

EDUCATION AND COACHING ENGAGEMENT AGREEMENT

This document gives you important information about the work that we will do for you, how you will pay for services and products, and how we will be paid for the work that we will do for you. You will find other important information and a description of our obligation to act in your best interests in our firm's Client Relationship Summary (Form CRS) and Form ADV Part 2 A and B attached and available on our website at www.RootedPG.com.

We Will Provide You the Following Services and Products

You have engaged us to provide financial education and coaching/planning services.

- Mindful Money Class Plus Program - \$297
 - Online spending plan class that includes videos, handouts, access to the spending plan and email support for the Google Sheet.
 - 3-months of email access + 1 meeting with a planner (set up) + 1 meeting at 3 months for a check-in
 - Once the initial three months are complete, the client can elect monthly accountability meetings for \$150 per month (separate agreement required)

Our work for you will not include monitoring your investments or tracking your progress.

As we learn more about your needs, we will discuss whether we should provide financial advice to you in other ways. For example, we could recommend insurance products. If you need services that we do not provide, such as accounting and legal services, then we also may be able to recommend professionals to provide you those services.

How You Will Pay for Services and Products

Our fixed fee will be \$297. Fee will be due at time of signing via electronic payment.

Please note:

- **You will pay fees and expenses to third parties when you invest in mutual funds and exchange-traded funds (ETFs).** In addition to the financial planning fee you pay us, these products have operating expenses and ongoing fees. Mutual fund and ETF fees and expenses can include investment management fees.
- You will pay fees and expenses whether you make or lose money on your investments. Fees and expenses will reduce the amount you earn on your investments.

How We Will Be Paid

You pay our firm an advisory fee and each of the planners and para-planners are paid a salary, and from time to time, a bonus based on the profitability of the firm. You will find more information about payments to us in our firm's Form CRS, Form ADV, and Investment Advisory Agreement.

My Material Conflicts of Interest

Our firm has a conflict of interest whenever we have interests that are different from yours. Conflicts can affect the recommendations we give you. When we have a conflict, we will tell you. You can find more information about our conflicts of interest in our firm's Form CRS and Form ADV. We have policies and procedures designed to help manage conflicts. I will always work in your best interests.

The ways you pay us create conflicts of interest. When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. We have made all attempts to reduce those conflicts of interest with our flat fee model. Please see Item 10 of our ADV.

If we provide other services to you in the future, there may be different conflicts. When we have a conflict of interest, we will tell you about it. If you are concerned about a conflict of interest and how it might affect your account, please talk to us about it.

Your Responsibilities

It is your responsibility to update the information you have given us about your personal and financial circumstances.

Your Personal Information

Our firm has adopted and implemented policies about protecting and sharing your non-public personal information. Here is a link to our [Privacy Policy](#) and the attached Privacy Policy Addendum.

Public Disciplinary and Bankruptcy History

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of RPG or the integrity of our management. We have no information applicable to this Item.

You can visit Investor.gov/CRS for a free and simple search tool to research us and our financial professionals.

Client Approvals and Agreement

Electronic Communications Acceptable

The Client ***consents to electronic delivery of required disclosure documents*** and other communications. Such consent will remain effective unless revoked by the Client, in writing. The Adviser will transmit information by email in text, PDF, Microsoft Word, or other formats that can be readily viewed, printed, and saved. The Client has provided the Adviser with one or more valid email addresses that the Adviser may use to communicate with the Client. The Client acknowledges that there may be costs associated with electronic delivery, such as computer equipment costs and on-line charges. The Client may revoke its consent to receive communications electronically at any time by notifying the Adviser. I (We) consent to electronic delivery of required disclosure documents.

Receipt of Form ADV, Part 2 and CRS

Client acknowledges receipt from Adviser of a copy of Adviser's Form ADV, Parts 2A and 2B and Form CRS. If the Form ADV Part 2 was not delivered to the Client at least 48 hours prior to the Client entering into any written advisory contract with the Adviser, then the Client has the right to terminate the contract without penalty within five (5) business days after entering into the contract. For the purposes of this provision, a contract is considered entered into when all parties to the contract have signed the contract or any other provisions of this contract notwithstanding. The Client shall be provided with an updated Form ADV, Part 2 on an annual basis and the Part 2B when material changes occur. Adviser's Form ADV, Part 2, is also currently available on www.advisorinfo.sec.gov. I (We) confirm receipt of Form ADV, Part 2.

