0-3.5 level and 4.0-3.5-3.0 level. Sectional winners may be eligible to participate in a national invitational tournament; eligibility criteria for the invitational tournament are at the discretion of the Tournament Director and not the Eastern Section.

#### **USTA EASTERN 65&Over LEAGUE PLAY REGULATIONS**

#### I. PLAYER ELIGIBILITY

All players must reach 65 years of age within the calendar year in which the first local league match is played, must have a valid computer or self rating (see 2.05E2), must be a USTA member through the 2015 Sectional Tournament end date, and must be registered on the team roster prior to playing a team match.

#### II. TEAM ELIGIBILITY

Each team shall have a team captain or co-captains to represent and handle the affairs of the team and must have a minimum of six players of the same gender registered and eligible to compete. The combined ratings of the doubles teams may not exceed the combined NTRP level in which the players are competing. For combination levels the ratings of the players on any doubles team may not be further apart than 1-point increments. The playing levels of will be: 6.0, 7.0, 8.0, and 9.0. The local league must start play a minimum of 31 days prior to the scheduled start date for the Sectional Championships for 65&Over teams.

#### III. FORMAT

A local league shall play at least one round robin competition wherein every team plays every other team, and each team must play a minimum of three <u>valid</u> team matches. A league with only two teams must play at least four <u>valid</u> matches. A minimum of four eligible team members/2 courts on the team roster must be available and eligible to compete for each local scheduled match (<u>see 2.01C(2)</u> and <u>Eastern rule B.3</u>). <u>Scores must be reported in TennisLink</u> within 48 hours of match completion.

It is recommended that all matches be the best of three tiebreak sets with a match tiebreak in lieu of a third set. The tiebreak shall be scored as one set and one game for tiebreak purposes.

Local leagues who want to use an alternative format for local league play must obtain a waiver from the Eastern Adult League Committee prior to the first match (see Eastern rule B.5). In all championships after local league competition, the team match format shall be three doubles matches and the team winning the majority of those three individual matches shall be awarded one team point.

#### IV. PARTICIPATION

A player is limited to 2 teams in this Age Group per local league season and those teams must be in different flights; players may <u>also</u> participate in other Age Groups in the Adult and/or Mixed Divisions during the same season. Please see regulation 2.05E(1) and (2) regarding promotion of players over the age of 60.

#### V. CHAMPIONSHIPS

Winning teams shall advance to a Championship, if applicable.

- A. For a 65&Over player to qualify for any championship (up to Section championships) they must have played on the same team in at least two (2) matches through Regional Championships and must have played 1 match (see Appendix C for clarification regarding retirements and/or defaults).
- **B.** If the team that qualifies, based on local play, to represent its Region at Section Championships is unable or unwilling to participate in Section Championships the second place team in that Age Group in the Region will be invited to participate. If the second place team cannot participate then another team from that Region or another Region in the section will be chosen to participate based on a random draw of eligible teams.
- **C.** CHAMPIONSHIP: A minimum of 6 players must be available to participate at any level of championship play (6.0, 7.0, 8.0, and 9.0). There must be 4 eligible players for every match at any championship level. All championship matches must be played.

#### GLOSSARY.

Area: See District.

Appeal: Request for reconsideration of a previous decision, determination or finding.

Championship Level: Any USTA League competition held after local league competition or playoffs.

**Championship Year:** The timeframe beginning with the first local league including Early Start League and ending with the conclusion of the National Championship.

**Coman Tiebreak Procedure:** An alternative procedure that may be used with the Set Tiebreak or Match Tiebreak where ends are changed after the first point, then after every four points, and at the end of the Tiebreak. The scoring is the same as a traditional Set or Match Tiebreak. Beneficial in doubles as players continue to serve from the same side as during the set. (See FRIEND AT COURT – Part 3: USTA Reg. I.E.(1)c.)

Computer Rating: A rating assigned to players that reflects level of ability.

Competitive: A match where the outcome is unpredictable.

Compatible: A match where the outcome is predictable, with the higher rated player winning routinely.

**Default**: When a player or team fails to appear or is removed by an administrator or tournament official for misconduct or violation of regulations.

Disqualification: Action taken to remove from a team, a player deemed ineligible to participate.

**District:** Geographic boundaries fixed by a Sectional Association within their Section that represents a subdivision of the Sectional Association. This term may be interchanged with the terms Area, State, Region and Territory.

**Division:** National League Programs (Adult and Mixed) offered and administered by the USTA and any other USTA League sanctioned program offered in a Section, District or Area, identified as part of TennisLink and entered as part of the USTA NTRP Computer Rating System.

**Domicile:** A legal concept involving residency. It is that place where a person has a true, fixed permanent home or that person's home for the indefinite future. A person can only have one domicile at a time.

**Dynamic Rating:** A rating associated with a player that has the potential to change with every match played by the player.

Early Start League: A local league season that commences prior to January 1 of the League Year.

Eligibility: Requirements to play.

**Flight:** A group of teams competing at a specified NTRP level within a local league or championship competition where every team in that group plays every other team to declare a winner. When flights are established, a playoff must be held at championship level to determine a champion in that NTRP level. Below championship level, the playoff may be held to determine a champion in that NTRP level.

Forfeits: See Default

Grievance: A written formal complaint regarding an alleged violation of a regulation or procedure.

**Inaccurate self-rating**: A self-rating that occurred as a result of no willful intent on behalf of the player whether by the player or one acting on behalf of the player (i.e., captain, coach, etc.).

