

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Hutchins, Scott Hasting

Under Secretary (Research, Education & Economics), Department of Agriculture

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- **Committee on Agriculture, Nutrition, and Forestry**
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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Hutchins, Scott Hasting [electronically signed on 03/30/2018 by Hutchins, Scott Hasting in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Bender, Stuart, Certifying Official [electronically signed on 07/19/2018 by Bender, Stuart in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Jaffe, Seth, Certifying Official [electronically signed on 07/20/2018 by Jaffe, Seth in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Corteva Agriscience, Division of DowDuPont	Indianapolis, Indiana	Corporation	Global R&D Director	5/1987	Present
2	AgriNovus of Indiana	Indianapolis, Indiana	Non-Profit	Director on the Board	7/2017	Present
3	Family Revocable Living Trust	Carmel, Indiana	Trust	Trustee	1/2016	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	DowDuPont, stock options	N/A	\$100,001 - \$250,000		None (or less than \$201)
2	DowDuPont Elective Compensation Deferral Account	No			
2.1	Vanguard Small Cap Index Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.2	Vang Global Eq INV	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.3	PIMCO Total Return Instl Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.4	10-Yr US Treasury Note	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.5	Vanguard Institutional 500 Index Trust	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.6	BlackRock Lifepath Index 2020 Fund N	Yes	\$15,001 - \$50,000		None (or less than \$201)
3	Corteva Agriscience, Division of DowDuPont	N/A		Salary/Bonus	\$846,164
4	Corteva Agriscience, Division of DowDuPont, Anticipated Severance	N/A	\$250,001 - \$500,000		None (or less than \$201)
5	Health Savings Account (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
6	DowDuPont 401(k)	No			
6.1	BlackRock Lifepath Index 2020 Fund N	Yes	\$500,001 - \$1,000,000		\$2,501 - \$5,000
6.2	Vanguard Inst 500 Index Trust	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
6.3	Vanguard Interest Income Fund	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
6.4	TRHYX T. Rowe Price Institutional High Yield	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
6.5	Vanguard Global Equity	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
7	DowDuPont Pension Program, defined benefit plan (value not readily ascertainable): eligible for \$15,194.31 per month, beginning at age 65	N/A			None (or less than \$201)
8	IRA	No			
8.1	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Shares VCIT	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.2	Vanguard Dividend Appreciation Index Fund ETF Shares VIG	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
8.3	Vanguard Extended Market Index Fund ETF Shares VXF	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.4	Vanguard FTSE All-World ex-US Index Fund ETF Shares VEU	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
8.5	U.S. brokerage account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9	DowDuPont Stock	N/A	\$1,000,001 - \$5,000,000	Dividends Capital Gains	\$15,001 - \$50,000
10	DowDuPont Stock Options (vested)(value not readily ascertainable): 4207 shares, delivery date 2/15/21, exp. 2/15/28	N/A			None (or less than \$201)
11	DowDuPont Stock Options (vested)(value not readily ascertainable): 4207 shares, delivery date 2/15/20, exp. 2/15/28	N/A			None (or less than \$201)
12	DowDuPont Stock Options (vested)(value not readily ascertainable): 4206 shares, delivery date 2/15/19, exp. 2/15/28	N/A			None (or less than \$201)
13	DowDuPont Stock Options (vested)(value not readily ascertainable): 2704 shares, delivery date 2/10/20, exp. 2/10/27	N/A			None (or less than \$201)
14	DowDuPont Stock Options (vested)(value not readily ascertainable): 2703 shares, delivery date 2/10/19, exp. 2/10/27	N/A			None (or less than \$201)
15	DowDuPont Stock Options (vested)(value not readily ascertainable): 3564 shares, delivery date 2/12/19, exp. 2/12/26	N/A			None (or less than \$201)
16	DowDuPont Restricted Stock Units (vested)(value not readily ascertainable): 2720 units, delivery date 2/15/21	N/A			None (or less than \$201)
17	DowDuPont Restricted Stock Units (vested)(value not readily ascertainable): 4584 units, delivery date 2/26/19	N/A			None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18	DowDuPont Restricted Stock Units (vested)(value not readily ascertainable): 3932 units, delivery date 2/25/2020	N/A			None (or less than \$201)
19	DowDuPont Restricted Stock Units (vested)(value not readily ascertainable): 1600 units, delivery date 2/10/20	N/A			None (or less than \$201)
20	DowDuPont Restricted Stock Units (vested)(value not readily ascertainable): 2120 units, delivery date 2/12/2019	N/A			None (or less than \$201)
21	Corteva Agriscience, Division of DowDuPont, Anticipated performance bonus for 2018	N/A	\$50,001 - \$100,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	DowDuPont	Midland, Michigan	I will continue to participate in the DowDupont Elective Compensation Deferral Program. Neither I nor my employer will make any further contributions. The account is managed by Fidelity for payout at based on a fixed, established schedule at various intervals and amounts following separation.	1/2000
2	DowDuPont	Midland, Michigan	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	5/1987
3	DowDuPont	Midland, Michigan	I will receive pension payouts with this defined qualified pension plan, but no further accruals will occur after separation.	5/1987
4	DowDuPont	Midland, Michigan	I will continue to receive health insurance benefits pursuant to company policy for all retiring employee who are 50 and above with at least 10 years of service.	7/2009

