

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

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**Kushner, Jared C**

Assistant to the President & Senior Adviser to the President, White House

Report Year: 2019

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

*/s/ Kushner, Jared C [electronically signed on 05/15/2019 by Kushner, Jared C in Integrity.gov]*

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

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Other review conducted by

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U.S. Office of Government Ethics Certification

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## 1. Filer's Positions Held Outside United States Government

None

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## 2. Filer's Employment Assets & Income and Retirement Accounts

| # | DESCRIPTION   |             | EIF | VALUE                        | INCOME TYPE                    | INCOME AMOUNT |
|---|---|-------------|-----|------------------------------|--------------------------------|---------------|
| 1 | Westminster Management, LLC<br>(Management company; New York, NY) | See Endnote | N/A | \$1,000,001 -<br>\$5,000,000 | Distributions<br>and Dividends | \$1,500,000   |

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## 3. Filer's Employment Agreements and Arrangements

None

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## 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

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## 5. Spouse's Employment Assets & Income and Retirement Accounts

| #   | DESCRIPTION  |             | EIF | VALUE | INCOME TYPE          | INCOME AMOUNT                |
|-----|--|-------------|-----|-------|----------------------|------------------------------|
| 1   | Ivanka M. Trump Business Trust (IT<br>Collection LLC, Holding Company in New<br>York, NY)(value not readily ascertainable) | See Endnote | N/A |       | Rent or<br>Royalties | \$1,000,001 -<br>\$5,000,000 |
| 1.1 | Ivanka Trump Marks LLC (Owner of Various<br>Trademarks; New York, NY)  | See Endnote | N/A |       |                      |                              |

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| #    | DESCRIPTION   | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|---|-----|-------|-------------|---------------|
| 1.2  | Ivanka Trump Marks Jewelry LLC (Licensing contracts for fine jewelry; New York, NY)             | N/A |       |             |               |
| 1.3  | IT Operations LLC (Management Company in New York, NY)  | N/A |       |             |               |
| 1.4  | IT Apparel II LLC (Licensing contracts for clothing and cold weather accessories; New York, NY) | N/A |       |             |               |
| 1.5  | IT Baby Home LLC (Licensing contracts for baby products - bedding giftables; New York, NY)      | N/A |       |             |               |
| 1.6  | IT Eyewear LLC (Licensing contracts for sunglasses; New York, NY)                               | N/A |       |             |               |
| 1.7  | IT Fashion Jewelry LLC (Licensing contracts for costume jewelry; New York, NY)                  | N/A |       |             |               |
| 1.8  | IT Fragrance LLC (Licensing contracts for perfume; New York, NY)                                | N/A |       |             |               |
| 1.9  | IT Handbags, LLC (Licensing contracts for handbags; New York, NY)                               | N/A |       |             |               |
| 1.10 | IT Home Marks LLC (Licensing contracts for home products; New York, NY)                         | N/A |       |             |               |
| 1.11 | IT Promotions LLC (Event Promoter in New York, NY)  | N/A |       |             |               |
| 1.12 | Ivanka Trump Marks II LLC (Licensing contracts for shoes; New York, NY)                         | N/A |       |             |               |
| 1.13 | Ivanka M. Trump Business Trust (IT Collection LLC, Holding Company in New York, NY)             | N/A |       |             |               |
| 1.14 | IT ECommerce LLC (Website sales of Ivanka Trump related products; New York, NY)                 | N/A |       |             |               |

| #     | DESCRIPTION  |             | EIF | VALUE                      | INCOME TYPE           | INCOME AMOUNT |
|-------|--|-------------|-----|----------------------------|-----------------------|---------------|
| 1.15  | IT Retail LLC (Retail store selling Ivanka Trump related products; New York, NY)   |             | N/A |                            |                       |               |
| 2     | Ivanka OPO LLC (Investment in Trump Old Post Office LLC; Washington, DC)   | See Endnote | N/A | \$5,000,001 - \$25,000,000 | Hotel-Related Revenue | \$3,952,480   |
| 3     | T International Realty LLC (Luxury Real Estate Brokerage Company; obligation to pay filer \$100,000 per calendar year; New York, NY) (value not readily ascertainable)   | See Endnote | N/A |                            | Guaranteed payment    | \$100,000     |
| 4     | TTT Consulting LLC (Consulting, licensing, and management services for real estate projects; New York, NY) (value not readily ascertainable)                             | See Endnote | N/A |                            | Guaranteed payment    | \$802,378     |
| 5     | TTTT Venture LLC (Royalties and licensing for real estate projects; obligation to pay filer \$600,000 per calendar year; New York, NY) (value not readily ascertainable) | See Endnote |     |                            | Guaranteed payment    | \$600,000     |
| 5.1   | 4T Holdings One LLC (Holding company for future interests in Trump hotel manager entities; New York, NY)   |             |     |                            |                       |               |
| 5.2   | 4T Holdings Two LLC (Holding company for future interests in Scion and Szion manager entities; New York, NY)   |             |     |                            |                       |               |
| 5.2.1 | SC Cleveland MS Management LLC (Hotel Management Company, Cleveland, MS)   |             |     |                            |                       |               |
| 5.3   | DT Tower Gurgaon LLC (license deal in India; New York, NY)   |             |     |                            |                       |               |
| 5.4   | DT Bali Golf Manager LLC (management of future golf course; New York, NY)  |             |     |                            |                       |               |
| 5.5   | DT Bali Hotel Manager LLC (Management of future hotel; New York, NY)   |             |     |                            |                       |               |

| #    | DESCRIPTION  |             | EIF | VALUE                    | INCOME TYPE | INCOME AMOUNT                |
|------|--|-------------|-----|--------------------------|-------------|------------------------------|
| 5.6  | DT Bali Technical Services Manager LLC<br>(Technical services for hotel construction;<br>New York, NY)             |             |     |                          |             |                              |
| 5.7  | DT Dubai II Golf Manager LLC (Management<br>of future golf course; New York, NY)                                   |             |     |                          |             |                              |
| 5.8  | DT Lido Golf Manager LLC (Management of<br>future golf course; New York, NY)                                       |             |     |                          |             |                              |
| 5.9  | DT Lido Hotel Manager LLC (Management of<br>Future Hotel; New York, NY)  |             |     |                          |             |                              |
| 5.10 | DT Lido Technical Services Manager LLC<br>(Technical services for hotel construction;<br>New York, NY)             |             |     |                          |             |                              |
| 5.11 | T Express LLC (Hotel Franchising<br>Agreements, New York, NY)  |             |     |                          |             |                              |
| 5.12 | T Retail LLC (ECommerce Venture, New York,<br>NY)  |             |     |                          |             |                              |
| 6    | IT WWW PUB LLC (Book Contract with<br>Penguin Random House LLC; New York, NY)                                      | See Endnote | N/A |                          |             |                              |
| 6.1  | "Women Who Work," Penguin Random<br>House LLC (value not readily ascertainable)                                    | See Endnote | N/A |                          | Advance     | \$263,500                    |
| 7    | WWW OPERATIONS LLC (holds URL<br>associated with Women Who Work; value<br>not readily ascertainable; New York, NY) |             | N/A |                          |             | None (or less<br>than \$201) |
| 8    | "The Trump Card," Simon & Schuster (value<br>not readily ascertainable)  |             | N/A |                          |             | None (or less<br>than \$201) |
| 9    | Trump Payroll Corp, 401(k) plan  |             | No  |                          |             |                              |
| 9.1  | Fidelity Overseas Fund (FOSFX)   |             | Yes | \$50,001 -<br>\$100,000  |             | None (or less<br>than \$201) |
| 9.2  | Fidelity Growth Company (FDGRX)  |             | Yes | \$100,001 -<br>\$250,000 |             | None (or less<br>than \$201) |

| #   | DESCRIPTION   |             | EIF | VALUE                | INCOME TYPE       | INCOME AMOUNT             |
|-----|---|-------------|-----|----------------------|-------------------|---------------------------|
| 9.3 | Fidelity Value Fund (FDVLX)   |             | Yes | \$50,001 - \$100,000 |                   | None (or less than \$201) |
| 9.4 | Fidelity Dividend Growth Fund (FDGFX)   |             | Yes | \$50,001 - \$100,000 |                   | None (or less than \$201) |
| 9.5 | Fidelity Freedom 2040 Fund (FFFFX)  |             | Yes | \$50,001 - \$100,000 |                   | None (or less than \$201) |
| 10  | Screen Actors Guild - Producers Pension Plan (defined benefit pension plan - value not readily ascertainable)                                     |             | N/A |                      |                   | None (or less than \$201) |
| 11  | Universal City Studios LLC (Residuals from appearances on Saturday Night Live and Late Night with Jimmy Fallon) (value not readily ascertainable) | See Endnote | N/A |                      | Rent or Royalties | \$201 - \$1,000           |
| 12  | MGM/Mark Burnett Productions (Residuals from The Apprentice) (value not readily ascertainable)  | See Endnote | N/A |                      |                   | None (or less than \$201) |

## 6. Other Assets and Income

| #   | DESCRIPTION  |             | EIF | VALUE                     | INCOME TYPE       | INCOME AMOUNT             |
|-----|--|-------------|-----|---------------------------|-------------------|---------------------------|
| 1   | Trust #1   |             | No  |                           |                   |                           |
| 1.1 | ELMWOOD V. ASSOCIATES, LP (Commercial Real Estate in Chicago, IL)          | See Endnote | N/A | \$100,001 - \$250,000     | Rent or Royalties | \$50,001 - \$100,000      |
| 1.2 | LANDINGS APARTMENTS, LLC (Commercial Real Estate in Chicago, IL)           | See Endnote | N/A | \$50,001 - \$100,000      | Rent or Royalties | \$50,001 - \$100,000      |
| 1.3 | OAKWOOD GARDEN ASSOCIATES, LLC (Commercial Real Estate in Chicago, IL)     | See Endnote | N/A | \$1,000,001 - \$5,000,000 | Rent or Royalties | \$1,000,001 - \$5,000,000 |
| 1.4 | WALLKILL APARTMENTS ASSOCIATES, LP (Commercial Real Estate in Chicago, IL) | See Endnote | N/A | \$500,001 - \$1,000,000   | Rent or Royalties | \$100,001 - \$1,000,000   |

| #    | DESCRIPTION  |             | EIF | VALUE                          | INCOME TYPE                           | INCOME AMOUNT                |
|------|--|-------------|-----|--------------------------------|---------------------------------------|------------------------------|
| 1.5  | VILLAGE KF 4-9-10 ASSOCIATES, LLC<br>(Residential Real Estate in New York, NY)                   | See Endnote | N/A | \$5,000,001 -<br>\$25,000,000  | Rent or<br>Royalties                  | \$100,001 -<br>\$1,000,000   |
| 1.6  | PUCK BUILDING, LP (Commercial Real Estate<br>in New York, NY)                                    | See Endnote | N/A | \$5,000,001 -<br>\$25,000,000  | Rent or<br>Royalties                  | \$1,000,001 -<br>\$5,000,000 |
| 1.7  | U.S. bank account #1 (checking)  |             | N/A | \$500,001 -<br>\$1,000,000     |                                       | None (or less<br>than \$201) |
| 2    | Trust #2   |             | No  |                                |                                       |                              |
| 2.1  | ELMWOOD V. ASSOCIATES, LP (Commercial<br>Real Estate in Chicago, IL)                             | See Endnote | N/A | \$15,001 -<br>\$50,000         | Rent or<br>Royalties                  | \$15,001 -<br>\$50,000       |
| 2.2  | LANDINGS APARTMENTS, LLC (Commercial<br>Real Estate in Chicago, IL)                              | See Endnote | N/A | \$50,001 -<br>\$100,000        | Rent or<br>Royalties                  | \$15,001 -<br>\$50,000       |
| 2.3  | OAKWOOD GARDEN ASSOCIATES, LLC<br>(Commercial Real Estate in Chicago, IL)                        | See Endnote | N/A | \$500,001 -<br>\$1,000,000     | Rent or<br>Royalties                  | \$100,001 -<br>\$1,000,000   |
| 2.4  | WALLKILL APARTMENTS ASSOCIATES, LP<br>(Commercial Real Estate in Chicago, IL)                    | See Endnote | N/A | \$100,001 -<br>\$250,000       | Rent or<br>Royalties                  | \$100,001 -<br>\$1,000,000   |
| 2.5  | VILLAGE KF 4-9-10 ASSOCIATES, LLC<br>(Residential Real Estate in New York, NY)                   | See Endnote | N/A | \$1,000,001 -<br>\$5,000,000   | Rent or<br>Royalties                  | \$100,001 -<br>\$1,000,000   |
| 2.6  | K GAIA VILLAGE 1 ASSOCIATES, LLC<br>(Residential Real Estate in New York, NY)                    | See Endnote | N/A | \$250,001 -<br>\$500,000       | Rent or<br>Royalties                  | \$100,001 -<br>\$1,000,000   |
| 2.7  | K GAIA VILLAGE 2 ASSOCIATES, LLC<br>(Residential Real Estate in New York, NY)                    | See Endnote | N/A | \$500,001 -<br>\$1,000,000     | Rent or<br>Royalties                  | \$100,001 -<br>\$1,000,000   |
| 2.8  | KUSHNER PHILADELPHIA MEMBER, LLC<br>(Residential Real Estate in Philadelphia, PA)                | See Endnote | N/A | None (or less<br>than \$1,001) | Rent or<br>Royalties<br>Capital Gains | \$100,001 -<br>\$1,000,000   |
| 2.9  | PINECREST LAKE BUILDING ASSOCIATES, LP<br>(Undeveloped Real Estate in Tobyhanna<br>Township, PA) |             | N/A | \$100,001 -<br>\$250,000       |                                       | None (or less<br>than \$201) |
| 2.10 | PUCK BUILDING, LP (Commercial Real Estate<br>in New York, NY)                                    | See Endnote | N/A | \$1,000,001 -<br>\$5,000,000   | Rent or<br>Royalties                  | \$100,001 -<br>\$1,000,000   |

| #    | DESCRIPTION  |             | EIF | VALUE                         | INCOME TYPE          | INCOME AMOUNT                |
|------|--|-------------|-----|-------------------------------|----------------------|------------------------------|
| 2.11 | PUCK RESIDENTIAL ASSOCIATES, LLC<br>(Residential Real Estate in New York, NY and<br>Leonia, NJ)          | See Endnote | N/A | \$5,000,001 -<br>\$25,000,000 | Rent or<br>Royalties | \$100,001 -<br>\$1,000,000   |
| 2.12 | 715 PARK AVENUE ASSOCIATES, LP<br>(Residential Real Estate in New York, NY)                              |             | N/A | \$250,001 -<br>\$500,000      | Rent or<br>Royalties | \$1,001 - \$2,500            |
| 2.13 | SIXTY ONE ASSOCIATES, LLC (Commercial<br>Real Estate in New York, NY)                                    |             | N/A | \$1,000,001 -<br>\$5,000,000  | Rent or<br>Royalties | \$100,001 -<br>\$1,000,000   |
| 2.14 | WESTMINSTER MAD ASSOCIATES, LLC<br>(Commercial Real Estate in New York, NY)                              |             | N/A | \$500,001 -<br>\$1,000,000    | Rent or<br>Royalties | \$50,001 -<br>\$100,000      |
| 2.15 | WEST END BUILDING ASSOCIATES, LLC<br>(Residential Real Estate in New York, NY)                           |             | N/A | \$1,000,001 -<br>\$5,000,000  | Rent or<br>Royalties | \$15,001 -<br>\$50,000       |
| 2.16 | WESTMINSTER LINDEN, LLC (Residential Real<br>Estate in Brooklyn, NY)                                     |             | N/A | \$250,001 -<br>\$500,000      | Rent or<br>Royalties | \$15,001 -<br>\$50,000       |
| 2.17 | U.S. money market account #1 (cash)  |             | N/A | \$15,001 -<br>\$50,000        |                      | None (or less<br>than \$201) |
| 3    | Trust #3   |             | No  |                               |                      |                              |
| 3.1  | 30 FLORHAM ASSOCIATES, LLC (Commercial<br>Real Estate in Florham Park, NJ)                               |             | N/A | \$250,001 -<br>\$500,000      | Rent or<br>Royalties | \$100,001 -<br>\$1,000,000   |
| 3.2  | CK BERGEN ASSOCIATES, LLC (Industrial Real<br>Estate in Fairlawn & Oakland, NJ)                          | See Endnote | N/A | \$1,000,001 -<br>\$5,000,000  | Rent or<br>Royalties | \$100,001 -<br>\$1,000,000   |
| 3.3  | COLTS NECK BUILDING ASSOCIATES, LLC<br>(Undeveloped Real Estate in Colts Neck, NJ)                       |             | N/A | \$1,000,001 -<br>\$5,000,000  |                      | None (or less<br>than \$201) |
| 3.4  | COLUMBIA CORPORATE CENTER<br>DEVELOPMENT ASSOCIATES, LLC<br>(Commercial Real Estate in Florham Park, NJ) |             | N/A | \$1,000,001 -<br>\$5,000,000  | Rent or<br>Royalties | \$100,001 -<br>\$1,000,000   |
| 3.5  | DEER TREE BUILDING ASSOCIATES, LLC<br>(Undeveloped Real Estate in Hardyston<br>Township, NJ)             |             | N/A | \$1,001 - \$15,000            |                      | None (or less<br>than \$201) |
| 3.6  | FLORHAM PARK REALTY ASSOCIATES, LLC<br>(Commercial Real Estate in Florham Park, NJ)                      |             | N/A | \$1,000,001 -<br>\$5,000,000  | Rent or<br>Royalties | \$100,001 -<br>\$1,000,000   |