Effective Date

By signing this Agreement, the Client acknowledges receiving the online education materials from the Adviser. This Agreement will be effective upon execution by both the Client. *I acknowledge that I have received, read, understand, and agree to abide by all the terms and conditions set forth in the Advisory Client Agreement above and addendums attached with the Adviser.*

Privacy Notice Addendum

We recognize the importance of protecting our clients' privacy. We have policies to maintain the confidentiality and security of your nonpublic personal information. The following is designed to help you understand what information we collect from you and how we use that information to serve your account.

Categories of Information We May Collect

In the normal course of business, we may collect the following types of information:

- Information you provide in the subscription documents and other forms (including name, address, social security number, date of birth, income and other financial-related information); and
- Data about your transactions with us (such as the types of investments you have made and your account status).

How We Use Your Information That We Collect

Any and all nonpublic personal information that we receive with respect to our clients who are natural persons is not shared with nonaffiliated third parties which are not service providers to us without prior notice to, and consent of, such clients, unless otherwise required by law. In the normal course of business, we may disclose the kinds of nonpublic personal information listed above to nonaffiliated third party service providers involved in servicing and administering products and services on our behalf. Our service providers include, but are not limited to, our administrator, our auditors, insurance agent, estate attorney and our legal advisor. Additionally, we may disclose such nonpublic personal information as required by law (such as to respond to a subpoena) or to satisfy a request from a regulator and/or to prevent fraud. Without limiting the foregoing, we may disclose nonpublic personal information about you to governmental entities and others in connection with meeting our obligations to prevent money laundering including, without limitation, the disclosure that may be required by the Uniting and Strengthening America Act by Providing Appropriate Tools Required to Intercept and Obstruct Terrorism (USA PATRIOT) Act of 2001 and the regulations promulgated thereunder. In addition, if we choose to dispose of our clients' nonpublic personal information that we are not legally bound to maintain, then we will do so in a manner that reasonably protects such information from unauthorized access. The same privacy policy also applies to former clients who are natural persons.

Confidentiality and Security

We restrict access to nonpublic personal information about our clients to those employees and agents who need to know that information to provide products and services to our clients. We maintain physical, electronic and procedural safeguards to protect our clients' nonpublic personal information. We respect and value that you have entrusted us with your private financial information, and we will work diligently to maintain that trust. We are committed to preserving that trust by respecting your privacy as provided herein.

Solicitor Disclosure Addendum

This Solicitor's Disclosure Statement is to inform you that both Brittney Castro, Financially Wise, Inc. ("Solicitor") and Wealthramp are serving as solicitors for Rooted Planning Group ("Investment Advisor"), a registered investment advisor. This disclosure statement is provided in order to comply with the requirements of Rule 206(4)-3 of the Investment Advisers Act of 1940 (the "Act"). Nothing in this disclosure statement shall be deemed to relieve any person of any fiduciary or other obligation to which such person may be subject under any law. Client hereby acknowledges receiving and understanding the disclosures in the Solicitation Disclosure Appendix.

Solicitor and Investment Advisor have entered into a written agreement whereby Solicitor will solicit and refer as clients to the Investment Advisor those individuals or entities that it believes are suitable for the investment advisory and/or financial planning services provided by the Investment Advisor, in exchange for cash compensation to be paid by Investment Advisor.

Financial Wise, Inc Arrangement:

Investment Advisor will pay Solicitor

- 25% of our Nourish, Hourly, Investment Management Only, and Strengthen Services, **or**
- 50% of the first-year fee for Deepen Services by Client to Investment Advisor

Wealthramp Arrangement:

Investment Advisor will pay Solicitor

- 25% of our Gross Fee received in the first year
- 15% of our Gross Fee received in the second year
- 10% of our Gross Fee received in the third year and thereafter

Investment Advisor will not charge Client any additional fees as a result of its agreement with Solicitor. The fees that Investment Advisor will charge Client for investment advisory services are the same as what it would customarily charge any other client who was not referred by Solicitor.

Solicitor is unaffiliated with the firm, and is operating as an independent contractor. Solicitor and is not an agent, representative, partner or employee of Investment Advisor.

Solicitor may make periodic contacts with Client as necessary to assist Client in understanding Investment Advisor's services and/or obtain additional information from Client on behalf of Investment Advisor.

Solicitor is not authorized to and will not provide investment advice or manage investments on behalf of Investment Advisor.

Solicitor does not have authority to accept a client agreement on behalf of Investment Advisor or to receive payment for services provided by the Investment Advisor.