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
5	DowDuPont	Midland, Michigan	Under the company's long term incentive plan I am eligible to retain stock options, restricted stock units and performance shares of DowDuPont stock. Normally the delivery would be completed at least two years after the date of their granting. DowDuPont will accelerate the delivery of any vested but undelivered awards upon my separation.	1/2003
6	DowDuPont	Midland, Michigan	Following my resignation, I will receive from DowDuPont a severance payment. DowDuPont will make this payment to me before I assume the duties of the position of Under Secretary or I will forfeit it.	5/1987
7	DowDuPont	Midland, Michigan	Following my resignation, I will receive a bonus for the work I performed during calendar year 2018, as is the corporation's practice for departing executive members. DowDuPont will use an objective formula to calculate this bonus. If I am confirmed before the end of the calendar year 2018, DowDuPont will pay me a pro rata share of my bonus that covers only the period of calendar year 2018 prior to my resignation.	5/1987

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Corteva Agriscience, Division of DowDuPont	Indianapolis, Indiana	Global Leader of Field Science.

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Indiana University Health	N/A		salary	
2	Health Savings Account (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	Indiana University - Defined Contribution Plan	No			
3.1	FBAKX Fidelity Balanced Fund	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
3.2	FXSTX Fidelity US Bond Index	Yes	\$5,000,001 - \$25,000,000		\$201 - \$1,000
3.3	FCNKX Fidelity Contrafund K	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
3.4	FDIKX Fidelity Diversified International	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
4	IRA	No			
4.1	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Shares VCIT	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.2	VIG Vanguard Dividend Appreciation Index	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
4.3	VXF Vanguard Extended Market Index	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
4.4	Vanguard FTSE All-World ex-US Index ETF	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
4.5	U.S. brokerage account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5	Indiana Health Physicians Retirement	No			
5.1	Vanguard Retirement Target 2020	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
6	Indiana Public Retirement System	No			
6.1	INPRS 2020 Fund	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