| #    | DESCRIPTION  |             | EIF | VALUE                        | INCOME TYPE  | INCOME AMOUNT              |
|------|--|-------------|-----|------------------------------|--|----------------------------|
| 3.7  | LANDINGS AT CASPIAN POINTE, LLC<br>(Undeveloped Real Estate in Atlantic City, NJ)                      |             | N/A | \$500,001 -<br>\$1,000,000   |  | None (or less than \$201)  |
| 3.8  | MAGNOLIA BUILDING ASSOCIATES, LLC<br>(Undeveloped Assets in Pemberton, NJ)                             |             | N/A | \$1,001 - \$15,000           |  | None (or less than \$201)  |
| 3.9  | SIXTY-SIX WEST ASSOCIATES, LLC<br>(Commercial Real Estate in Livingston, NJ)                           |             | N/A | \$250,001 -<br>\$500,000     | Rent or<br>Royalties   | \$50,001 -<br>\$100,000    |
| 3.10 | SKYLINE KUSHNER, LLC (Residential Real Estate in Hasbrouck Heights & Lodi, NJ)                         | See Endnote | N/A | \$500,001 -<br>\$1,000,000   | Rent or<br>Royalties   | \$100,001 -<br>\$1,000,000 |
| 3.11 | THE LANDINGS AT HARBORSIDE, LLC<br>(Residential Real Estate and Undeveloped Assets in Perth Amboy, NJ) | See Endnote | N/A | \$1,000,001 -<br>\$5,000,000 | Rent or<br>Royalties   | \$15,001 -<br>\$50,000     |
| 3.12 | VREELAND AVENUE ASSOCIATES, LLC<br>(Commercial Real Estate in Florham Park, NJ)                        | See Endnote | N/A | \$500,001 -<br>\$1,000,000   | Rent or<br>Royalties   | \$100,001 -<br>\$1,000,000 |
| 3.13 | 55 CHALLENGER ROAD ASSOCIATES, LLC<br>(Commercial Real Estate in Ridgely Park, NJ)                     | See Endnote | N/A | \$1,000,001 -<br>\$5,000,000 | Rent or<br>Royalties   | \$100,001 -<br>\$1,000,000 |
| 3.14 | LINDENLAWN APARTMENT ASSOCIATES, LLC<br>(Residential Real Estate in Englewood, NJ)                     | See Endnote | N/A | \$500,001 -<br>\$1,000,000   | Rent or<br>Royalties   | \$50,001 -<br>\$100,000    |
| 3.15 | MERRITT ASSOCIATES, LLC (Residential Real Estate in Dumont, NJ)  |             | N/A | \$50,001 -<br>\$100,000      | Rent or<br>Royalties   | \$5,001 - \$15,000         |
| 3.16 | ROUTE 10 ASSOCIATES, LLC (Industrial & Commercial Real Estate in Whippany and Hanover, NJ)             | See Endnote | N/A | \$500,001 -<br>\$1,000,000   | Rent or<br>Royalties   | \$100,001 -<br>\$1,000,000 |
| 4    | Trust #4   |             | No  |                              |  |                            |
| 4.1  | 184 KENT ASSOCIATES, LLC (Residential Real Estate in Brooklyn, NY)                                     | See Endnote | N/A | \$250,001 -<br>\$500,000     | Income from<br>sale of condo<br>units and rent<br>or royalties | \$265,000                  |
| 4.2  | CHATHAM HILL ASSOCIATES, LLC<br>(Residential Real Estate in Chatham, NJ)                               | See Endnote | N/A | \$250,001 -<br>\$500,000     | Rent or<br>Royalties   | \$100,001 -<br>\$1,000,000 |

| #    | DESCRIPTION  |             | EIF | VALUE                       | INCOME TYPE                        | INCOME AMOUNT             |
|------|--|-------------|-----|-----------------------------|------------------------------------|---------------------------|
| 4.3  | ELMWOOD V. ASSOCIATES, LP (Commercial Real Estate in Chicago, IL)                    | See Endnote | N/A | \$15,001 - \$50,000         | Rent or Royalties                  | \$15,001 - \$50,000       |
| 4.4  | LANDINGS APARTMENTS, LLC (Commercial Real Estate in Chicago, IL)                     | See Endnote | N/A | \$250,001 - \$500,000       | Rent or Royalties                  | \$100,001 - \$1,000,000   |
| 4.5  | GELLERT 80 WEST END, LLC (Residential and Commercial Real Estate in Long Branch, NJ) | See Endnote | N/A | \$5,000,001 - \$25,000,000  | Rent or Royalties                  | \$1,000,001 - \$5,000,000 |
| 4.6  | GOWAN ASSOCIATES, LLC (Commercial Real Estate in Brooklyn, NY)                       | See Endnote | N/A | None (or less than \$1,001) | Rent or Royalties<br>Capital Gains | \$100,001 - \$1,000,000   |
| 4.7  | IROQUOIS PROPERTIES, LP (Residential Real Estate in New York, NY)                    | See Endnote | N/A | \$1,000,001 - \$5,000,000   | Rent or Royalties                  | \$100,001 - \$1,000,000   |
| 4.8  | K 26 JOURNAL SQUARE, LLC (Commercial Real Estate in Jersey City, NJ)                 | See Endnote | N/A | \$100,001 - \$250,000       | Rent or Royalties                  | \$50,001 - \$100,000      |
| 4.9  | K 30 JOURNAL SQUARE, LLC (Commercial Real Estate in Jersey City, NJ)                 | See Endnote | N/A | \$1,000,001 - \$5,000,000   | Rent or Royalties                  | \$15,001 - \$50,000       |
| 4.10 | HACK PROSPECT JV, LLC (Residential Real Estate in Hackensack, NJ)                    | See Endnote | N/A | \$500,001 - \$1,000,000     | Rent or Royalties                  | \$100,001 - \$1,000,000   |
| 4.11 | K STONEHAGE VILLAGE 1 ASSOCIATES, LLC (Residential Real Estate in New York, NY)      | See Endnote | N/A | \$500,001 - \$1,000,000     | Rent or Royalties                  | \$100,001 - \$1,000,000   |
| 4.12 | VILLAGE KF 2 KM, LLC (Residential Real Estate in New York, NY)                       | See Endnote | N/A | \$1,000,001 - \$5,000,000   | Rent or Royalties                  | \$100,001 - \$1,000,000   |
| 4.13 | VILLAGE KF 4-9-10 ASSOCIATES, LP (Residential Real Estate in New York, NY)           | See Endnote | N/A | \$1,000,001 - \$5,000,000   | Rent or Royalties                  | \$100,001 - \$1,000,000   |
| 4.14 | 65 BAY, LLC (Residential Real Estate in Jersey City, NJ)                             | See Endnote | N/A | \$1,000,001 - \$5,000,000   | Rent or Royalties                  | \$100,001 - \$1,000,000   |
| 4.15 | PV BUNGALOW, LLC (Hotel in Long Branch, NJ)  |             | N/A | \$250,001 - \$500,000       | Hotel revenue                      | \$268,000                 |
| 4.16 | KC DUMBO HOTEL, LLC (Note Receivable from RF Dumbo Holding LLC; Brooklyn, NY)        | See Endnote | N/A | None (or less than \$1,001) | Capital Gains                      | \$100,001 - \$1,000,000   |

| #    | DESCRIPTION  |             | EIF | VALUE                       | INCOME TYPE                        | INCOME AMOUNT             |
|------|--|-------------|-----|-----------------------------|------------------------------------|---------------------------|
| 4.17 | RP MD, LLC (Residential Real Estate in Baltimore & Prince George's County, MD)                       | See Endnote | N/A | \$5,000,001 - \$25,000,000  | Rent or Royalties                  | \$1,000,001 - \$5,000,000 |
| 4.18 | KF MIDDLE RIVER, LLC (Residential Real Estate in Middle River & Essex, MD)                           | See Endnote | N/A | \$250,001 - \$500,000       | Rent or Royalties                  | \$100,001 - \$1,000,000   |
| 4.19 | KF WESTWOOD PARTNER, LLC (Residential Real Estate in Westwood & River Vale, NJ)                      | See Endnote | N/A | \$500,001 - \$1,000,000     | Rent or Royalties                  | \$100,001 - \$1,000,000   |
| 4.20 | KF-BLS MEMBER, LLC (Residential Real Estate in Brooklyn, NY)   | See Endnote | N/A | \$250,001 - \$500,000       | Capital Gains<br>Rent or Royalties | \$50,001 - \$100,000      |
| 4.21 | PUCK BUILDING, LP (Commercial Real Estate in New York, NY)   | See Endnote | N/A | \$5,000,001 - \$25,000,000  | Rent or Royalties                  | \$100,001 - \$1,000,000   |
| 4.22 | STATE TOWER OF SYRACUSE ASSOCIATES, LLC (Residential Real Estate in Leonia, NJ)                      | See Endnote | N/A | \$100,001 - \$250,000       | Rent or Royalties                  | \$15,001 - \$50,000       |
| 4.23 | TIMES SQUARE ASSOCIATES, LLC (Commercial Real Estate in New York, NY)                                | See Endnote | N/A | \$5,000,001 - \$25,000,000  | Rent or Royalties                  | \$1,000,001 - \$5,000,000 |
| 4.24 | WARREN AT BAY ASSOCIATES, LLC (Commercial Real Estate in Jersey City, NJ)                            | See Endnote | N/A | \$250,001 - \$500,000       |                                    | None (or less than \$201) |
| 4.25 | WT 25 COLUMBIA, LLC (Commercial Real Estate in Brooklyn, NY)   | See Endnote | N/A | None (or less than \$1,001) | Capital Gains                      | \$100,001 - \$1,000,000   |
| 4.26 | 115 EAST 87, LLC (Contingent right to ownership interest in Residential Real Estate in New York, NY) | See Endnote | N/A | \$500,001 - \$1,000,000     |                                    | None (or less than \$201) |
| 4.27 | 80 ML, LLC (Commercial Real Estate in New York, NY)  | See Endnote | N/A | \$50,001 - \$100,000        | Rent or Royalties                  | \$15,001 - \$50,000       |
| 4.28 | PIER VILLAGE III HOTEL JV, LLC (Hotel Under Construction in Long Branch, NJ)                         | See Endnote | N/A | \$1,000,001 - \$5,000,000   |                                    | None (or less than \$201) |
| 4.29 | KKC ASSOCIATES, LLC (Residential Real Estate in Kansas City, MO)                                     | See Endnote | N/A | \$1,001 - \$15,000          | Rent or Royalties                  | \$5,001 - \$15,000        |
| 4.30 | STONE 16 ASSOCIATES, LLC (Residential Real Estate in New York, NY)                                   | See Endnote | N/A | \$500,001 - \$1,000,000     | Rent or Royalties                  | \$50,001 - \$100,000      |

| #    | DESCRIPTION   |             | EIF | VALUE                       | INCOME TYPE  | INCOME AMOUNT             |
|------|---|-------------|-----|-----------------------------|--|---------------------------|
| 4.31 | P.V. DEVELOPMENT, LLC (Commercial Real Estate Under Construction in Long Branch, NJ)                  | See Endnote | N/A | \$1,000,001 - \$5,000,000   |  | None (or less than \$201) |
| 4.32 | KCOF-WEST 24TH ST, LLC (Loan Receivable from Siras Development; New York, NY)                         |             | N/A | \$50,001 - \$100,000        | Interest   | \$5,001 - \$15,000        |
| 4.33 | KCOF-215 MOORE, LLC (Loan Receivable from Heritage Equities; Brooklyn, NY)                            | See Endnote | N/A | None (or less than \$1,001) | Interest   | \$100,001 - \$1,000,000   |
| 4.34 | OBSERVER CAPITAL SM FUND, LP (Preferred Interest in Source Media Holdings Inc.; New York, NY)         | See Endnote | N/A | \$1,000,001 - \$5,000,000   | Capital Gains  | \$1,000,001 - \$5,000,000 |
| 4.35 | KC DUMBO OFFICE, LLC (Commercial Real Estate, Brooklyn, NY)   | See Endnote | N/A | \$1,000,001 - \$5,000,000   | Rent or Royalties                                    | \$100,001 - \$1,000,000   |
| 4.36 | KC DUMBO OFFICE, LLC (Commercial Real Estate, 175 Pearl Street, Brooklyn, NY)                         | See Endnote | N/A | \$15,001 - \$50,000         | Rent or Royalties                                    | \$201 - \$1,000           |
| 4.37 | KC DUMBO NEWCO, LLC (Commercial Real Estate, Brooklyn, NY)  | See Endnote | N/A | \$1,000,001 - \$5,000,000   | Rent or Royalties                                    | \$100,001 - \$1,000,000   |
| 4.38 | KC DUMBO NEWCO, LLC (Commercial Real Estate, 175 Pearl Street, Brooklyn, NY)                          | See Endnote | N/A | \$15,001 - \$50,000         |  | None (or less than \$201) |
| 4.39 | PV MOTEL, LLC (Undeveloped Real Estate in Long Branch, NJ)  |             | N/A | \$250,001 - \$500,000       |  | None (or less than \$201) |
| 4.40 | 184 KENT ASSOCIATES 2, LLC (Residential Real Estate & Real Estate Under Construction in Brooklyn, NY) | See Endnote | N/A | \$100,001 - \$250,000       | Rent or Royalties<br>Income from Sale of Condo Units | \$121,000                 |
| 4.41 | BUNGALOW HOTEL LIQUOR LICENSE, LLC (Liquor License of a hotel in Long Branch, NJ)                     | See Endnote | N/A | \$15,001 - \$50,000         |  | None (or less than \$201) |
| 5    | Trust #5  |             | No  |                             |  |                           |
| 5.1  | BRYNWOOD GARDENS (Residential Real Estate in Old Bridge, NJ)  |             | N/A | \$100,001 - \$250,000       | Rent or Royalties                                    | \$15,001 - \$50,000       |

| #    | DESCRIPTION  | EIF | VALUE                     | INCOME TYPE       | INCOME AMOUNT           |
|------|--|-----|---------------------------|-------------------|-------------------------|
| 5.2  | CHERRY PINES APARTMENTS (Residential Real Estate in Elizabeth, NJ)                 | N/A | \$100,001 - \$250,000     | Rent or Royalties | \$15,001 - \$50,000     |
| 5.3  | COLONIAL HEIGHTS, LLC (Residential Real Estate in Parsippany, NJ)                  | N/A | \$1,000,001 - \$5,000,000 | Rent or Royalties | \$100,001 - \$1,000,000 |
| 5.4  | DARTMOUTH VILLAGE, LLC (Residential Real Estate in Parsippany, NJ)                 | N/A | \$250,001 - \$500,000     | Rent or Royalties | \$15,001 - \$50,000     |
| 5.5  | EASTON NORTH ASSOCIATES, LP (Residential Real Estate in Franklin Township, NJ)     | N/A | \$500,001 - \$1,000,000   | Rent or Royalties | \$50,001 - \$100,000    |
| 5.6  | FELMORE ASSOCIATES (Residential Real Estate in South River, NJ)                    | N/A | \$100,001 - \$250,000     | Rent or Royalties | \$15,001 - \$50,000     |
| 5.7  | FIVE ACRE JV (Industrial Real Estate in Union, NJ)                                 | N/A | \$1,001 - \$15,000        | Rent or Royalties | \$2,501 - \$5,000       |
| 5.8  | KAY & ARR REALTY ASSOCIATES (Residential Real Estate in Elizabeth, NJ)             | N/A | \$15,001 - \$50,000       | Rent or Royalties | \$5,001 - \$15,000      |
| 5.9  | LMP JV (Industrial Real Estate in Edison, NJ)                                      | N/A | \$50,001 - \$100,000      | Rent or Royalties | \$5,001 - \$15,000      |
| 5.10 | LODI PHILLIPSBURG APARTMENT ASSOCIATES (Residential Real Estate in Lodi, NJ)       | N/A | \$100,001 - \$250,000     | Rent or Royalties | \$15,001 - \$50,000     |
| 5.11 | MARTHA BELL ASSOCIATES, LLC (Residential Real Estate in Elizabeth, NJ)             | N/A | \$50,001 - \$100,000      | Rent or Royalties | \$5,001 - \$15,000      |
| 5.12 | MT. PLEASANT JV (Commercial Real Estate in West Orange, NJ)                        | N/A | \$1,001 - \$15,000        | Rent or Royalties | \$5,001 - \$15,000      |
| 5.13 | NETCONG HEIGHTS ASSOCIATES, LLC (Residential Real Estate in Netcong, NJ)           | N/A | \$500,001 - \$1,000,000   | Rent or Royalties | \$50,001 - \$100,000    |
| 5.14 | OXFORD ARMS ASSOCIATES LIMITED PARTNERSHIP (Residential Real Estate in Edison, NJ) | N/A | \$500,001 - \$1,000,000   | Rent or Royalties | \$100,001 - \$1,000,000 |

| #    | DESCRIPTION   | EIF | VALUE                     | INCOME TYPE       | INCOME AMOUNT           |
|------|---|-----|---------------------------|-------------------|-------------------------|
| 5.15 | PARK LAKE VILLAGE (Residential Real Estate in Parsippany, NJ)                 | N/A | \$500,001 - \$1,000,000   | Rent or Royalties | \$50,001 - \$100,000    |
| 5.16 | PARK LANE MOBILE HOME PARK (Residential Real Estate in Union, NJ)             | N/A | \$50,001 - \$100,000      | Rent or Royalties | \$1,001 - \$2,500       |
| 5.17 | PINEFIELD MANOR, LLC (Residential Real Estate in Denville, NJ)                | N/A | \$100,001 - \$250,000     | Rent or Royalties | \$15,001 - \$50,000     |
| 5.18 | PRINCETON HORIZON APARTMENTS (Residential Real Estate in South Brunswick, NJ) | N/A | \$250,001 - \$500,000     | Rent or Royalties | \$50,001 - \$100,000    |
| 5.19 | RIVER EDGE APARTMENTS (Residential Real Estate in Ewing, NJ)                  | N/A | \$500,001 - \$1,000,000   | Rent or Royalties | \$100,001 - \$1,000,000 |
| 5.20 | SKYTOP GARDENS (Residential Real Estate in Sayreville, NJ)                    | N/A | \$1,000,001 - \$5,000,000 | Rent or Royalties | \$100,001 - \$1,000,000 |
| 5.21 | TAYLOR EDISON JV (Industrial Real Estate in Edison, NJ)                       | N/A | \$15,001 - \$50,000       | Rent or Royalties | \$2,501 - \$5,000       |
| 5.22 | THE PINES APARTMENTS (Residential Real Estate in Elizabeth, NJ)               | N/A | \$50,001 - \$100,000      | Rent or Royalties | \$5,001 - \$15,000      |
| 5.23 | TOV MANOR ASSOCIATES, LP (Residential Real Estate in New Brunswick, NJ)       | N/A | \$500,001 - \$1,000,000   | Rent or Royalties | \$50,001 - \$100,000    |
| 5.24 | WESTFIELD JV (Commercial Real Estate in Westfield, NJ)                        | N/A | \$1,001 - \$15,000        | Rent or Royalties | \$5,001 - \$15,000      |
| 5.25 | SCHOOLHOUSE JV (Commercial Real Estate in Milburn, NJ)                        | N/A | \$1,001 - \$15,000        | Rent or Royalties | \$2,501 - \$5,000       |
| 6    | Trust #6  | No  |                           |                   |                         |
| 6.1  | 200 EAST 62ND 25A, LLC (Residential Real Estate in New York, NY)              | N/A | \$100,001 - \$250,000     | Rent or Royalties | \$15,001 - \$50,000     |
| 6.2  | 200 EAST 62ND 25B, LLC (Residential Real Estate in New York, NY)              | N/A | \$100,001 - \$250,000     | Rent or Royalties | \$15,001 - \$50,000     |