**Inappropriate self-rating:** A self-rating that occurred as a result of willful intent to misrepresent a player's level of play, whether by the player or one who condones or acts on behalf of the player (i.e., captain, coach, etc.).

Individual Match: Any singles or doubles match played as part of a team match.

League Coordinator: A person appointed or hired to implement and administer USTA League.

**League Progression:** Local league team winners have the opportunity to advance through District/Area, Sectional and National Championships competition.

Level of Play: NTRP skill levels offered in the USTA League Program.

Local: Geographic boundaries fixed by a District within their District.

**Local League:** A team competition in a specified geographical area that applies specific local league regulations and consists of NTRP level(s) with a minimum of two or more teams per NTRP level. A local league may choose to divide any or all NTRP levels into flights. Where flights are used, a local playoff structure may be established to determine a champion.

Local Playoff: Part of local league competition.

Match Tiebreak: First to 10 by a margin of 2. (See FRIEND AT COURT – Part 3: USTA Reg. I.E.1.b.)

**Mixed:** A team comprised of a man and woman who combine to play.

**New Player:** An individual who is playing in the Championship Year of his/her first year of competition in the USTA League Program.

NTRP: National Tennis Rating Program

**NTRP Level:** Generic term advising the minimum NTRP level in increments of .5 at which a player may participate. **NTRP Disqualification Criteria:** Having reached disqualification level three times, as designated in the USTA NTRP Computer Rating System Procedures, during the local league competition and/or any level of championship competition below the National Championships in the Adult Division.

**NTRP Computer Methodology Procedures:** The document establishing procedures governing the *USTA NTRP Computer Rating System*.

Player: The individual USTA Member who registers on a team.

**Plus (+) NTRP Level:** An NTRP level which allows a team roster to include a specified number of players from the **next** higher NTRP level.

Plus (+) Player: A player who is allowed to register on a specific NTRP Plus (+) level roster with a rating that is at the next higher NTRP level.

Rating: See Computer Rating, Dynamic Rating, NTRP Level, Self-Rating.

**Remand:** To send the grievance back to either the original Grievance Committee or a new Grievance Committee, as the Grievance Appeal Committee deems appropriate, for reconsideration.

**Residency:** A place where a person is actually living, as distinguished from the person's domicile, or a place where one temporarily lives. Domicile and residence may coincide. A person can have more than one residency while he or she can have only one domicile.

**Rest Period:** A maximum of 10 minutes with coaching permitted between the second and third set when playing best of three tiebreak sets.

**Retirement:** Occurs when an individual match has started and a player is unable to continue due to injury, loss of condition, emergency or refusal to play. Retirements are entered in TennisLink with the final game count for NTRP calculation purposes (e.g., 2-6, 1-3) and the system will automatically credit the individual receiving the retirement with sufficient games as to make them the winner of the match (i.e., 2-6, 6-3, 1-0 or 2-6, 6-3, 6-0 depending on scoring format) for determining standings.

**Returning Player:** An individual who has played in a previous Championship Year and is now going to play in the current Championship Year.

Round Robin: Each team plays every other team in its flight.

**Self-Rating:** A rating for a new player entering the program determined in accordance with the *National Tennis Rating Program (NTRP) Guidelines* during the on-line player registration process.

Set Break: The 2 minute period between the completion of the last game of a set and the beginning of a succeeding set.

Set Tiebreak: First to 7 by a margin of 2. (See FRIEND AT COURT – Part 3: USTA Reg. I.E.1.a.)

Start of a Match: First service attempt on an individual court.

**Team:** Individuals rostered together including non-playing captains.

**Team Match:** 

**Local Team Match:** Number of individual singles and doubles matches or any combination as determined by the local league.

**Championship Team Match** Adult 18 & Over and 40 & Over Age Groups (3.0, 3.5, 4,0, 4.5 and 4.5+ NTRP Levels) – 2 singles, 3 doubles; Adult 18 & Over Age Group (2.5 women & 5.0+ NTRP levels) - 1 singles, 2 doubles; Adult 55 & Over Age (6.0, 7.0, 8.0, 9.0 or 3.0, 3.5, 4.0, 4.5 NTRP Levels) – 3 doubles; Mixed 18 & Over (6.0, 7.0, 8.0, 9.0 and 10.0 NTRP Levels) and 40 & Over (6,0, 7,0, 8.0 and 9.0 NTRP Levels) Age Groups - 3 doubles

**Tiebreak Procedures:** Process to determine the winner of a Round Robin or Single Elimination in the team competition if the teams are tied.

Transition ball: Any Stage 3 (Red), Stage 2 (Orange) and Stage 1 (Green) ball.

**USTA League Leadership:** A committee comprised of the Chair and Vice Chair of the USTA League Committee and the National League Administrator.

**USTA Section:** One of 17 defined areas that comprise the USTA.

Valid Computer Rating: An NTRP computer rating that has not expired and is based on accurate player history.

**Waiver of Claims:** As a condition of participation, each player gives up the right to any demand for injuries sustained in traveling to or from or participating in the USTA League Program.

**Waiver of Rules:** Except where specifically permitted, no USTA League Regulation or Procedure may be disregarded. **Willful Intent:** An act is done willfully and knowingly when the individual intends to do it and knows the nature of the act will achieve the intended result.

**Year-End Rating Level:** A published NTRP level generated following the Adult Division National Championships each year.

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