6. Other Assets and Income

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US bank account #1 (Cash)		N/A	\$100,001 - \$250,000		None (or less than \$201)
2	Prudential and Mutual of Omaha, Fixed Annuity	See Endnote	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
3	Brokerage Account #1		No			
3.1	GLW Corning Inc		N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
3.2	F Ford		N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
3.3	DWDP DowDuPont		N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
3.4	Parnassus Core Equity Fund - Investor Shares PRBLX		Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
3.5	U.S. brokerage account (cash)		N/A	\$100,001 - \$250,000		None (or less than \$201)
4	Brokerage Account #2		No			
4.1	MMM 3M COMPANY		N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
4.2	AT & T INC		N/A	\$15,001 - \$50,000		None (or less than \$201)
4.3	ABT ABBOTT LABORATORIES		N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
4.4	ACN ACCENTURE PLC F CLASS A		N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.5	MO ALTRIA GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.6	AAPL APPLE INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
4.7	AJG ARTHUR J GALLAGHER&CO	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
4.8	BBT BB&T CORPORATION	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
4.9	BCE BCE INC F	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.10	BDX BECTON DICKINSON&CO	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
4.11	CVX CHEVRON CORPORATION	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
4.12	CSCO CISCO SYSTEMS INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
4.13	KO COCA COLA COMPANY	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.14	CL COLGATE-PALMOLIVE CO	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
4.15	CVS CVS HEALTH CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.16	DE DEERE & CO	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
4.17	DIAGEO PLC	N/A	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000
4.18	ES EVERSOURCE ENERGY	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.19	XOM EXXON MOBIL CORP	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.20	GPC GENUINE PARTS CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.21	IBM IBM CORP	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.22	INTC INTEL CORPORATION	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
4.23	JNJ JOHNSON & JOHNSON	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
4.24	JPM JPMORGAN CHASE & CO	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
4.25	LEG LEGGETT & PLATT INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.26	LMT LOCKHEED MARTIN CORP	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$2,501 - \$5,000
4.27	LOW LOWES COMPANIES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.28	MSFT MICROSOFT CORP	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
4.29	NSRGF NESTLE SA ORDF	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.30	Novartis AG (ADR)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4.31	OXY OCCIDENTAL PETROL CO	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
4.32	OMC OMNICOM GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.33	ORCL ORACLE CORPORATION	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
4.34	PH PARKER-HANNIFIN CORP	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
4.35	PFE PFIZER INCORPORATED	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
4.36	PM PHILIP MORRIS INTL	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.37	PPG PPG INDUSTRIES INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
4.38	PG PROCTER & GAMBLE	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.39	RTN RAYTHEON COMPANY	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
4.40	SBUX STARBUCKS CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.41	TXN TEXAS INSTRUMENTS	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
4.42	TJX TJX COMPANIES INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
4.43	UTX UNITED TECHNOLOGIES	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
4.44	VZ VERIZON COMMUNICATN	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.45	VFC VF CORPORATION	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
4.46	WMT WAL-MART STORES	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.47	WSO WATSCO INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
4.48	WFC WELLS FARGO BK N A	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.49	AMLPS ALPS ALERIAN MLP ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.50	U.S. brokerage account (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
5	Brokerage Account #3	No			
5.1	SCHH CHARLES SCHWAB US REIT ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.2	IAU ISHARES GOLD ETF	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.3	iShares 0-5 Year High Yield Corporate Bond ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.4	SCHWAB EMERGING MARKETS EQUITY ETF	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.5	SCHWAB FUNDA EMG MKTS LARGE COM ETF	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.6	SCHWAB FUNDAMENTAL INL LARGE COM ETF	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.7	SCHWAB FUNDAMENTAL INTL SMAL COM ETF	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.8	SCHWAB FUNDAMENTAL US LARGE CO ETF	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
5.9	SCHWAB FUNDAMENTAL US SMALL COM ETF	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.10	SCHWAB INTERNATIONAL EQUITY ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.11	SCHWAB INTERNATNAL SMALL CAP EQY ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.12	SCHWAB US LARGE CAP ETF	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
5.13	SCHWAB US SMALL CAP ETF	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.14	SPDR INTRMDT TRM CRPRATE BND ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.15	VANECK VECTORS J P MORGAN EMERGING MKTS LOC CURRENCY BD ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.16	VANGUARD GLBAL EX US REAL ESTATE ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.17	VANGUARD MORTGAGE BACKED SEC ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.18	VANGUARD TOTAL INTERNATIONAL BND ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.19	XTRACKERS USD HIGH YIELD COR BND ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.20	U.S. brokerage account (cash)	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)
6	Brokerage Account #4	No			
6.1	AUSTIN TX WTR & WST 5%23 COMB UTIL DUE 11/15/23	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.2	CALIFORNIA STATE 5%26 GO UTX DUE 11/01/26	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.3	CLEVELAND OH WTR 5%26 WTR UTIL DUE 01/01/26	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.4	ILLINOIS FIN AUTH 5%26 FINL AUTH DUE 07/01/26	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.5	IN FIN AUTH HWY REV 5%28 HWY TRAN DUE 06/01/28	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.6	IN FIN AUTH HWY REV 5%28 HWY TRAN DUE 06/01/28	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.7	IN ST FIN AUTH REV 5%24 POOL AUTH DUE 02/01/24	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.8	IN ST FIN AUTH REV 5%27 POOL AUTH DUE 02/01/27	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.9	INDIANA UNIV REVS 5%24 PUB EDUC DUE 08/01/24	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.10	MARYLAND ST 5%24 GO UTX DUE 08/01/24	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.11	MD ST DEPT TRANS 5%30 COMB TAX DUE 09/01/30	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.12	MINNESOTA STATE 5%27 GO UTX DUE 10/01/27	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.13	N TX TWY AUTH 5%24 TOLL TRAN DUE 01/01/24	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.14	NEW JERSEY ST TURNP 5%25 TPK TRAN DUE 01/01/25	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.15	NEW YORK NY 5%26 GO UTX DUE 08/01/26	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.16	NEW YORK ST DORM AU 5%29 EXCISE TAX DUE 02/15/29	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.17	NJ ENV INFRA 5%25 FINL AUTH DUE 09/01/25	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.18	OH ST ADULT CORR 5%25 LEAS BLDG DUE 10/01/25	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.19	OH ST HOSP FAC 5%33 SYST HLTH DUE 01/01/33	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.20	OH ST INFRA PROJ 5%27 VP TRAN DUE 12/15/27	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.21	PURDUE UNIV IN 5%23 PUB EDUC DUE 07/01/23	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.22	SALT RIVER PRJ AZ 5%25 ELEC UTIL DUE 01/01/25	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.23	SD CONSVY DIST 5%29 POOL AUTH DUE 08/01/29	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.24	ST PAUL MN HSG/RDA 5%30 SYST HLTH DUE 11/15/30	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.25	WISCONSIN STATE 5%26 GO UTX DUE 11/01/26	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.26	U.S. brokerage account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

None

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
6.	2	This fixed annuity is jointly managed by Prudential and Mutual of Omaha.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