| #    | DESCRIPTION   | EIF | VALUE                 | INCOME TYPE       | INCOME AMOUNT             |
|------|---|-----|-----------------------|-------------------|---------------------------|
| 6.3  | 200 EAST 62ND 26D, LLC (Residential Real Estate in New York, NY)      | N/A | \$100,001 - \$250,000 | Rent or Royalties | \$15,001 - \$50,000       |
| 6.4  | 212 5TH 7C, LLC (Residential Real Estate in New York, NY)             | N/A | \$1,001 - \$15,000    | Rent or Royalties | \$15,001 - \$50,000       |
| 6.5  | 212 5TH 8C, LLC (Residential Real Estate in New York, NY)             | N/A | \$1,001 - \$15,000    | Rent or Royalties | \$15,001 - \$50,000       |
| 6.6  | 212 5TH 9C, LLC (Residential Real Estate in New York, NY)             | N/A | \$1,001 - \$15,000    | Rent or Royalties | \$15,001 - \$50,000       |
| 6.7  | 184 KENT C212, LLC (Residential Real Estate in Brooklyn, NY)          | N/A | \$1,001 - \$15,000    | Rent or Royalties | \$15,001 - \$50,000       |
| 6.8  | 184 KENT C614, LLC (Residential Real Estate in Brooklyn, NY)          | N/A | \$1,001 - \$15,000    | Rent or Royalties | \$15,001 - \$50,000       |
| 6.9  | 184 KENT B512, LLC (Residential Real Estate in Brooklyn, NY)          | N/A | \$1,001 - \$15,000    | Rent or Royalties | \$15,001 - \$50,000       |
| 6.10 | 438 East 12th 3M, LLC (Residential Real Estate in New York, NY)       | N/A | \$15,001 - \$50,000   | Rent or Royalties | \$15,001 - \$50,000       |
| 6.11 | 438 East 12th 5P, LLC (Residential Real Estate in New York, NY)       | N/A | \$15,001 - \$50,000   | Rent or Royalties | \$15,001 - \$50,000       |
| 6.12 | 438 East 12th 6P, LLC (Residential Real Estate in New York, NY)       | N/A | \$15,001 - \$50,000   | Rent or Royalties | \$15,001 - \$50,000       |
| 6.13 | 269 West 87th, 7C LLC (Residential Real Estate in New York, NY)       | N/A | \$50,001 - \$100,000  |                   | None (or less than \$201) |
| 6.14 | 269 West 87th, 8C LLC (Residential Real Estate in New York, NY)       | N/A | \$50,001 - \$100,000  |                   | None (or less than \$201) |
| 6.15 | 269 West 87th 9C LLC (Residential Real Estate in New York, NY)        | N/A | \$50,001 - \$100,000  |                   | None (or less than \$201) |
| 6.16 | 21 East 12th Street 10A LLC (Residential Real Estate in New York, NY) | N/A | \$100,001 - \$250,000 |                   | None (or less than \$201) |

| #     | DESCRIPTION  |             | EIF | VALUE                     | INCOME TYPE       | INCOME AMOUNT             |
|-------|--|-------------|-----|---------------------------|-------------------|---------------------------|
| 6.17  | 21 East 12th Street 11A LLC (Residential Real Estate in New York, NY)                |             | N/A | \$100,001 - \$250,000     |                   | None (or less than \$201) |
| 6.18  | 21 East 12th Street 12A LLC (Residential Real Estate in New York, NY)                |             | N/A | \$100,001 - \$250,000     |                   | None (or less than \$201) |
| 7     | Trust #7   |             | No  |                           |                   |                           |
| 7.1   | Lincoln National Life Insurance Company, Variable Life                               |             | No  |                           |                   |                           |
| 7.1.1 | SSGA Bond Index Fund   |             | Yes | \$100,001 - \$250,000     |                   | None (or less than \$201) |
| 7.1.2 | JPMorgan High Yield Fund   |             | Yes | \$15,001 - \$50,000       |                   | None (or less than \$201) |
| 7.1.3 | Delaware Diversified Floating Rate Fund  |             | Yes | \$15,001 - \$50,000       |                   | None (or less than \$201) |
| 7.1.4 | Delaware VIP REIT Series   |             | Yes | \$50,001 - \$100,000      |                   | None (or less than \$201) |
| 7.1.5 | Dimensional International Core Equity Fund   |             | Yes | \$100,001 - \$250,000     |                   | None (or less than \$201) |
| 7.1.6 | Dimensional U.S. Core Equity 2 Fund  |             | Yes | \$250,001 - \$500,000     |                   | None (or less than \$201) |
| 8     | 55 CHALLENGER ROAD ASSOCIATES, LLC (Commercial Real Estate in Ridgefield Park, NJ)   | See Endnote | N/A | \$1,000,001 - \$5,000,000 | Rent or Royalties | \$100,001 - \$1,000,000   |
| 9     | 570 WEST MT. PLEASANT ASSOCIATES, LLC (Residential Real Estate in Livingston, NJ)    |             | N/A | \$500,001 - \$1,000,000   | Rent or Royalties | \$100,001 - \$1,000,000   |
| 10    | CHATHAM HILL ASSOCIATES, LLC (Residential Real Estate in Chatham, NJ)                | See Endnote | N/A | \$1,001 - \$15,000        | Rent or Royalties | \$201 - \$1,000           |
| 11    | GELLERT 80 WEST END, LLC (Residential and Commercial Real Estate in Long Branch, NJ) | See Endnote | N/A | \$1,000,001 - \$5,000,000 | Rent or Royalties | \$100,001 - \$1,000,000   |



| #    | DESCRIPTION  |             | EIF | VALUE                      | INCOME TYPE                                    | INCOME AMOUNT             |
|------|--|-------------|-----|----------------------------|--|---------------------------|
| 12   | K 30 JOURNAL Manager Corp. (Commercial Real Estate in Jersey City, NJ)   | See Endnote | N/A | \$1,001 - \$15,000         |  | None (or less than \$201) |
| 13   | K MARYLAND ASSOCIATES, LLC (Residential Real Estate in Baltimore & Prince George's County, MD)   | See Endnote | N/A | \$1,000,001 - \$5,000,000  | Rent or Royalties                              | \$100,001 - \$1,000,000   |
| 14   | RP MD PROMOTE, LLC (Residential Real Estate in Baltimore & Prince George's County, MD)   | See Endnote | N/A | \$1,000,001 - \$5,000,000  | Rent or Royalties                              | \$1,000,001 - \$5,000,000 |
| 15   | NEW PUCK CORP. (Commercial Real Estate in New York, NY)  | See Endnote | N/A | \$1,000,001 - \$5,000,000  | Rent or Royalties                              | \$50,001 - \$100,000      |
| 16   | TIMES SQUARE ASSOCIATES, LLC (Commercial Real Estate in New York, NY)  | See Endnote | N/A | \$5,000,001 - \$25,000,000 | Rent or Royalties                              | \$1,000,001 - \$5,000,000 |
| 17   | 411 WEST PUTNAM AVENUE (Commercial Real Estate in Greenwich, CT)   |             | N/A | \$1,001 - \$15,000         |  | None (or less than \$201) |
| 18   | BFPS VENTURES, LLC (Holding Company; New York, NY)   | See Endnote | N/A | \$5,000,001 - \$25,000,000 | Capital Gains<br>Rent or Royalties<br>Interest | \$1,000,001 - \$5,000,000 |
| 18.1 | U.S. bank account #2 (checking)  |             | N/A | \$15,001 - \$50,000        |  | None (or less than \$201) |
| 18.2 | Contingent rights to ownership interests in entities holding real estate directly or indirectly (value not readily ascertainable) (entities listed in endnote) | See Endnote | N/A |                            |  | None (or less than \$201) |
| 18.3 | K STONEHAGE VILLAGE 1 ASSOCIATES, LLC (Residential Real Estate in New York, NY)  | See Endnote | N/A | \$50,001 - \$100,000       | Rent or Royalties                              | \$5,001 - \$15,000        |
| 18.4 | KC PROFIT PARTICIPATION - K GAIA VILLAGE 2 SERIES, LLC (Residential Real Estate in New York, NY)   | See Endnote | N/A | \$5,000,001 - \$25,000,000 |  | None (or less than \$201) |
| 18.5 | KC PROFIT PARTICIPATION - 65 BAY STREET SERIES, LLC (Residential Real Estate in Jersey City, NJ)   | See Endnote | N/A | \$1,000,001 - \$5,000,000  |  | None (or less than \$201) |

| #    | DESCRIPTION   |             | EIF | VALUE                       | INCOME TYPE       | INCOME AMOUNT             |
|------|---|-------------|-----|-----------------------------|-------------------|---------------------------|
| 18.6 | KC PROFIT PARTICIPATION - GOWANUS SERIES, LLC (Undeveloped Real Estate in Brooklyn, NY)                                   | See Endnote | N/A | None (or less than \$1,001) | Capital Gains     | \$100,001 - \$1,000,000   |
| 18.7 | KC PROFIT PARTICIPATION - 25-30 COLUMBIA SERIES, LLC (Undeveloped Real Estate in Brooklyn, NY)                            | See Endnote | N/A | None (or less than \$1,001) | Capital Gains     | \$1,000,001 - \$5,000,000 |
| 19   | KF MIDDLE RIVER, LLC (Residential Real Estate in Middle River & Essex, MD)  | See Endnote | N/A | \$1,001 - \$15,000          | Rent or Royalties | \$201 - \$1,000           |
| 20   | KF WESTWOOD PARTNER, LLC (Residential Real Estate in Westwood & River Vale, NJ)   | See Endnote | N/A | \$1,001 - \$15,000          | Rent or Royalties | \$201 - \$1,000           |
| 21   | KUSHNER MIDWEST PARTNERS 1, LLC (Residential Real Estate in Middletown, Akron & Bedford Heights, OH)                      | See Endnote | N/A | \$1,000,001 - \$5,000,000   | Rent or Royalties | \$1,000,001 - \$5,000,000 |
| 22   | KUSHNER MIDWEST PARTNERS 1, LLC (Commercial Real Estate in Brooklyn, NY)  | See Endnote | N/A | \$5,000,001 - \$25,000,000  | Rent or Royalties | \$1,000,001 - \$5,000,000 |
| 23   | KC DUMBO PROMOTE, LLC (Commercial Real Estate, Brooklyn, NY)  | See Endnote | N/A | \$5,000,001 - \$25,000,000  | Rent or Royalties | \$100,001 - \$1,000,000   |
| 24   | KC DUMBO PROMOTE, LLC (Commercial Real Estate, 175 Pearl Street, Brooklyn, NY)  | See Endnote | N/A | \$50,001 - \$100,000        | Rent or Royalties | \$201 - \$1,000           |
| 25   | QUAIL RIDGE, LLC (Residential Real Estate in Plainsboro, NJ)  | See Endnote | N/A | \$5,000,001 - \$25,000,000  | Rent or Royalties | \$1,000,001 - \$5,000,000 |
| 26   | JCK CADRE, LLC (Holding Company for Quadro Partners, Inc. (d/b/a Cadre); Real Estate Investment Platform in New York, NY) |             | N/A | \$25,000,001 - \$50,000,000 |                   | None (or less than \$201) |
| 27   | Artwork   |             | N/A | \$5,000,001 - \$25,000,000  |                   | None (or less than \$201) |
| 28   | U.S. money market account #3 (cash)   | See Endnote | N/A | \$1,001 - \$15,000          | Interest          | None (or less than \$201) |
| 29   | U.S. money market account #6 (cash)   |             | N/A | \$500,001 - \$1,000,000     | Interest          | \$5,001 - \$15,000        |

| #  | DESCRIPTION                                   |             | EIF | VALUE                     | INCOME TYPE | INCOME AMOUNT             |
|----|---|-------------|-----|---------------------------|-------------|---------------------------|
| 30 | U.S. money market account #5 (cash)           |             | N/A | \$500,001 - \$1,000,000   | Interest    | \$5,001 - \$15,000        |
| 31 | U.S. money market account #4 (cash)           |             | N/A | \$100,001 - \$250,000     | Interest    | \$201 - \$1,000           |
| 32 | U.S. bank account #3 (checking)               |             | N/A | \$250,001 - \$500,000     |             | \$201 - \$1,000           |
| 33 | U.S. bank account #4 (checking)               |             | N/A | \$1,000,001 - \$5,000,000 |             | None (or less than \$201) |
| 34 | U.S. bank account #5 (savings)                |             | N/A | \$50,001 - \$100,000      |             | None (or less than \$201) |
| 35 | U.S. bank account #6 (checking)               |             | N/A | \$15,001 - \$50,000       |             | None (or less than \$201) |
| 36 | U.S. bank account #7 (checking)               |             | N/A | \$1,001 - \$15,000        |             | None (or less than \$201) |
| 37 | U.S. bank account #8 (checking)               |             | N/A | \$1,001 - \$15,000        |             | None (or less than \$201) |
| 38 | U.S. bank account #14 (checking)              | See Endnote | N/A | \$1,001 - \$15,000        |             | None (or less than \$201) |
| 39 | U.S. Bank Account #9 (cash)                   |             | N/A | \$100,001 - \$250,000     |             | \$201 - \$1,000           |
| 40 | INVESCO S&P 500 LOW VOLATILITY (SPLV)         | See Endnote | Yes | \$500,001 - \$1,000,000   |             | \$5,001 - \$15,000        |
| 41 | ISHARES MSCI EAFE ETF (EFA)                   |             | Yes | \$100,001 - \$250,000     |             | \$5,001 - \$15,000        |
| 42 | ISHARES MSCI ACWI ETF (ACWI)                  |             | Yes | \$100,001 - \$250,000     |             | \$2,501 - \$5,000         |
| 43 | ISHARES CORE MSCI EMERGING MARKETS ETF (IEMG) |             | Yes | \$100,001 - \$250,000     |             | None (or less than \$201) |

| #  | DESCRIPTION  | EIF | VALUE                     | INCOME TYPE | INCOME AMOUNT             |
|----|--|-----|---------------------------|-------------|---------------------------|
| 44 | VANGUARD VALUE ETF (VTV)                                   | Yes | \$500,001 - \$1,000,000   |             | \$5,001 - \$15,000        |
| 45 | VANGUARD GROWTH ETF (VUG)                                  | Yes | \$500,001 - \$1,000,000   |             | \$5,001 - \$15,000        |
| 46 | EATON VANCE ATLANTA CAP SMID CAP FUND CLASS I (EISMX)      | Yes | \$250,001 - \$500,000     |             | \$15,001 - \$50,000       |
| 47 | OPPENHEIMER DEVELOPING MARKETS CLASS Y (ODVYX)             | Yes | \$500,001 - \$1,000,000   |             | \$2,501 - \$5,000         |
| 48 | T. ROWE PRICE OVERSEAS STOCK FUND CLASS NL (TROSX)         | Yes | \$500,001 - \$1,000,000   |             | \$15,001 - \$50,000       |
| 49 | THORNBURG INTL VALUE FUND I (TGVIX)                        | Yes | \$500,001 - \$1,000,000   |             | \$5,001 - \$15,000        |
| 50 | VIRTUS KAR SMALL-CAP CORE FUND CLASS I (PKSFX)             | Yes | \$250,001 - \$500,000     |             | \$5,001 - \$15,000        |
| 51 | ISHARES 20+ YEARS TREAS BOND ETF (TLT)                     | Yes | \$100,001 - \$250,000     |             | None (or less than \$201) |
| 52 | ISHARES NATL MUNI BOND ETF (MUB)                           | Yes | \$1,000,001 - \$5,000,000 |             | \$15,001 - \$50,000       |
| 53 | ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF (EMB)      | Yes | \$100,001 - \$250,000     |             | None (or less than \$201) |
| 54 | PIMCO ENHANCED SHORT MAT ACTIVE EXCHANGE-TRADED FD (MINT)  | Yes | \$100,001 - \$250,000     |             | \$1,001 - \$2,500         |
| 55 | VANGUARD TOTAL BOND MKT ETF (BND)                          | Yes | \$50,001 - \$100,000      |             | None (or less than \$201) |
| 56 | ASHMORE EMERGING MARKETS TOTAL RETURN FUND CLASS I (EMKIX) | Yes | \$100,001 - \$250,000     |             | \$5,001 - \$15,000        |
| 57 | LORD ABBETT HIGH YIELD FUND CLASS F (LHYFX)                | Yes | \$100,001 - \$250,000     |             | \$5,001 - \$15,000        |

| #  | DESCRIPTION  |             | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|----|--|-------------|-----|-----------------------------|-------------|---------------------------|
| 58 | LORD ABBETT INTERMEDIATE TAX FREE FUND CLASS F (LISFX)       |             | Yes | \$1,000,001 - \$5,000,000   |             | \$15,001 - \$50,000       |
| 59 | TEMPLETON GLOBAL BOND ADV (TGBAX)                            |             | Yes | \$100,001 - \$250,000       |             | \$5,001 - \$15,000        |
| 60 | WELLS FARGO INTERMEDIATE TAX/AMTFREE FUND CLASS INST (WITIX) |             | Yes | \$1,000,001 - \$5,000,000   |             | \$15,001 - \$50,000       |
| 61 | ISHARES 7-10 YEAR TREAS BOND ETF                             | See Endnote | Yes | None (or less than \$1,001) |             | \$2,501 - \$5,000         |
| 62 | VANGUARD FTSE EMERGING MARKETS ETF                           | See Endnote | Yes | None (or less than \$1,001) |             | \$5,001 - \$15,000        |
| 63 | EuroPacific Growth Fund - 529-A (1016) (CEUAX)               |             | Yes | \$50,001 - \$100,000        |             | None (or less than \$201) |
| 64 | Washington Mutual Investors Fund - 529-A (1001) (CWMAX)      |             | Yes | \$100,001 - \$250,000       |             | None (or less than \$201) |
| 65 | EuroPacific Growth Fund - 529-A (1016) (CEUAX)               |             | Yes | \$50,001 - \$100,000        |             | None (or less than \$201) |
| 66 | Washington Mutual Investors Fund - 529-A (1001) (CWMAX)      |             | Yes | \$100,001 - \$250,000       |             | None (or less than \$201) |
| 67 | EuroPacific Growth Fund - 529-A (1016) (CEUAX)               |             | Yes | \$50,001 - \$100,000        |             | None (or less than \$201) |
| 68 | Washington Mutual Investors Fund - 529-A (1001) (CWMAX)      |             | Yes | \$100,001 - \$250,000       |             | None (or less than \$201) |
| 69 | BLACKSTONE STRATEGIC ALLIANCE FD II LP                       | See Endnote | Yes | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 70 | U.S. Bank Account #13 (cash)                                 |             | N/A | \$100,001 - \$250,000       | Interest    | \$201 - \$1,000           |
| 71 | U.S. Bank Account #10 (cash)                                 |             | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |

| #  | DESCRIPTION   | EIF | VALUE                | INCOME TYPE | INCOME AMOUNT             |
|----|---|-----|----------------------|-------------|---------------------------|
| 72 | U.S. Bank Account #11 (cash)                          | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 73 | INVESCO S&P 500 LOW VOLATILITY (SPLV) See Endnote     | Yes | \$15,001 - \$50,000  |             | \$201 - \$1,000           |
| 74 | ISHARES CORE S&P MIDCAP (IJH)                         | Yes | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 75 | ISHARES MSCI EAFE ETF (EFA)                           | Yes | \$1,001 - \$15,000   |             | \$2,501 - \$5,000         |
| 76 | ISHARES MSCI ACWI (ACWI)                              | Yes | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 77 | ISHARES CORE MSCI EMERGING (IEMG)                     | Yes | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 78 | VANGUARD VALUE ETF (VTV)                              | Yes | \$15,001 - \$50,000  |             | \$1,001 - \$2,500         |
| 79 | VANGUARD GROWTH ETF (VUG)                             | Yes | \$50,001 - \$100,000 |             | \$201 - \$1,000           |
| 80 | EATON VANCE ATLANTA CAP SMID CAP FUND CLASS I (EISMX) | Yes | \$1,001 - \$15,000   |             | \$15,001 - \$50,000       |
| 81 | OPPENHEIMER DEVELOPING MARKETS CLASS Y (ODVYX)        | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 82 | T. ROWE PRICE OVERSEAS STOCK FUND CLASS NL (TROSX)    | Yes | \$15,001 - \$50,000  |             | \$1,001 - \$2,500         |
| 83 | THORNBURG INTL VALUE FUND I (TGVIX)                   | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 84 | VIRTUS KAR SMALL-CAP CORE FUND CLASS I (PKSFX)        | Yes | \$1,001 - \$15,000   |             | \$201 - \$1,000           |
| 85 | ISHARES 20+ YEAR TREAS BOND ETF (TLT)                 | Yes | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 86 | ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF (EMB) | Yes | \$1,001 - \$15,000   |             | None (or less than \$201) |

| #   | DESCRIPTION  |             | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|-----|--|-------------|-----|-----------------------------|-------------|---------------------------|
| 87  | PIMCO ENHANCED SHORT MAT ACTIVE EXCHANGE-TRADED FD (MINT)    |             | Yes | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 88  | VANGUARD TOTAL BOND MKT ETF (BND)                            |             | Yes | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 89  | ASHMORE EMERGING MARKETS TOTAL RETURN FUND CLASS I (EMKIX)   |             | Yes | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 90  | LORD ABBETT INTERMEDIATE TAX FREE FUND CLASS F (LISFX)       |             | Yes | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 91  | WELLS FARGO INTERMEDIATE TAX/AMTFREE FUND CLASS INST (WITIX) |             | Yes | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 92  | FIRST EAGLE GLOBAL FUNDS CLASS I                             | See Endnote | Yes | None (or less than \$1,001) |             | \$2,501 - \$5,000         |
| 93  | U.S. Bank Account #12 (cash)                                 |             | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 94  | INVESCO S&P 500 LOW VOLATILITY ETF (SPLV)                    |             | Yes | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 95  | ISHARES CORE S&P MIDCAP                                      |             | Yes | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 96  | ISHARES MSCI EAFE ETF (EFA)                                  |             | Yes | \$1,001 - \$15,000          |             | \$201 - \$1,000           |
| 97  | ISHARES MSCI ACWI ETF (ACWI)                                 |             | Yes | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 98  | VANGUARD VALUE ETF (VTV)                                     |             | Yes | \$1,001 - \$15,000          |             | \$201 - \$1,000           |
| 99  | VANGUARD GROWTH ETF (VUG)                                    |             | Yes | \$15,001 - \$50,000         |             | \$201 - \$1,000           |
| 100 | EATON VANCE ATLANTA CAP SMID CAP FUND CLASS I (EISMX)        |             | Yes | \$1,001 - \$15,000          |             | \$2,501 - \$5,000         |
| 101 | OPPENHEIMER DEVELOPING MARKETS CLASS Y (ODVYX)               |             | Yes | \$1,001 - \$15,000          |             | None (or less than \$201) |

| #   | DESCRIPTION  | EIF         | VALUE               | INCOME TYPE                 | INCOME AMOUNT             |
|-----|--|-------------|---------------------|-----------------------------|---------------------------|
| 102 | T. ROWE PRICE OVERSEAS STOCK FUND CLASS NL (TROX)            | Yes         | \$1,001 - \$15,000  |                             | \$201 - \$1,000           |
| 103 | THORNBURG INTL VALUE FUND I (TGVIX)                          | Yes         | \$1,001 - \$15,000  |                             | None (or less than \$201) |
| 104 | VIRTUS KAR SMALL-CAP CORE FUND CLASS I (PKSFX)               | Yes         | \$1,001 - \$15,000  |                             | None (or less than \$201) |
| 105 | ISHARES 20+ YEAR TREAS BOND ETF (TLT)                        | Yes         | \$1,001 - \$15,000  |                             | None (or less than \$201) |
| 106 | ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF (EMB)        | Yes         | \$1,001 - \$15,000  |                             | None (or less than \$201) |
| 107 | PIMCO ENHANCED SHORT MAT ACTIVE EXCHANGE TRADED FD (MINT)    | Yes         | \$1,001 - \$15,000  |                             | None (or less than \$201) |
| 108 | ASHMORE EMERGING MARKETS TOTAL RETURN FUND CLASS I (EMKIX)   | Yes         | \$1,001 - \$15,000  |                             | None (or less than \$201) |
| 109 | LORD ABBETT INTERMEDIATE TAX FREE FUND CLASS F (LISFX)       | Yes         | \$1,001 - \$15,000  |                             | None (or less than \$201) |
| 110 | WELLS FARGO INTERMEDIATE TAX/AMTFREE FUND CLASS INST (WITIX) | Yes         | \$1,001 - \$15,000  |                             | None (or less than \$201) |
| 111 | PIMCO TOTAL RETURN FUND CLASS I2                             | See Endnote | Yes                 | None (or less than \$1,001) | \$201 - \$1,000           |
| 112 | UBS RMA Government Money Market Fund (RMGXX)                 | Yes         | \$1,001 - \$15,000  |                             | None (or less than \$201) |
| 113 | INVESCO S&P 500 LOW VOLATILITY ETF (SPLV)                    | Yes         | \$15,001 - \$50,000 |                             | \$201 - \$1,000           |
| 114 | ISHARES MSCI ACWI ETF (ACWI)                                 | Yes         | \$1,001 - \$15,000  |                             | None (or less than \$201) |
| 115 | ISHARES CORE MSCI EMERGING MARKETS ETF (IEMG)                | Yes         | \$1,001 - \$15,000  |                             | None (or less than \$201) |



| #   | DESCRIPTION  | EIF | VALUE                | INCOME TYPE | INCOME AMOUNT             |
|-----|--|-----|----------------------|-------------|---------------------------|
| 116 | VANGUARD VALUE ETF (VTV)                                   | Yes | \$15,001 - \$50,000  |             | \$201 - \$1,000           |
| 117 | VANGUARD GROWTH ETF (VUG)                                  | Yes | \$50,001 - \$100,000 |             | \$201 - \$1,000           |
| 118 | VANGUARD FTSE DEVELOPED MKT ETF (VEA)                      | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 119 | EATON VANCE ATLANTA CAP SMID CAP FUND CLASS I (EISMX)      | Yes | \$15,001 - \$50,000  |             | \$5,001 - \$15,000        |
| 120 | OPPENHEIMER DEVELOPING MARKETS CLASS Y (ODVYX)             | Yes | \$15,001 - \$50,000  |             | \$201 - \$1,000           |
| 121 | T. ROWE PRICE OVERSEAS STOCK FUND CLASS NL (TROSX)         | Yes | \$15,001 - \$50,000  |             | \$1,001 - \$2,500         |
| 122 | THORNBURG INTL VALUE FUND I (TGVIX)                        | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 123 | VIRTUS KAR SMALL-CAP CORE FUND CLASS I (PKSFX)             | Yes | \$15,001 - \$50,000  |             | \$201 - \$1,000           |
| 124 | ISHARES 20+ YEAR TREAS BOND ETF (TLT)                      | Yes | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 125 | ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF (EMB)      | Yes | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 126 | PIMCO ENHANCED SHORT MAT ACTIVE EXCHANGE-TRADED FD (MINT)  | Yes | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 127 | VANGUARD TOTAL BOND MKT ETF (BND)                          | Yes | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 128 | ASHMORE EMERGING MARKETS TOTAL RETURN FUND CLASS I (EMKIX) | Yes | \$1,001 - \$15,000   |             | \$201 - \$1,000           |
| 129 | LORD ABBETT INTERMEDIATE TAX FREE FUND CLASS F (LISFX)     | Yes | \$1,001 - \$15,000   |             | \$201 - \$1,000           |

| #   | DESCRIPTION  | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|-----|--|-----|-----------------------------|-------------|---------------------------|
| 130 | WELLS FARGO INTERMEDIATE TAX/AMTFREE FUND CLASS INST (WITIX) | Yes | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 131 | Treasury Note  | N/A | None (or less than \$1,001) | Interest    | \$5,001 - \$15,000        |
| 132 | Treasury Note  | N/A | None (or less than \$1,001) | Interest    | \$5,001 - \$15,000        |
| 133 | Treasury Note  | N/A | None (or less than \$1,001) | Interest    | \$5,001 - \$15,000        |
| 134 | Treasury Note  | N/A | None (or less than \$1,001) | Interest    | \$5,001 - \$15,000        |
| 135 | Treasury Note  | N/A | None (or less than \$1,001) | Interest    | \$5,001 - \$15,000        |
| 136 | Treasury Note  | N/A | \$1,000,001 - \$5,000,000   | Interest    | \$5,001 - \$15,000        |
| 137 | Treasury Note  | N/A | None (or less than \$1,001) | Interest    | \$201 - \$1,000           |
| 138 | Treasury Note  | N/A | \$1,000,001 - \$5,000,000   | Interest    | \$15,001 - \$50,000       |
| 139 | Treasury Note  | N/A | \$1,000,001 - \$5,000,000   | Interest    | \$15,001 - \$50,000       |
| 140 | Treasury Note  | N/A | \$1,000,001 - \$5,000,000   | Interest    | \$15,001 - \$50,000       |
| 141 | Treasury Note  | N/A | None (or less than \$1,001) | Interest    | \$15,001 - \$50,000       |
| 142 | Treasury Note  | N/A | None (or less than \$1,001) | Interest    | \$201 - \$1,000           |
| 143 | Treasury Note  | N/A | None (or less than \$1,001) | Interest    | \$201 - \$1,000           |

| #   | DESCRIPTION   | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|-----|---------------|-----|-----------------------------|-------------|---------------------------|
| 144 | Treasury Note | N/A | None (or less than \$1,001) | Interest    | \$5,001 - \$15,000        |
| 145 | Treasury Note | N/A | \$1,000,001 - \$5,000,000   | Interest    | \$2,501 - \$5,000         |
| 146 | Treasury Note | N/A | \$1,000,001 - \$5,000,000   | Interest    | \$5,001 - \$15,000        |
| 147 | Treasury Note | N/A | \$1,000,001 - \$5,000,000   | Interest    | None (or less than \$201) |
| 148 | Treasury Note | N/A | \$1,000,001 - \$5,000,000   | Interest    | \$5,001 - \$15,000        |
| 149 | Treasury Note | N/A | \$1,000,001 - \$5,000,000   | Interest    | \$15,001 - \$50,000       |
| 150 | Treasury Note | N/A | None (or less than \$1,001) | Interest    | \$2,501 - \$5,000         |
| 151 | Treasury Note | N/A | None (or less than \$1,001) | Interest    | \$5,001 - \$15,000        |
| 152 | Treasury Note | N/A | None (or less than \$1,001) | Interest    | \$5,001 - \$15,000        |
| 153 | Treasury Note | N/A | \$1,000,001 - \$5,000,000   | Interest    | \$5,001 - \$15,000        |
| 154 | Treasury Note | N/A | \$1,000,001 - \$5,000,000   | Interest    | \$15,001 - \$50,000       |
| 155 | Treasury Note | N/A | \$1,000,001 - \$5,000,000   | Interest    | \$5,001 - \$15,000        |
| 156 | Treasury Note | N/A | \$1,000,001 - \$5,000,000   | Interest    | None (or less than \$201) |
| 157 | Treasury Note | N/A | \$1,000,001 - \$5,000,000   | Interest    | \$15,001 - \$50,000       |

| #   | DESCRIPTION  |             | EIF | VALUE                      | INCOME TYPE       | INCOME AMOUNT             |
|-----|--|-------------|-----|----------------------------|-------------------|---------------------------|
| 158 | ISHARES RUSSELL 1000 ETF (IWB)   |             | Yes | \$5,000,001 - \$25,000,000 |                   | \$50,001 - \$100,000      |
| 159 | INVESCO QQQ TR UNIT SER 1 (QQQ)  | See Endnote | Yes | \$1,000,001 - \$5,000,000  |                   | \$15,001 - \$50,000       |
| 160 | JANUS ENTERPRISE FUND CL I (JMGR X)  |             | Yes | \$1,000,001 - \$5,000,000  |                   | \$5,001 - \$15,000        |
| 161 | WELLS FARGO SPECIAL SMALL CAP VALUE (ESPNX)  |             | Yes | \$1,000,001 - \$5,000,000  |                   | \$15,001 - \$50,000       |
| 162 | KUSHNER MIDWEST PARTNERS 1, LLC (Residential Real Estate in Middletown, Akron & Bedford Heights, OH) | See Endnote | N/A | \$500,001 - \$1,000,000    | Rent or Royalties | \$100,001 - \$1,000,000   |
| 163 | KUSHNER MIDWEST PARTNERS 1, LLC (Commercial Real Estate in Brooklyn, NY)                             | See Endnote | N/A | \$1,000,001 - \$5,000,000  | Rent or Royalties | \$100,001 - \$1,000,000   |
| 164 | KC DUMBO NEWCO, LLC (Commercial Real Estate, Brooklyn, NY)   | See Endnote | N/A | \$500,001 - \$1,000,000    | Rent or Royalties | \$100,001 - \$1,000,000   |
| 165 | KC DUMBO NEWCO, LLC (Commercial Real Estate, 175 Pearl Street, Brooklyn, NY)                         | See Endnote | N/A | \$1,001 - \$15,000         |                   | None (or less than \$201) |
| 166 | QUAIL RIDGE, LLC (Residential Real Estate in Plainsboro, NJ)   | See Endnote | N/A | \$1,000,001 - \$5,000,000  | Rent or Royalties | \$100,001 - \$1,000,000   |
| 167 | K MARYLAND ASSOCIATES, LLC (Residential Real Estate in Baltimore & Prince George's County, MD)       | See Endnote | N/A | \$1,000,001 - \$5,000,000  | Rent or Royalties | \$100,001 - \$1,000,000   |
| 168 | RP MD, LLC (Residential Real Estate in Baltimore & Prince George's County, MD)                       | See Endnote | N/A | \$500,001 - \$1,000,000    | Rent or Royalties | \$100,001 - \$1,000,000   |
| 169 | 502 6G LLC (Residential Real Estate in New York, NY)   |             | N/A | \$1,000,001 - \$5,000,000  | Rent or Royalties | \$100,001 - \$1,000,000   |

## 7. Transactions

| #  | DESCRIPTION  |             | TYPE     | DATE          | AMOUNT                    |
|----|--|-------------|----------|---------------|---------------------------|
| 1  | JANUS ENTERPRISE FUND CL I   |             | Purchase | 10/22/18      | \$500,001 - \$1,000,000   |
| 2  | WELLS FARGO SPECIAL SMALL CAP VALUE FUND INSTL CL                              |             | Purchase | 10/22/18      | \$250,001 - \$500,000     |
| 3  | INVESCO QQQ TR UNIT SER 1  |             | Purchase | 10/23/18      | \$500,001 - \$1,000,000   |
| 4  | ISHARES RUSSELL 1000 ETF   |             | Purchase | 10/23/18      | \$1,000,001 - \$5,000,000 |
| 5  | KCOF-WEST 24TH ST, LLC (Loan Receivable from Siras Development; New York, NY)  | See Endnote | Purchase | 03/14/18      | \$250,001 - \$500,000     |
| 6  | 438 East 12th 3M, LLC (Residential Real Estate in Brooklyn, NY)                | See Endnote | Purchase | 03/21/18      | \$500,001 - \$1,000,000   |
| 7  | 438 East 12th 5P, LLC (Residential Real Estate in Brooklyn, NY)                | See Endnote | Purchase | 03/21/18      | \$500,001 - \$1,000,000   |
| 8  | 438 East 12th 6P, LLC (Residential Real Estate in Brooklyn, NY)                | See Endnote | Purchase | 03/21/18      | \$500,001 - \$1,000,000   |
| 9  | PV BUNGALOW, LLC (Hotel in Long Branch, NJ)                                    | See Endnote | Purchase | 05/11/18      | \$1,000,001 - \$5,000,000 |
| 10 | PV BUNGALOW LIQUOR LICENSE, LLC (Liquor License of a hotel in Long Branch, NJ) | See Endnote | Purchase | 06/08/18      | \$15,001 - \$50,000       |
| 11 | PV MOTEL, LLC (Undeveloped Real Estate in Long Branch, NJ)                     | See Endnote | Purchase | 08/10/18      | \$500,001 - \$1,000,000   |
| 12 | 184 KENT OWNER, LLC (74 Condo units in Brooklyn, NY)                           | See Endnote | Sale     | Various dates | \$250,001 - \$500,000     |
| 13 | 175-225 THIRD OWNER, LLC (Undeveloped Real Estate in Brooklyn, NY)             | See Endnote | Sale     | 04/18/18      | \$500,001 - \$1,000,000   |
| 14 | Ishares 7-10 Year Treas Bond ETF   |             | Purchase | 11/09/18      | \$100,001 - \$250,000     |

| #  | DESCRIPTION   | TYPE     | DATE          | AMOUNT                  |
|----|---|----------|---------------|-------------------------|
| 15 | Ishares 7-10 Year Treas Bond ETF                      | Sale     | 12/18/18      | \$100,001 - \$250,000   |
| 16 | Vanguard FTSE Emerging Markets ETF                    | Purchase | 01/19/18      | \$50,001 - \$100,000    |
| 17 | Vanguard FTSE Emerging Markets ETF                    | Sale     | 06/25/18      | \$50,001 - \$100,000    |
| 18 | ISHARES MSCI EAFE ETF (EFA)                           | Purchase | Various dates | \$15,001 - \$50,000     |
| 19 | ISHARES MSCI EAFE ETF (EFA)                           | Sale     | 12/18/18      | \$1,001 - \$15,000      |
| 20 | ISHARES MSCI ACWI ETF (ACWI)                          | Sale     | Various dates | \$100,001 - \$250,000   |
| 21 | ISHARES MSCI ACWI ETF (ACWI)                          | Purchase | 11/09/18      | \$100,001 - \$250,000   |
| 22 | ISHARES CORE MSCI EMERGING MARKETS ETF (IEMG)         | Purchase | 12/18/18      | \$100,001 - \$250,000   |
| 23 | VANGUARD GROWTH ETF (VUG)                             | Purchase | 10/29/18      | \$15,001 - \$50,000     |
| 24 | EATON VANCE ATLANTA CAP SMID CAP FUND CLASS I (EISMX) | Purchase | 12/05/18      | \$15,001 - \$50,000     |
| 25 | OPPENHEIMER DEVELOPING MARKETS CLASS Y (ODVYX)        | Purchase | Various dates | \$15,001 - \$50,000     |
| 26 | T. ROWE PRICE OVERSEAS STOCK FUND CLASS NL (TROSX)    | Purchase | Various dates | \$500,001 - \$1,000,000 |
| 27 | THORNBURG INTL VALUE FUND I (TGVIX)                   | Sale     | Various dates | \$500,001 - \$1,000,000 |
| 28 | THORNBURG INTL VALUE FUND I (TGVIX)                   | Purchase | Various dates | \$100,001 - \$250,000   |
| 29 | VIRTUS KAR SMALL-CAP CORE FUND CLASS I (PKSFX)        | Purchase | 12/18/18      | \$1,001 - \$15,000      |

| #  | DESCRIPTION  | TYPE     | DATE          | AMOUNT                  |
|----|--|----------|---------------|-------------------------|
| 30 | ISHARES 20+ YEARS TREAS BOND ETF (TLT)                       | Purchase | 12/18/18      | \$100,001 - \$250,000   |
| 31 | ISHARES NATL MUNI BOND ETF (MUB)                             | Sale     | Various dates | \$500,001 - \$1,000,000 |
| 32 | ISHARES NATL MUNI BOND ETF (MUB)                             | Purchase | Various dates | \$250,001 - \$500,000   |
| 33 | ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF (EMB)        | Purchase | Various dates | \$250,001 - \$500,000   |
| 34 | ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF (EMB)        | Sale     | 07/26/18      | \$100,001 - \$250,000   |
| 35 | PIMCO ENHANCED SHORT MAT ACTIVE EXCHANGE-TRADED FD (MINT)    | Sale     | 02/26/18      | \$100,001 - \$250,000   |
| 36 | PIMCO ENHANCED SHORT MAT ACTIVE EXCHANGE-TRADED FD (MINT)    | Purchase | Various dates | \$100,001 - \$250,000   |
| 37 | VANGUARD TOTAL BOND MKT ETF (BND)                            | Sale     | Various dates | \$100,001 - \$250,000   |
| 38 | VANGUARD TOTAL BOND MKT ETF (BND)                            | Purchase | 10/03/18      | \$1,001 - \$15,000      |
| 39 | ASHMORE EMERGING MARKETS TOTAL RETURN FUND CLASS I (EMKIX)   | Purchase | 08/24/18      | \$15,001 - \$50,000     |
| 40 | LORD ABBETT HIGH YIELD FUND CLASS F (LHYFX)                  | Purchase | Various dates | \$15,001 - \$50,000     |
| 41 | LORD ABBETT INTERMEDIATE TAX FREE FUND CLASS F (LISFX)       | Purchase | Various dates | \$100,001 - \$250,000   |
| 42 | TEMPLETON GLBAL BOND ADV (TGBAX)                             | Purchase | Various dates | \$15,001 - \$50,000     |
| 43 | WELLS FARGO INTERMEDIATE TAX/AMTFREE FUND CLASS INST (WITIX) | Purchase | Various dates | \$100,001 - \$250,000   |
| 44 | FIRST EAGLE GLOBAL FUNDS CLASS I                             | Sale     | Various dates | \$15,001 - \$50,000     |

| #  | DESCRIPTION  | TYPE     | DATE          | AMOUNT                 |
|----|--|----------|---------------|------------------------|
| 45 | ISHARES NATL MUNI BOND ETF                               | Purchase | 01/02/18      | \$1,001 - \$15,000     |
| 46 | ISHARES NATL MUNI BOND ETF                               | Sale     | 11/09/18      | \$1,001 - \$15,000     |
| 47 | ISHARES 7-10 YEAR TREAS BOND ETF                         | Purchase | 06/26/18      | \$1,001 - \$15,000     |
| 48 | ISHARES 7-10 YEAR TREAS BOND ETF                         | Sale     | 12/18/18      | \$1,001 - \$15,000     |
| 49 | PRINCIPAL SMALL-MIDCAP DIVIDEND INC<br>FUND CLASS INST   | Sale     | 12/12/18      | \$1,001 - \$15,000     |
| 50 | VANGUARD FTSE EMERGING MARKETS ETF                       | Purchase | 01/19/18      | \$1,001 - \$15,000     |
| 51 | VANGUARD FTSE EMERGING MARKETS ETF                       | Sale     | 06/25/18      | \$1,001 - \$15,000     |
| 52 | INVESCO S&P 500 LOW VOLATILITY (SPLV)                    | Purchase | 01/02/18      | \$15,001 -<br>\$50,000 |
| 53 | ISHARES CORE S&P MIDCAP (IJH)                            | Purchase | 12/12/18      | \$1,001 - \$15,000     |
| 54 | ISHARES MSCI EAFE ETF (EFA)                              | Sale     | 01/02/18      | \$15,001 -<br>\$50,000 |
| 55 | ISHARES MSCI ACWI (ACWI)                                 | Purchase | Various dates | \$1,001 - \$15,000     |
| 56 | ISHARES MSCI ACWI (ACWI)                                 | Sale     | Various dates | \$1,001 - \$15,000     |
| 57 | ISHARES CORE MSCI EMERGING (IEMG)                        | Purchase | 12/18/18      | \$1,001 - \$15,000     |
| 58 | VANGUARD VALUE ETF (VTV)                                 | Sale     | 01/02/18      | \$1,001 - \$15,000     |
| 59 | VANGUARD GROWTH ETF (VUG)                                | Purchase | 01/02/18      | \$1,001 - \$15,000     |
| 60 | EATON VANCE ATLANTA CAP SMID CAP<br>FUND CLASS I (EISMX) | Sale     | 01/02/18      | \$15,001 -<br>\$50,000 |
| 61 | OPPENHEIMER DEVELOPING MARKETS<br>CLASS Y (ODVYX)        | Purchase | 01/02/18      | \$1,001 - \$15,000     |
| 62 | T. ROWE PRICE OVERSEAS STOCK FUND<br>CLASS NL (TROSX)    | Purchase | 08/06/18      | \$15,001 -<br>\$50,000 |
| 63 | THORNBURG INTL VALUE FUND I (TGVIX)                      | Purchase | 01/02/18      | \$15,001 -<br>\$50,000 |



| #  | DESCRIPTION  | TYPE     | DATE          | AMOUNT               |
|----|--|----------|---------------|----------------------|
| 64 | THORNBURG INTL VALUE FUND I (TGVIX)                          | Sale     | 08/06/18      | \$15,001 - \$50,000  |
| 65 | VIRTUS KAR SMALL-CAP CORE FUND CLASS I (PKSFX)               | Purchase | 01/02/18      | \$1,001 - \$15,000   |
| 66 | ISHARES 20+ YEAR TREAS BOND ETF (TLT)                        | Purchase | 12/18/18      | \$1,001 - \$15,000   |
| 67 | ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF (EMB)        | Purchase | Various dates | \$1,001 - \$15,000   |
| 68 | ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF (EMB)        | Sale     | 06/26/18      | \$1,001 - \$15,000   |
| 69 | PIMCO TOTAL RETURN FUND CLASS 12                             | Sale     | 01/02/18      | \$50,001 - \$100,000 |
| 70 | PIMCO ENHANCED SHORT MAT ACTIVE EXCHANGE-TRADED FD (MINT)    | Purchase | Various dates | \$1,001 - \$15,000   |
| 71 | VANGUARD TOTAL BOND MKT ETF (BND)                            | Purchase | Various dates | \$15,001 - \$50,000  |
| 72 | VANGUARD TOTAL BOND MKT ETF (BND)                            | Sale     | Various dates | \$1,001 - \$15,000   |
| 73 | ASHMORE EMERGING MARKETS TOTAL RETURN FUND CLASS I (EMKIX)   | Purchase | 01/02/18      | \$1,001 - \$15,000   |
| 74 | LORD ABBETT INTERMEDIATE TAX FREE FUND CLASS F (LISFX)       | Purchase | 01/02/18      | \$1,001 - \$15,000   |
| 75 | WELLS FARGO INTERMEDIATE TAX/AMTFREE FUND CLASS INST (WITIX) | Purchase | Various dates | \$1,001 - \$15,000   |
| 76 | WELLS FARGO INTERMEDIATE TAX/AMTFREE FUND CLASS INST (WITIX) | Sale     | 11/09/18      | \$1,001 - \$15,000   |
| 77 | FIRST EAGLE GLOBAL FUNDS CLASS I                             | Sale     | 01/02/18      | \$1,001 - \$15,000   |
| 78 | ISAHRES 7-10 YEAR TREAS BOND ETF                             | Purchase | 06/26/18      | \$1,001 - \$15,000   |
| 79 | ISHARES 7-10 YEAR TREAS BOND ETF                             | Sale     | 12/18/18      | \$1,001 - \$15,000   |
| 80 | PIMCO TOTAL RETURN FUND CLASS I2                             | Sale     | 01/02/18      | \$1,001 - \$15,000   |

| #  | DESCRIPTION  | TYPE     | DATE          | AMOUNT             |
|----|--|----------|---------------|--------------------|
| 81 | PRINCIPAL SMALL MIDCAP DIVIDEND INC FUND CLASS INST        | Sale     | Various dates | \$1,001 - \$15,000 |
| 82 | INVESCO S&P 500 LOW VOLATILITY ETF (SPLV)                  | Purchase | 01/02/18      | \$1,001 - \$15,000 |
| 83 | ISHARES CORE S&P MIDCAP                                    | Purchase | 12/12/18      | \$1,001 - \$15,000 |
| 84 | ISHARES MSCI EAFE ETF (EFA)                                | Sale     | 01/02/18      | \$1,001 - \$15,000 |
| 85 | ISHARES MSCI ACWI ETF (ACWI)                               | Purchase | 11/09/18      | \$1,001 - \$15,000 |
| 86 | ISHARES MSCI ACWI ETF (ACWI)                               | Sale     | 01/02/18      | \$1,001 - \$15,000 |
| 87 | VANGUARD GROWTH ETF (VUG)                                  | Purchase | 01/02/18      | \$1,001 - \$15,000 |
| 88 | EATON VANCE ATLANTA CAP SMID CAP FUND CLASS I (EISMX)      | Sale     | 01/02/18      | \$1,001 - \$15,000 |
| 89 | OPPENHEIMER DEVELOPING MARKETS CLASS Y (ODVYX)             | Purchase | 01/02/18      | \$1,001 - \$15,000 |
| 90 | T. ROWE PRICE OVERSEAS STOCK FUND CLASS NL (TROSX)         | Purchase | 08/06/18      | \$1,001 - \$15,000 |
| 91 | THORNBURG INTL VALUE FUND I (TGVIX)                        | Purchase | 01/02/18      | \$1,001 - \$15,000 |
| 92 | THORNBURG INTL VALUE FUND I (TGVIX)                        | Sale     | 08/06/18      | \$1,001 - \$15,000 |
| 93 | VIRTUS KAR SMALL-CAP CORE FUND CLASS I (PKSFX)             | Purchase | 02/18/18      | \$1,001 - \$15,000 |
| 94 | ISHARES 20+ YEAR TREAS BOND ETF (TLT)                      | Purchase | 12/18/18      | \$1,001 - \$15,000 |
| 95 | ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF (EMB)      | Purchase | Various dates | \$1,001 - \$15,000 |
| 96 | ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF (EMB)      | Sale     | 06/26/18      | \$1,001 - \$15,000 |
| 97 | ASHMORE EMERGING MARKETS TOTAL RETURN FUND CLASS I (EMKIX) | Purchase | 01/02/18      | \$1,001 - \$15,000 |

| #   | DESCRIPTION  | TYPE     | DATE          | AMOUNT                |
|-----|--|----------|---------------|-----------------------|
| 98  | LORD ABBETT INTERMEDIATE TAX FREE FUND CLASS F (LISFX)       | Purchase | 01/02/18      | \$1,001 - \$15,000    |
| 99  | WELLS FARGO INTERMEDIATE TAX/AMTFREE FUND CLASS INST (WITIX) | Purchase | 01/02/18      | \$1,001 - \$15,000    |
| 100 | FIRST EAGLE GLOBAL FUNDS CLASS I                             | Sale     | 01/02/18      | \$100,001 - \$250,000 |
| 101 | ISHARES MSCI EAFE ETF  | Purchase | 01/02/18      | \$1,001 - \$15,000    |
| 102 | ISHARES MSCI EAFE ETF  | Sale     | 12/12/18      | \$15,001 - \$50,000   |
| 103 | ISHARES NATL MUNI BOND ETF                                   | Purchase | 01/02/18      | \$1,001 - \$15,000    |
| 104 | ISHARES NATL MUNI BOND ETF                                   | Sale     | 11/09/18      | \$1,001 - \$15,000    |
| 105 | ISHARES 7-10 YEAR TREAS BOND ETF                             | Purchase | 06/26/18      | \$1,001 - \$15,000    |
| 106 | ISHARES 7-10 YEAR TREAS BOND ETF                             | Sale     | 12/18/18      | \$1,001 - \$15,000    |
| 107 | PIMCO TOTAL RETURN FUND CLASS I2                             | Sale     | 01/02/18      | \$50,001 - \$100,000  |
| 108 | INVESCO S&P 500 LOW VOLATILITY ETF (SPLV)                    | Purchase | 01/02/18      | \$15,001 - \$50,000   |
| 109 | ISHARES MSCI ACWI ETF (ACWI)                                 | Purchase | Various dates | \$15,001 - \$50,000   |
| 110 | ISHARES MSCI ACWI ETF (ACWI)                                 | Sale     | Various dates | \$1,001 - \$15,000    |
| 111 | ISHARES CORE MSCI EMERGING MARKETS ETF (IEMG)                | Purchase | 12/18/18      | \$1,001 - \$15,000    |
| 112 | VANGUARD VALUE ETF (VTV)                                     | Purchase | 01/02/18      | \$1,001 - \$15,000    |
| 113 | VANGUARD GROWTH ETF (VUG)                                    | Purchase | 01/02/18      | \$15,001 - \$50,000   |
| 114 | VANGUARD FTSE DEVELOPED MKT ETF (VEA)                        | Purchase | Various dates | \$15,001 - \$50,000   |

| #   | DESCRIPTION  | TYPE     | DATE          | AMOUNT              |
|-----|--|----------|---------------|---------------------|
| 115 | VANGUARD FTSE DEVELOPED MKT ETF (VEA)                      | Sale     | 06/25/18      | \$1,001 - \$15,000  |
| 116 | EATON VANCE ATLANTA CAP SMID CAP FUND CLASS I (EISMX)      | Purchase | 12/05/18      | \$1,001 - \$15,000  |
| 117 | EATON VANCE ATLANTA CAP SMID CAP FUND CLASS I (EISMX)      | Sale     | 01/02/18      | \$15,001 - \$50,000 |
| 118 | OPPENHEIMER DEVELOPING MARKETS CLASS Y (ODVYX)             | Purchase | 01/02/18      | \$15,001 - \$50,000 |
| 119 | T. ROWE PRICE OVERSEAS STOCK FUND CLASS NL (TROX)          | Purchase | 08/06/18      | \$15,001 - \$50,000 |
| 120 | THORNBURG INTL VALUE FUND I (TGVIX)                        | Purchase | 01/02/18      | \$15,001 - \$50,000 |
| 121 | THORNBURG INTL VALUE FUND I (TGVIX)                        | Sale     | 08/06/18      | \$15,001 - \$50,000 |
| 122 | VIRTUS KAR SMALL-CAP CORE FUND CLASS I (PKSFX)             | Purchase | 01/02/18      | \$15,001 - \$50,000 |
| 123 | ISHARES 20+ YEAR TREAS BOND ETF (TLT)                      | Purchase | 12/18/18      | \$1,001 - \$15,000  |
| 124 | ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF (EMB)      | Purchase | Various dates | \$1,001 - \$15,000  |
| 125 | ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF (EMB)      | Sale     | 06/26/18      | \$1,001 - \$15,000  |
| 126 | PIMCO ENHANCED SHORT MAT ACTIVE EXCHANGE-TRADED FD (MINT)  | Purchase | Various dates | \$1,001 - \$15,000  |
| 127 | VANGUARD TOTAL BOND MKT ETF (BND)                          | Purchase | Various dates | \$15,001 - \$50,000 |
| 128 | VANGUARD TOTAL BOND MKT ETF (BND)                          | Sale     | Various dates | \$15,001 - \$50,000 |
| 129 | ASHMORE EMERGING MARKETS TOTAL RETURN FUND CLASS I (EMKIX) | Purchase | 01/02/18      | \$1,001 - \$15,000  |

| #   | DESCRIPTION  | TYPE     | DATE     | AMOUNT             |
|-----|--|----------|----------|--------------------|
| 130 | LORD ABBETT INTERMEDIATE TAX FREE FUND CLASS F (LISFX)       | Purchase | 01/02/18 | \$1,001 - \$15,000 |
| 131 | WELLS FARGO INTERMEDIATE TAX/AMTFREE FUND CLASS INST (WITIX) | Purchase | 01/02/18 | \$1,001 - \$15,000 |
| 132 | WELLS FARGO INTERMEDIATE TAX/AMTFREE FUND CLASS INST (WITIX) | Sale     | 11/09/18 | \$1,001 - \$15,000 |

## 8. Liabilities

| # | CREDITOR NAME           |             | TYPE   | AMOUNT                     | YEAR INCURRED | RATE              | TERM      |
|---|-------------------------|-------------|--|----------------------------|---------------|-------------------|-----------|
| 1 | Deutsche Bank           | See Endnote | Unsecured line of credit (paid off and closed) | \$5,000,001 - \$25,000,000 | 2015          | LIBOR+2.5%        | revolving |
| 2 | IDB Bank                | See Endnote | Unsecured line of credit (paid off and closed) | \$5,000,001 - \$25,000,000 | 2016          | PRIME +1% (4.5%F) | revolving |
| 3 | New York Community Bank | See Endnote | Unsecured line of credit                       | \$5,000,001 - \$25,000,000 | 2016          | PRIME (3.25% F)   | revolving |
| 4 | Citi Group              | See Endnote | Unsecured line of credit                       | \$1,000,001 - \$5,000,000  | 2015          | LIBOR+2.9%        | revolving |
| 5 | Signature Bank          | See Endnote | Unsecured line of credit                       | \$5,000,001 - \$25,000,000 | 2016          | PRIME+0.5% (4%F)  | revolving |
| 6 | Millington Bank         |             | Unsecured line of credit                       | \$1,000,001 - \$5,000,000  | 2016          | PRIME (3.5%F)     | revolving |
| 7 | Bank of America         | See Endnote | Note Payable                                   | \$5,000,001 - \$25,000,000 | 2017          | LIBOR +3.25%      | 10/31/22  |

## 9. Gifts and Travel Reimbursements

None

### Endnotes

| PART | #   | ENDNOTE  |
|------|-----|--|
| 2.   | 1   | This income figure includes \$125,000 in distributions and \$1,375,000 in dividends.   |
| 5.   | 1   | Trust was formed on March 9, 2017. On July 24, 2018, filer's spouse announced her intention to close her fashion brands businesses, and instructed her trustees to begin the process of ceasing all operations.  |
| 5.   | 1.1 | This entity holds the following intellectual property: (1) name- and initial-related trademarks registered in the United States, Canada, Mexico, UAE, EU, New Zealand, Saudi Arabia, Australia, Azerbaijan, Bahrain, Brazil, China, Indonesia, Israel, India, Japan, Kuwait, Panama, Philippines, Puerto Rico, Qatar, Turkey, Russia, Nigeria, Hong Kong, Korea, Taiwan; (2) "Women Who Work"-related trademarks registered in the United States; (3) copyrights related to Ivanka Trump branded jewelry registered in the United States; and (4) name- and initial-related copyrights registered in China and Scotland. |
| 5.   | 2   | A portion of this entity's interest in Trump Old Post Office LLC is held via a third entity, Trump Old Post Office Member Corp, as follows:<br>3.1 Trump Old Post Office Member Corp.<br>3.1.1 Trump Old Post Office LLC<br>3.2 Trump Old Post Office LLC  |
| 5.   | 3   | Filer's spouse previously received fees through these businesses that varied based on the performance of the business, but now will receive fixed payments that have been cross-guaranteed.  |
| 5.   | 4   | Filer's spouse previously received fees through these businesses that varied based on the performance of the business, but will now receive fixed payments that have been cross-guaranteed. Filer received a portion of the fixed payments that had been cross-guaranteed.   |
| 5.   | 5   | Filer's spouse previously received fees through these businesses that varied based on the performance of the business, but now will receive fixed payments that have been cross-guaranteed.  |
| 5.   | 6   | The book was released May 2, 2017.   |

| PART | #   | ENDNOTE   |
|------|-----|---|
| 5.   | 6.1 | Filer's spouse has donated advance payments received after she began government service to the Ivanka M. Trump Charitable Fund, a donor-advised fund that makes grants to organizations that empower and educate women and girls. She also has donated all royalties received from Women Who Work, in excess of her advance, to the Fund.   |
| 5.   | 11  | Filer's spouse attempted to divest her interest in residuals for television appearances, but was unable to do so. These proceeds were donated to charity.   |
| 5.   | 12  | Filer's spouse attempted to divest her interest in residuals for television appearances, but was unable to do so. These proceeds were donated to charity.   |
| 6.   | 1.1 | The structure of this entity is as follows:<br>1.1.1 ELMWOOD CHICAGO HOLDING, LLC (Commercial Real Estate in Chicago, IL)<br>1.1.1.1 ELMWOOD CHICAGO MEMBER, LLC (Commercial Real Estate in Chicago, IL)<br>1.1.1.1.1 ELMWOOD CHICAGO ASSOCIATES, LLC (Commercial Real Estate in Chicago, IL)   |
| 6.   | 1.2 | The structure of this entity is as follows:<br>1.2.1 LANDINGS CHICAGO HOLDING, LLC (Commercial Real Estate in Chicago, IL)<br>1.2.1.1 LANDINGS CHICAGO MEMBER, LLC (Commercial Real Estate in Chicago, IL)<br>1.2.1.1.1 LANDINGS CHICAGO ASSOCIATES, LLC (Commercial Real Estate in Chicago, IL)  |
| 6.   | 1.3 | The structure of this entity is as follows:<br>1.3.1 OAKWOOD CHICAGO HOLDING, LLC (Commercial Real Estate in Chicago, IL)<br>1.3.1.1 OAKWOOD CHICAGO MEMBER, LLC (Commercial Real Estate in Chicago, IL)<br>1.3.1.1.1 OAKWOOD CHICAGO ASSOCIATES, LLC (Commercial Real Estate in Chicago, IL)   |
| 6.   | 1.4 | The structure of this entity is as follows:<br>1.4.1 WALLKILL CHICAGO HOLDING, LLC (Commercial Real Estate in Chicago, IL)<br>1.4.1.1 WALLKILL CHICAGO MEMBER, LLC (Commercial Real Estate in Chicago, IL)<br>1.4.1.1.1 WALLKILL CHICAGO ASSOCIATES, LLC (Commercial Real Estate in Chicago, IL)  |
| 6.   | 1.5 | This entity was previously named 321-44 Associates, LLC.<br>The structure of this entity is as follows:<br>1.5.1 EAST 9TH MANAGER, LLC (Residential Real Estate in New York, NY)<br>1.5.1.1 KUSHNER VILLAGE 329 EAST 9TH, LLC (Residential Real Estate in New York, NY)<br>1.5.2 KUSHNER VILLAGE 3 SPE, LLC (Residential Real Estate in New York, NY)<br>1.5.2.1 KUSHNER VILLAGE 329 EAST 9TH, LLC (Residential Real Estate in New York, NY)<br>1.5.2.2 KUSHNER VILLAGE 325 EAST 10TH, LLC (Residential Real Estate in New York, NY)<br>1.5.3 KUSHNER VILLAGE 4 SPE, LLC (Residential Real Estate in New York, NY)<br>1.5.3.1 315 EAST 10TH STREET, LLC (Residential Real Estate in New York, NY)<br>1.5.3.2 118-120 EAST FOURTH OWNER, LLC (Residential Real Estate in New York, NY)<br>1.5.3.3 195 EAST FOURTH OWNER, LLC (Residential Real Estate in New York, NY)<br>1.5.3.4 199-203 EAST FOURTH OWNER, LLC (Residential Real Estate in New York, NY) |

| PART | #   | ENDNOTE  |
|------|-----|--|
| 6.   | 1.6 | <p>This entity was previously named New Puck, LP.</p> <p>The structure of this entity is as follows:<br/> 1.6.1 NEW PUCK INVESTORS, LLC (Commercial Real Estate in New York, NY)<br/> 1.6.1.1 NEW PUCK, LLC (Commercial Real Estate in New York, NY)</p>   |
| 6.   | 2.1 | See item 1.1 for entity structure.   |
| 6.   | 2.2 | See item 1.2 for entity structure.   |
| 6.   | 2.3 | See item 1.3 for entity structure.   |
| 6.   | 2.4 | See item 1.4 for entity structure.   |
| 6.   | 2.5 | This entity was previously named 321-44 Associates, LLC. See item 1.5 for entity structure.  |
| 6.   | 2.6 | <p>This entity was previously named Kushner Village Member, LLC.</p> <p>The structure of this entity is as follows:<br/> 2.6.1 VILLAGE JV SPE LLC (Residential Real Estate in New York, NY)<br/> 2.6.1.1 120 MACDOUGAL STREET REALTY LLC (Residential Real Estate in New York, NY)<br/> 2.6.1.2 267 EAST 10TH STREET REALTY LLC (Residential Real Estate in New York, NY)<br/> 2.6.1.3 435 EAST 9TH STREET REALTY LLC (Residential Real Estate in New York, NY)<br/> 2.6.1.4 156 SULLIVAN STREET REALTY LLC (Residential Real Estate in New York, NY)<br/> 2.6.1.5 318 EAST 11TH STREET REALTY LLC (Residential Real Estate in New York, NY)<br/> 2.6.1.6 54 BARROW STREET ASSOCIATES LLC (Residential Real Estate in New York, NY)<br/> 2.6.1.7 99 EAST 7TH STREET REALTY LLC (Residential Real Estate in New York, NY)<br/> 2.6.1.8 318 EAST 6TH STREET REALTY LLC (Residential Real Estate in New York, NY)</p>   |
| 6.   | 2.7 | <p>This entity was previously named Kushner Village 2 Member LLC.</p> <p>The structure of this entity is as follows:<br/> 2.7.1 VILLAGE 2 JV SPE LLC (Residential Real Estate in New York, NY)<br/> 2.7.1.1 VILLAGE JV 129 FIRST AVENUE LLC (Residential Real Estate in New York, NY)<br/> 2.7.1.2 VILLAGE JV 143 FIRST AVENUE LLC (Residential Real Estate in New York, NY)<br/> 2.7.1.3 VILLAGE JV 165 AVENUE A LLC (Residential Real Estate in New York, NY)<br/> 2.7.1.4 VILLAGE JV 191-193 AVENUE A LLC (Residential Real Estate in New York, NY)<br/> 2.7.1.5 VILLAGE JV 201 EAST 2ND STREET LLC (Residential Real Estate in New York, NY)<br/> 2.7.1.6 VILLAGE JV 211 AVENUE A LLC (Residential Real Estate in New York, NY)<br/> 2.7.1.7 VILLAGE JV 338 EAST 11TH LLC (Residential Real Estate in New York, NY)<br/> 2.7.1.8 VILLAGE JV 435 12TH LLC (Residential Real Estate in New York, NY)<br/> 2.7.1.9 VILLAGE JV 500 EAST 11TH LLC (Residential Real Estate in New York, NY)</p> |



| PART | #    | ENDNOTE   |
|------|------|---|
| 6.   | 2.8  | <p>This asset has been divested. Capital gain amount is estimated.</p> <p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> <li>2.8.1 Northern Liberties JV, LLC (Residential Real Estate in Philadelphia, PA)</li> <li>2.8.1.1 Northern Liberties GP, LLC (Residential Real Estate in Philadelphia, PA)</li> <li>2.8.1.1.1 Liberties Walk Associates, LP (Residential Real Estate in Philadelphia, PA)</li> <li>2.8.1.1.2 Piazza, LLC (Residential Real Estate in Philadelphia, PA)</li> <li>2.8.1.1.3 Piazza Partners I, LP (Residential Real Estate in Philadelphia, PA)</li> <li>2.8.1.1.4 1050 North Hancock Partners, LP (Residential Real Estate in Philadelphia, PA)</li> <li>2.8.1.1.5 1010 North Hancock Partners, LP (Residential Real Estate in Philadelphia, PA)</li> <li>2.8.1.1.6 109 Wildey Partners, LP (Residential Real Estate in Philadelphia, PA)</li> <li>2.8.1.1.7 1011 North Hancock Partners, LP (Residential Real Estate in Philadelphia, PA)</li> <li>2.8.1.1.8 1022 Associates, LP (Residential Real Estate in Philadelphia, PA)</li> <li>2.8.1.1.9 LW Townhome Partners, LP (Residential Real Estate in Philadelphia, PA)</li> <li>2.8.1.1.10 George Street Partners, LP (Residential Real Estate in Philadelphia, PA)</li> <li>2.8.1.1.11 LW Partners III, LP (Residential Real Estate in Philadelphia, PA)</li> <li>2.8.1.1.12 1031 Germantown Partners, LP (Residential Real Estate in Philadelphia, PA)</li> <li>2.8.1.1.13 145 W. Wildey Associates, LP (Residential Real Estate in Philadelphia, PA)</li> <li>2.8.1.1.14 137 W. Allen Street Park Associates, LP (Residential Real Estate in Philadelphia, PA)</li> </ul> |
| 6.   | 2.10 | <p>This entity was previously named New Puck, LP.<br/>See item 1.6 for entity structure.</p>  |
| 6.   | 2.11 | <p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> <li>2.11.1 BROOKLYN KF NORTH 1 ASSOCIATES, LLC (Residential Real Estate in Brooklyn, NY)*</li> <li>2.11.2 PUCK LEONIA LLC (Residential Real Estate in Leonia, NJ).</li> </ul> <p>* This entity was previously named 50 North One, LLC.</p>  |
| 6.   | 3.2  | <p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> <li>3.2.1 95 BAUER DRIVE ASSOCIATES, LLC (Industrial Real Estate in Fairlawn &amp; Oakland, NJ)</li> <li>3.2.2 19-05 NEVINS ROAD ASSOCIATES, LLC (Industrial Real Estate in Fairlawn &amp; Oakland, NJ)</li> <li>3.2.3 15-00 POLLITT DRIVE ASSOCIATES, LLC (Industrial Real Estate in Fairlawn &amp; Oakland, NJ)</li> <li>3.2.4 17-01 POLLITT DRIVE ASSOCIATES, LLC (Industrial Real Estate in Fairlawn &amp; Oakland, NJ)</li> <li>3.2.5 19-00 POLLITT DRIVE ASSOCIATES, LLC (Industrial Real Estate in Fairlawn &amp; Oakland, NJ)</li> <li>3.2.6 40 POTASH ROAD ASSOCIATES, LLC (Industrial Real Estate in Fairlawn &amp; Oakland, NJ)</li> <li>3.2.7 5 THORNTON ROAD ASSOCIATES, LLC (Industrial Real Estate in Fairlawn &amp; Oakland, NJ)</li> <li>3.2.8 128 BAUER DRIVE ASSOCIATES, LLC (Industrial Real Estate in Fairlawn &amp; Oakland, NJ)</li> </ul>   |

| PART | #    | ENDNOTE   |
|------|------|---|
| 6.   | 3.10 | <p>The structure of this entity is as follows:</p> <p>3.10.1 SKYLINE JV PARTNERSHIP, L.P. (Residential Real Estate in Hasbrouck Heights &amp; Lodi, NJ)</p> <p>3.10.1.1 SKYLINE GP, LLC (Residential Real Estate in Hasbrouck Heights &amp; Lodi, NJ)</p> <p>3.10.1.1.1 SKYLINE PROPERTY OWNER, LP (Residential Real Estate in Hasbrouck Heights &amp; Lodi, NJ)</p> <p>3.10.1.2 SKYLINE PROPERTY OWNER, LP (Residential Real Estate in Hasbrouck Heights &amp; Lodi, NJ)</p> <p>3.10.1.3 BOULEVARD GP, LLC (Residential Real Estate in Hasbrouck Heights &amp; Lodi, NJ)</p> <p>3.10.1.3.1 BOULEVARD PROPERTY OWNER, LP (Residential Real Estate in Hasbrouck Heights &amp; Lodi, NJ)</p> <p>3.10.1.4 BOULEVARD PROPERTY OWNER, LP (Residential Real Estate in Hasbrouck Heights &amp; Lodi, NJ)</p> |
| 6.   | 3.11 | <p>The structure of this entity is as follows:</p> <p>3.11.1 Landings Building 136B LLC (Residential Real Estate in Perth Amboy, NJ)</p> <p>3.11.2 Landings Building 138 LLC (Undeveloped Assets in Perth Amboy, NJ)</p> <p>3.11.3 Landings Building 140B LLC (Undeveloped Assets in Perth Amboy, NJ)</p> <p>3.11.4 Landings Building 236 LLC (Undeveloped Assets in Perth Amboy, NJ)</p>   |
| 6.   | 3.12 | <p>The structure of this entity is as follows:</p> <p>3.12.1 THIRTY VREELAND ASSOCIATES, LLC (Commercial Real Estate in Florham Park, NJ)</p>   |
| 6.   | 3.13 | <p>The structure of this entity is as follows:</p> <p>3.13.1 55 CHALLENGER, LLC (Commercial Real Estate in Ridgefield Park, NJ)</p>   |
| 6.   | 3.14 | <p>The structure of this entity is as follows:</p> <p>3.14.1 ENGLEWOOD VILLAGE, LLC (Residential Real Estate in Englewood, NJ)</p>  |
| 6.   | 3.16 | <p>This entity was previously named 75 Montgomery Associates, LLC.</p> <p>The structure of this entity is as follows:</p> <p>3.16.1 125 ALGONQUIN ASSOCIATES, LLC (Industrial Real Estate in Whippany, NJ)</p> <p>3.16.2 145 ALGONQUIN ASSOCIATES, LLC (Industrial Real Estate in Whippany, NJ)</p> <p>3.16.3 156 ALGONQUIN ASSOCIATES, LLC (Industrial Real Estate in Whippany, NJ)</p> <p>3.16.4 30 LESLIE ASSOCIATES, LLC (Industrial Real Estate in Whippany, NJ)</p> <p>3.16.5 416 ROUTE 10 ASSOCIATES, LLC (Commercial Real Estate in Hanover, NJ)</p>  |
| 6.   | 4.1  | <p>The structure of this entity is as follows:</p> <p>4.1.1 KCLW ACQUISITION MANAGER LLC (Residential Real Estate in Brooklyn, NY)</p> <p>4.1.1.1 KCLW ACQUISITION LLC (Residential Real Estate in Brooklyn, NY)</p> <p>4.1.1.1.1 RP/K KENT AVENUE, LLC (Residential Real Estate in Brooklyn, NY)</p> <p>4.1.1.1.1.1 MARATHON/JTR WILLIAMSBURG HOLDINGS, LLC (Residential Real Estate in Brooklyn, NY)</p> <p>4.1.1.1.1.1.1 184 KENT FEE, LLC (Residential Real Estate in Brooklyn, NY)</p> <p>4.1.1.1.1.1.1.1 184 KENT FEE, LLC (Residential Real Estate in Brooklyn, NY)</p> <p>4.1.1.1.1.1.1.1.1 184 KENT OWNER, LLC (Residential Real Estate in Brooklyn, NY)</p>   |

| PART | #   | ENDNOTE   |
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| 6.   | 4.2 | <p>The structure of this entity is as follows:<br/> 4.2.1 CHATHAM HILL HOLDINGS, LLC (Residential Real Estate in Chatham, NJ)<br/> 4.2.1.1 CHATHAM PARENT, LLC (Residential Real Estate in Chatham, NJ)<br/> 4.2.1.1.1 CHATHAM HOLDER, LLC (Residential Real Estate in Chatham, NJ)*</p> <p>* This entity was previously named Home Properties Chatham Hill, LLC.</p>   |
| 6.   | 4.3 | See item 1.1 for entity structure.  |
| 6.   | 4.4 | See item 1.2 for entity structure.  |
| 6.   | 4.5 | <p>The structure of this entity is as follows:<br/> 4.5.1 80 WEST END PARTNERS, LLC (Residential Real Estate in Long Branch, NJ)<br/> 4.5.1.1 PIER VILLAGE I URBAN RENEWAL COMPANY, LLC (Residential and Commercial Real Estate in Long Branch, NJ)<br/> 4.5.1.2 PIER VILLAGE II URBAN RENEWAL COMPANY, LLC (Residential Real Estate in Long Branch, NJ)</p>  |
| 6.   | 4.6 | <p>The structure of this entity is as follows:<br/> 4.6.1 KCLW 3RD STREET, LLC (Undeveloped Real Estate in Brooklyn, NY)<br/> 4.6.1.1 175-225 THIRD JV, LLC (Undeveloped Real Estate in Brooklyn, NY)<br/> 4.6.1.1.1 175-225 THIRD OWNER, LLC (Undeveloped Real Estate in Brooklyn, NY)*</p> <p>*This asset has been divested. Capital gain amount is estimated.</p>  |
| 6.   | 4.7 | <p>The structure of this entity is as follows:<br/> 4.7.1 VILLAGE KF 12-13 ASSOCIATES, LLC (Residential Real Estate in New York, NY)*<br/> 4.7.1.1 VILLAGE KF 516 EAST 13TH, LLC (Residential Real Estate in New York, NY)<br/> 4.7.1.2 VILLAGE KF 504 EAST 12TH, LLC (Residential Real Estate in New York, NY)</p> <p>* This entity was previously named Village KF 12th Associates, LLC.</p>  |
| 6.   | 4.8 | <p>The structure of this entity is as follows:<br/> 4.8.1 K2 26JS MEMBER, LLC (Commercial Real Estate in Jersey City, NJ)<br/> 4.8.1.1 K2W2 26JS HOLDINGS, LLC (Commercial Real Estate in Jersey City, NJ)<br/> 4.8.1.1.1 26 JOURNAL MASTER TENANT, LLC (Commercial Real Estate in Jersey City, NJ)<br/> 4.8.1.1.2 26 JOURNAL SQUARE MEMBER, LLC (Commercial Real Estate in Jersey City, NJ)<br/> 4.8.1.1.2.1 26 JOURNAL SQUARE OWNER, LLC (Commercial Real Estate in Jersey City, NJ)<br/> 4.8.2 K2 26JS PARTNER, LLC (Commercial Real Estate in Jersey City, NJ)<br/> 4.8.2.1 K2W2 26JS HOLDINGS, LLC (Commercial Real Estate in Jersey City, NJ)<br/> 4.8.2.1.1 26 JOURNAL MASTER TENANT, LLC (Commercial Real Estate in Jersey City, NJ)<br/> 4.8.2.1.2 26 JOURNAL SQUARE MEMBER, LLC (Commercial Real Estate in Jersey City, NJ)<br/> 4.8.2.1.2.1 26 JOURNAL SQUARE OWNER, LLC (Commercial Real Estate in Jersey City, NJ)</p> |

| PART | #    | ENDNOTE  |
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| 6.   | 4.9  | The structure of this entity is as follows:<br>4.9.1 30 JOURNAL SQUARE PARTNERS, LLC (Undeveloped Real Estate in Jersey City, NJ)  |
| 6.   | 4.10 | The structure of this entity is as follows:<br>4.10.1 KC PROSPECT JV, LLC (Residential Real Estate in Hackensack, NJ)<br>4.10.1.1 PROSPECT HACKENSACK JV, LLC (Residential Real Estate in Hackensack, NJ)<br>4.10.1.1.1 GSG RESIDENTIAL PROSPECT TOWERS, LLC (Residential Real Estate in Hackensack, NJ)   |
| 6.   | 4.11 | This entity was previously named Village UK Kushner Member, LLC.<br><br>The structure of this entity is as follows:<br>4.11.1 EV JV PARTNERSHIP, L.P. (Residential Real Estate in New York, NY)<br>4.11.1.1 EV AVENUE A PROPERTY OWNER, L.P. (Residential Real Estate in New York, NY)<br>4.11.1.2 EV 1ST AVENUE PROPERTY OWNER, L.P. (Residential Real Estate in New York, NY)  |
| 6.   | 4.12 | The structure of this entity is as follows:<br>4.12.1 VILLAGE KF 2 ASSOCIATES, LLC (Residential Real Estate in New York, NY)   |
| 6.   | 4.13 | This entity was previously named 321-44 Associates LLC. See item 1.5 for entity structure.   |
| 6.   | 4.14 | This entity was previously named GAIA JC, LLC.<br><br>The structure of this entity is as follows:<br>4.14.1 K MORGAN STREET, LLC (Residential Real Estate in Jersey City, NJ)<br>4.14.1.1 MORGAN STREET DEVELOPERS JOINT VENTURE, LLC (Residential Real Estate in Jersey City, NJ)<br>4.14.1.1.1 MORGAN STREET INVESTORS RETAIL UNIT 3, LLC (Residential Real Estate in Jersey City, NJ)<br>4.14.1.1.2 MORGAN STREET DEVELOPERS MEZZ ASSOCIATES, LLC (Residential Real Estate in Jersey City, NJ)<br>4.14.1.1.2.1 MORGAN STREET DEVELOPERS URBAN RENEWAL COMPANY, LLC (Residential Real Estate in Jersey City, NJ) |
| 6.   | 4.16 | This entity had an ownership interest in a limited liability company which owned an option to purchase commercial real property in Brooklyn, NY. On 8/29/17, the entity sold its ownership interests in the limited liability company and received a promissory note from the buyer upon sale. All promissory note obligations have been paid in full.   |

| PART | #    | ENDNOTE  |
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| 6.   | 4.17 | <p>The structure of this entity is as follows:</p> <p>4.17.1 MARYLAND APARTMENT HOLDINGS 10-PACK JV, LLC (Residential Real Estate in Baltimore and Prince George's County, MD)</p> <p>4.17.1.1 MARYLAND APARTMENT HOLDINGS PE 10-PACK JV, LLC (Residential Real Estate in Baltimore and Prince George's County, MD)</p> <p>4.17.1.1.1 RP COVE VILLAGE, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.1.1 RP COVE APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2 SRH/LA BALTIMORE PROPERTIES, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1 SRH/LA BALTIMORE MEZZANINE, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.1 WHITEMARSH I, II, IV MANAGER, INC. (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.1.1 COMMONS AT WHITEMARSH I, II, V, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.1.1.1 SRH/LA WHITEMARSH I, II, V APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.1.2 COMMONS AT WHITEMARSH I, II, V, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.2.1 SRH/LA WHITEMARSH I, II, V APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.3 WHITEMARSH III MANAGER, INC. (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.3.1 COMMONS AT WHITEMARSH III, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.3.1.1 SRH/LA WHITEMARSH III APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.4 COMMONS AT WHITEMARSH III, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.4.1 SRH/LA WHITEMARSH III APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.5 WHITEMARSH IVA MANAGER, INC. (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.5.1 COMMONS AT WHITEMARSH IVA, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.5.1.1 SRH/LA WHITEMARSH IVA APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.6 COMMONS AT WHITEMARSH IVA, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.6.1 SRH/LA WHITEMARSH IVA APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.7 WHITEMARSH IVB MANAGER, INC. (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.7.1 COMMONS AT WHITEMARSH IVB, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.7.1.1 SRH/LA WHITEMARSH IVB APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.8 COMMONS AT WHITEMARSH IVB, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.8.1 SRH/LA WHITEMARSH IVB APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.9 HARBOR POINT I, II, IV MANAGER, INC. (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.9.1 HARBOR POINT ESTATES I, II, IV, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.9.1.1 SRH/LA HARBOR POINT I, II, IV APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> |

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Baltimore County, MD)  
Baltimore County, MD)  
4.17.1.1.2.1.10 HARBOR POINT ESTATES I, II, IV, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.2.1.10.1 SRH/LA HARBOR POINT I, II, IV APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3 SRH/LA CHESAPEAKE APARTMENTS LLC (Residential Real Estate in Baltimore Baltimore and Prince George's County, MD)  
4.17.1.1.3.1 SRH/LA CHESAPEAKE MEZZANINE, LLC (Residential Real Estate in Baltimore and Prince George's County, MD)  
4.17.1.1.3.1.1 DUTCH VILLAGE MANAGER, INC. (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.1.1 DUTCH VILLAGE, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.1.1.1 SRH/LA DUTCH VILLAGE APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.2 DUTCH VILLAGE, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.2.1 SRH/LA DUTCH VILLAGE APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.3 FONTANA MANAGER, INC. (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.3.1 FONTANA, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.3.1.1 SRH/LA FONTANA APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.4 FONTANA, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.4.1 SRH/LA FONTANA APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.5 HAMILTON MANOR MANAGER, INC. (Residential Real Estate in Prince George's County, MD)  
4.17.1.1.3.1.5.1 HAMILTON MANOR APARTMENTS, LLC (Residential Real Estate in Prince George's County, MD)  
4.17.1.1.3.1.5.1.1 SRH/LA HAMILTON MANOR APARTMENTS, LLC (Residential Real Estate in Prince George's County, MD)  
4.17.1.1.3.1.6 HAMILTON MANOR APARTMENTS, LLC (Residential Real Estate in Prince George's County, MD)  
4.17.1.1.3.1.6.1 SRH/LA HAMILTON MANOR APARTMENTS, LLC (Residential Real Estate in Prince George's County, MD)  
4.17.1.1.3.1.7 HIGHLAND #179 MANAGER, INC. (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.7.1 HIGHLAND #179, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.7.1.1 SRH/LA HIGHLAND #179 APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.8 HIGHLAND #179, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.8.1 SRH/LA HIGHLAND #179 APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.9 HIGHLAND #241 GP, INC. (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.1 DUTCH VILLAGE MANAGER, INC. (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.1.1 DUTCH VILLAGE, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.1.1.1 SRH/LA DUTCH VILLAGE APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.2 DUTCH VILLAGE, LLC (Residential Real Estate in Baltimore County, MD)

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4.17.1.1.3.1.2 DUTCH VILLAGE, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.2.1 SRH/LA DUTCH VILLAGE APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.2.1 SRH/LA DUTCH VILLAGE APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.3 FONTANA MANAGER, INC. (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.3.1 FONTANA, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.3.1.1 SRH/LA FONTANA APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.4 FONTANA, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.4.1 SRH/LA FONTANA APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.5 HAMILTON MANOR MANAGER, INC. (Residential Real Estate in Prince George's County, MD)  
4.17.1.1.3.1.5.1 HAMILTON MANOR APARTMENTS, LLC (Residential Real Estate in Prince George's County, MD)  
4.17.1.1.3.1.5.1.1 SRH/LA HAMILTON MANOR APARTMENTS, LLC (Residential Real Estate in Prince George's County, MD)  
4.17.1.1.3.1.6 HAMILTON MANOR APARTMENTS, LLC (Residential Real Estate in Prince George's County, MD)  
4.17.1.1.3.1.6.1 SRH/LA HAMILTON MANOR APARTMENTS, LLC (Residential Real Estate in Prince George's County, MD)  
4.17.1.1.3.1.7 HIGHLAND #179 MANAGER, INC. (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.7.1 HIGHLAND #179, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.7.1.1 SRH/LA HIGHLAND #179 APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.8 HIGHLAND #179, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.8.1 SRH/LA HIGHLAND #179 APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.9 HIGHLAND #241 GP, INC. (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.9.1 HIGHLAND #241, LLLP (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.9.1.1 SRH/LA HIGHLAND #241 APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.10 HIGHLAND #241, LLLP (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.10.1 SRH/LA HIGHLAND #241 APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.11 HIGHLAND #689 MANAGER, INC. (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.11.1 HIGHLAND #689, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.11.1.1 SRH/LA HIGHLAND #689 APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.12 HIGHLAND #689, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.12.1 SRH/LA HIGHLAND #689 APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.13 PLEASANTVIEW MANAGER, INC. (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.13.1 PLEASANTVIEW, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.13.1.1 PLEASANTVIEW APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)  
4.17.1.1.3.1.14 PLEASANTVIEW, LLC (Residential Real Estate in Baltimore County, MD)

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| PART | #    | ENDNOTE   |
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|      |      | <p>4.17.1.1.3.1.14 PLEASANTVIEW, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.3.1.14.1 PLEASANTVIEW APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.3.1.15 RIVERVIEW MANAGER, INC. (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.3.1.15 RIVERVIEW MANAGER, INC. (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.3.1.15.1 RIVERVIEW APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.3.1.15.1.1 SRH/LA RIVERVIEW APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.3.1.16 RIVERVIEW APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.3.1.16.1 SRH/LA RIVERVIEW APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.3.1.17 WHISPERING WOODS #250 MANAGER, INC. (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.3.1.17.1 WHISPERING WOODS #250, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.3.1.17.1.1 SRH/LA WHISPERING WOODS #250 APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.3.1.18 WHISPERING WOODS #250, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.3.1.18.1 SRH/LA WHISPERING WOODS #250 APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.3.1.19 WHISPERING WOODS #299 GP, INC. (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.3.1.19.1 WHISPERING WOODS #299 LIMITED PARTNERSHIP (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.3.1.19.1.1 WHISPERING WOODS #299 APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.3.1.20 WHISPERING WOODS #299 LIMITED PARTNERSHIP (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.3.1.20.1 WHISPERING WOODS #299 APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> |
| 6.   | 4.18 | <p>The structure of this entity is as follows:</p> <p>4.18.1 AK MEMBER, LLC (Residential Real Estate in Middle River, MD)</p> <p>4.18.1.1 MIDDLE RIVER JV, LLC (Residential Real Estate in Middle River, MD)</p> <p>4.18.1.1.1 CARROLL PARK HOLDINGS, LLC (Residential Real Estate in Middle River, MD)</p> <p>4.18.1.1.2 MORNINGSIDE PARK HOLDINGS, LLC (Residential Real Estate in Middle River, MD)</p> <p>4.18.1.1.3 ESSEX PARK HOLDINGS, LLC (Residential Real Estate in Middle River, MD)</p>   |



| PART | #    | ENDNOTE   |
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| 6.   | 4.19 | <p>The structure of this entity is as follows:</p> <p>4.19.1 KF WESTWOOD PARTNER, LLC (Residential Real Estate in Westwood &amp; River Vale, NJ)</p> <p>4.19.2 K/S WESTWOOD GP, LLC (Residential Real Estate in Westwood &amp; River Vale, NJ)</p> <p>4.19.1.1 K/S WESTWOOD PARTNER, L.P. (Residential Real Estate in Westwood &amp; River Vale, NJ)</p> <p>4.19.1.2 WESTWOOD JV GP, LLC (Residential Real Estate in Westwood &amp; River Vale, NJ)</p> <p>4.19.1.1.1 WESTWOOD INVESTORS JV, L.P. (Residential Real Estate in Westwood &amp; River Vale, NJ)</p> <p>4.19.1.1.1.1 STANFORD GP, LLC (Residential Real Estate in Westwood &amp; River Vale, NJ)</p> <p>4.19.1.1.1.1.1 WESTWOOD CENTER STANFORD PROPERTY OWNER, L.P. (Residential Real Estate in Westwood &amp; River Vale, NJ)</p> <p>4.19.1.1.1.2 WESTWOOD CENTER STANFORD PROPERTY OWNER, L.P. (Residential Real Estate in Westwood &amp; River Vale, NJ)</p> <p>4.19.1.1.1.3 RC VILLAGE GP, LLC (Residential Real Estate in Westwood &amp; River Vale, NJ)</p> <p>4.19.1.1.1.3.1 RIVERVALE COLLIGON VILLAGE PROPERTY OWNER, L.P. (Residential Real Estate in Westwood &amp; River Vale, NJ)</p> <p>4.19.1.1.1.4 RIVERVALE COLLIGON VILLAGE PROPERTY OWNER, L.P. (Residential Real Estate in Westwood &amp; River Vale, NJ)</p> <p>4.19.1.1.1.5 COVENTRY GP, LLC (Residential Real Estate in Westwood &amp; River Vale, NJ)</p> <p>4.19.1.1.1.5.1 WESTWOOD CHARLES CONVENTRY PROPERTY OWNER, L.P. (Residential Real Estate in Westwood &amp; River Vale, NJ)</p> <p>4.19.1.1.1.6 WESTWOOD CHARLES CONVENTRY PROPERTY OWNER, L.P. (Residential Real Estate in Westwood &amp; River Vale, NJ)</p> <p>4.19.1.1.1.7 MADISON GP, LLC (Residential Real Estate in Westwood &amp; River Vale, NJ)</p> <p>4.19.1.1.1.7.1 WESTWOOD MADISON PROPERTY OWNER, L.P. (Residential Real Estate in Westwood &amp; River Vale, NJ)</p> <p>4.19.1.1.1.8 WESTWOOD MADISON PROPERTY OWNER, L.P. (Residential Real Estate in Westwood &amp; River Vale, NJ)</p> |
| 6.   | 4.20 | <p>The structure of this entity is as follows:</p> <p>4.20.1 K-BLS PORTFOLIO, LLC (Residential Real Estate in Brooklyn, NY)</p> <p>4.20.1.1 BLS ASSOCIATES, LLC (Residential Real Estate in Brooklyn, NY)</p> <p>4.20.1.1.1 BLS PORTFOLIO, LLC (Residential Real Estate in Brooklyn, NY)</p> <p>4.20.1.1.1.1 38 MONROE PLACE, LLC (Residential Real Estate in Brooklyn, NY)</p> <p>4.20.1.1.1.2 100 PIERREPONT STREET, LLC (Residential Real Estate in Brooklyn, NY)</p> <p>4.20.1.1.2.3 18 SYDNEY PLACE, LLC (Residential Real Estate in Brooklyn, NY)</p> <p>4.20.1.1.2.4 89 HICKS STREET, LLC (Residential Real Estate in Brooklyn, NY)</p> <p>4.20.1.1.2.5 144 WILLOW STREET, LLC (Residential Real Estate in Brooklyn, NY)*</p> <p>* This asset has been divested.</p>   |
| 6.   | 4.21 | This entity was previously named New Puck, LP. See item 1.6 for entity structure.   |
| 6.   | 4.22 | <p>The structure of this entity is as follows:</p> <p>4.22.1 ST LEONIA, LLC (Residential Real Estate in Leonia, NJ)</p>   |

| PART | #    | ENDNOTE  |
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| 6.   | 4.23 | <p>The structure of this entity is as follows:</p> <p>4.23.1 ELMWOOD NYT PRINCIPAL, LLC (Commercial Real Estate in New York, NY)</p> <p>4.23.1.1 ELMWOOD NYT HOLDINGS, LLC (Commercial Real Estate in New York, NY)</p> <p>4.23.1.1.1 ELMWOOD NYT MEZZ, LLC (Commercial Real Estate in New York, NY)</p> <p>4.23.1.1.1.1 ELMWOOD NYT OWNER, LLC (Commercial Real Estate in New York, NY)</p> <p>4.23.2 OAKWOOD NYT PRINCIPAL, LLC (Commercial Real Estate in New York, NY)</p> <p>4.23.2.1 OAKWOOD NYT HOLDINGS, LLC (Commercial Real Estate in New York, NY)</p> <p>4.23.2.1.1 OAKWOOD NYT MEZZ, LLC (Commercial Real Estate in New York, NY)</p> <p>4.23.2.1.1.1 OAKWOOD NYT OWNER, LLC (Commercial Real Estate in New York, NY)</p> <p>4.23.3 WALLKILL NYT PRINCIPAL, LLC (Commercial Real Estate in New York, NY)</p> <p>4.23.3.1 WALLKILL NYT HOLDINGS, LLC (Commercial Real Estate in New York, NY)</p> <p>4.23.3.1.1 WALLKILL NYT MEZZ, LLC (Commercial Real Estate in New York, NY)</p> <p>4.23.3.1.1.1 WALLKILL NYT OWNER, LLC (Commercial Real Estate in New York, NY)</p> <p>4.23.4 LANDINGS NYT PRINCIPAL, LLC (Commercial Real Estate in New York, NY)</p> <p>4.23.4.1 LANDINGS NYT HOLDINGS, LLC (Commercial Real Estate in New York, NY)</p> <p>4.23.4.1.1 LANDINGS NYT MEZZ, LLC (Commercial Real Estate in New York, NY)</p> <p>4.23.4.1.1.1 LANDINGS NYT OWNER, LLC (Commercial Real Estate in New York, NY)</p> |
| 6.   | 4.24 | <p>The structure of this entity is as follows:</p> <p>4.24.1 WARREN AT BAY HOLDINGS, LLC (Commercial Real Estate in Jersey City, NJ)</p> <p>4.24.1.1 WARREN AT BAY URBAN RENEWAL COMPANY, LLC (Commercial Real Estate in Jersey City, NJ)*</p> <p>* This entity was previously named Warren at Bay, LLC.</p>   |
| 6.   | 4.25 | <p>This asset has been divested. Capital gain amount is estimated.</p> <p>The structure of this entity is as follows:</p> <p>4.25.1 KRL COLUMBIA HEIGHTS BROOKLYN, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>4.25.1.1 25-30 COLUMBIA HEIGHTS (BROOKLYN) VENTURE, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>4.25.1.1.1 25-30 COLUMBIA HEIGHTS (Brooklyn), LLC (Commercial Real Estate in Brooklyn, NY)</p>  |
| 6.   | 4.26 | <p>The structure of this entity is as follows:</p> <p>4.26.1 S.S.D.W. CO., L.P. (Residential Real Estate in New York, NY)</p> <p>4.26.1.1 115 EAST 87 OWNERS CORPORATION (Residential Real Estate in New York, NY)</p>   |
| 6.   | 4.27 | <p>The structure of this entity is as follows:</p> <p>4.27.1 80 MAID, LLC (Commercial Real Estate in New York, NY)</p> <p>4.27.1.1 NK 80 MAIDEN HOLDINGS, LLC (Commercial Real Estate in New York, NY)</p> <p>4.27.1.1.1 NK 80 MAIDEN MEZZ, LLC (Commercial Real Estate in New York, NY)</p> <p>4.27.1.1.1.1 NK 80 MAIDEN OWNER, LLC (Commercial Real Estate in New York, NY)</p>  |

| PART | #    | ENDNOTE  |
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| 6.   | 4.28 | The structure of this entity is as follows:<br>4.28.1. PIER VILLAGE III HOTEL URBAN RENEWAL COMPANY, LLC (Hotel Under Construction in Long Branch, NJ)   |
| 6.   | 4.29 | The structure of this entity is as follows:<br>4.29.1 BLOCK 110 RESIDENTIAL, LLC (Residential Real Estate in Kansas City, MO)*<br>4.29.2 BLOCK 140 RESIDENTIAL, LLC (Residential Real Estate in Kansas City, MO)<br><br>*This asset has been divested.<br><br>Due to an accounting error, this asset was previously reported as valued at \$50,001-\$100,000. However, this asset was partially divested for Block 110 Residential LLC in 2017 and should have been previously reported in Part 6 as valued at \$1,001-\$15,000 with an income from capital gains at \$15,001-\$50,000, and reported in Part 7 as a sale on 2/23/17 of \$50,001-\$100,000.   |
| 6.   | 4.30 | The structure of this entity is as follows:<br>4.30.1 STONE PORTFOLIO JV LLC (Residential Real Estate in New York, NY)<br>4.30.1.1 STONE PORTFOLIO LLC (Residential Real Estate in New York, NY)<br>4.30.1.1.1 KC3 - 101 MACDOUGAL STREET LLC (Residential Real Estate in New York, NY)<br>4.30.1.1.2 KC3 - 104 EAST 7TH STREET LLC (Residential Real Estate in New York, NY)<br>4.30.1.1.3 KC3 - 233 EAST 82ND STREET LLC (Residential Real Estate in New York, NY)<br>4.30.1.1.4 KC3 - 234-238 EAST 33RD STREET LLC (Residential Real Estate in New York, NY)<br>4.30.1.1.5 KC3 - 310 EAST 83RD STREET LLC (Residential Real Estate in New York, NY)<br>4.30.1.1.6 KC3 - 319-321 EAST 78TH STREET LLC (Residential Real Estate in New York, NY)<br>4.30.1.1.7 KC3 - 325 EAST 83RD STREET LLC (Residential Real Estate in New York, NY)<br>4.30.1.1.8 KC3 - 410 EAST 64TH STREET LLC (Residential Real Estate in New York, NY)<br>4.30.1.1.9 KC3 - 438-440 EAST 13TH STREET LLC (Residential Real Estate in New York, NY)<br>4.30.1.1.10 KC3 - 504 EAST 88TH STREET LLC (Residential Real Estate in New York, NY)<br>4.30.1.1.11 KC3 - 354-356 STATE STREET LLC (Residential Real Estate in New York, NY) |
| 6.   | 4.31 | The structure of this entity is as follows:<br>4.31.1 PIER VILLAGE LOFTS JV, LLC (Commercial Real Estate Under Construction in Long Branch, NJ)*<br>4.31.1.1 PIER VILLAGE III URBAN RENEWAL COMPANY, LLC (Commercial Real Estate Under Construction in Long Branch, NJ)<br><br>*Previously listed as PIER VILLAGE III JV, LLC.   |
| 6.   | 4.33 | This asset represents a loan receivable that has been paid in full.  |
| 6.   | 4.34 | This asset was previously listed as OBSERVER CAPITAL SM SPV, LLC (Shares of Corp. Stock in Source Media Holdings Inc.; New York, NY), which has been dissolved. The filer's ownership in Source Media Holdings Inc. has been restructured to own preferred interests through OBSERVER CAPITAL SM FUND, LP.   |

| PART | #    | ENDNOTE  |
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| 6.   | 4.35 | <p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> <li>4.35.1 KC DUMBO HOLDING, LLC (Commercial Real Estate in Brooklyn, NY)</li> <li>4.35.1.1 RFR/K DUMBO, LLC (Commercial Real Estate in Brooklyn, NY)</li> <li>4.35.1.1.1 WATCHTOWER PROPERTY MANAGER LLC (Commercial Real Estate in Brooklyn, NY)</li> <li>4.35.1.1.2 RFR/K DUMBO MT, LLC (Commercial Real Estate in Brooklyn, NY)</li> <li>4.35.1.1.3 RFR/K DUMBO TIC, LLC (Commercial Real Estate in Brooklyn, NY)</li> <li>4.35.1.1.3.1 RFR/K DUMBO TIC HOLDINGS, LLC (Commercial Real Estate in Brooklyn, NY)</li> <li>4.35.1.1.3.1.1 RFR/K 117 ADAMS OWNER, LLC (Commercial Real Estate in Brooklyn, NY)</li> <li>4.35.1.1.3.1.2 RFR/K 77 SANDS OWNER, LLC (Commercial Real Estate in Brooklyn, NY)</li> <li>4.35.1.1.3.1.3 RFR/K 55 PROSPECT OWNER, LLC (Commercial Real Estate in Brooklyn, NY)</li> <li>4.35.1.1.3.1.4 RFR/K 81 PROSPECT OWNER, LLC (Commercial Real Estate in Brooklyn, NY)</li> </ul> |
| 6.   | 4.36 | <p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> <li>4.36.1 KC DUMBO HOLDING, LLC (Commercial Real Estate in Brooklyn, NY)</li> <li>4.36.1.1 KRL 175 PEARL, LLC (Commercial Real Estate in Brooklyn, NY)</li> <li>4.36.1.1.1 MAPLE 3P KRL 175 PEARL HOLDINGS, LLC (Commercial Real Estate in Brooklyn, NY)</li> <li>4.36.1.1.1.1 MAPLE 3P KRL 175 PEARL MEZZ, LLC (Commercial Real Estate in Brooklyn, NY)</li> <li>4.36.1.1.1.1.1 MAPLE 3P KRL 175 PEARL OWNER, LLC (Commercial Real Estate in Brooklyn, NY)</li> </ul>  |
| 6.   | 4.37 | <p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> <li>4.37.1 KC DUMBO HOLDING, LLC (Commercial Real Estate in Brooklyn, NY)</li> <li>4.37.1.1 RFR/K DUMBO, LLC (Commercial Real Estate in Brooklyn, NY)</li> <li>4.37.1.1.1 WATCHTOWER PROPERTY MANAGER LLC (Commercial Real Estate in Brooklyn, NY)</li> <li>4.37.1.1.2 RFR/K DUMBO MT, LLC (Commercial Real Estate in Brooklyn, NY)</li> <li>4.37.1.1.3 RFR/K DUMBO TIC, LLC (Commercial Real Estate in Brooklyn, NY)</li> <li>4.37.1.1.3.1 RFR/K DUMBO TIC HOLDINGS, LLC (Commercial Real Estate in Brooklyn, NY)</li> <li>4.37.1.1.3.1.1 RFR/K 117 ADAMS OWNER, LLC (Commercial Real Estate in Brooklyn, NY)</li> <li>4.37.1.1.3.1.2 RFR/K 77 SANDS OWNER, LLC (Commercial Real Estate in Brooklyn, NY)</li> <li>4.37.1.1.3.1.3 RFR/K 55 PROSPECT OWNER, LLC (Commercial Real Estate in Brooklyn, NY)</li> <li>4.37.1.1.3.1.4 RFR/K 81 PROSPECT OWNER, LLC (Commercial Real Estate in Brooklyn, NY)</li> </ul> |
| 6.   | 4.38 | <p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> <li>4.38.1 KC DUMBO HOLDING, LLC (Commercial Real Estate in Brooklyn, NY)</li> <li>4.38.1.1 KRL 175 PEARL, LLC (Commercial Real Estate in Brooklyn, NY)</li> <li>4.38.1.1.1 MAPLE 3P KRL 175 PEARL HOLDINGS, LLC (Commercial Real Estate in Brooklyn, NY)</li> <li>4.38.1.1.1.1 MAPLE 3P KRL 175 PEARL MEZZ, LLC (Commercial Real Estate in Brooklyn, NY)</li> <li>4.38.1.1.1.1.1 MAPLE 3P KRL 175 PEARL OWNER, LLC (Commercial Real Estate in Brooklyn, NY)</li> </ul>  |

| PART | #    | ENDNOTE   |
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| 6.   | 4.40 | The structure of this entity is as follows:<br>4.40.1 KCLW ACQUISITION, LLC (Residential Real Estate in Brooklyn, NY)<br>4.40.1.1 RP/K KENT AVENUE, LLC (Residential Real Estate in Brooklyn, NY)<br>4.40.1.1.1 MARATHON/JTR WILLIAMSBURG HOLDINGS, LLC (Residential Real Estate in Brooklyn, NY)<br>4.40.1.1.1.1 184 KENT FEE, LLC (Residential Real Estate in Brooklyn, NY)<br>4.40.1.1.1.1.1 184 KENT MEZZ, LLC (Residential Real Estate in Brooklyn, NY)<br>4.40.1.1.1.1.1.1 184 KENT OWNER, LLC (Residential Real Estate in Brooklyn, NY)  |
| 6.   | 4.41 | The structure of this entity is as follows:<br>4.41.1 PV BUNGALOW LIQUOR LICENSE, LLC (Liquor License of a hotel in Long Branch, NJ)  |
| 6.   | 8    | See item 3.13 for entity structure.   |
| 6.   | 10   | See item 4.2 for entity structure.  |
| 6.   | 11   | See item 4.5 for entity structure.  |
| 6.   | 12   | The structure of this entity is as follows:<br>12.1 K 30 JOURNAL SQUARE, LLC (Undeveloped Real Estate in Jersey City, NJ)<br>12.1.1 30 JOURNAL SQUARE PARTNERS, LLC (Undeveloped Real Estate in Jersey City, NJ)  |
| 6.   | 13   | The structure of this entity is as follows:<br>13.1 KUSHNER MARYLAND PARTNERS, LLC (Residential Real Estate in Baltimore and Prince George's County, MD)<br>13.1.1 KUSHNER MARYLAND INVESTMENT FUND, LLC (Residential Real Estate in Baltimore and Prince George's County, MD)<br>13.1.1.1 MARYLAND APARTMENT HOLDINGS 10-PACK INVESTOR, LLC (Residential Real Estate in Baltimore and Prince George's County, MD)<br>13.1.1.1.1 MARYLAND APARTMENT HOLDINGS 10-PACK JV, LLC (Residential Real Estate in Baltimore and Prince George's County, MD)<br><br>See item 4.17 for the structure of Maryland Apartment Holdings 10-Pack JV, LLC. |
| 6.   | 14   | See item 4.17 for entity structure.   |
| 6.   | 15   | The structure of this entity is as follows:<br>15.1 PUCK BUILDING, LP (Commercial Real Estate in New York, NY)<br>15.1.1 NEW PUCK INVESTORS, LLC (Commercial Real Estate in New York, NY)<br>15.1.1.1 NEW PUCK, LLC (Commercial Real Estate in New York, NY)  |
| 6.   | 16   | See item 4.23 for entity structure.   |
| 6.   | 18   | The conflicting assets of this interest have been divested.   |

| PART | #    | ENDNOTE  |
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| 6.   | 18.2 | <p>This entity holds contingent rights to ownership interests in the following entities if specified revenue targets are met:</p> <p>KC Dumbo Office, LLC (Commercial Real Estate in Brooklyn, NY)<br/> 80 ML, LLC (Commercial Real Estate in New York, NY)<br/> 25-30 Columbia Heights (Brooklyn) Venture, LLC (Real Estate Under Construction in Brooklyn, NY)*<br/> Warren At Bay Associates, LLC (Commercial Real Estate in Jersey City, NJ)<br/> K/S Westwood Partner, LP (Residential Real Estate in Westwood and River Vale, NJ)<br/> Middle River JV, LLC (Residential Real Estate in Middle River, MD)<br/> Chatham Hill Holdings, LLC (Residential Real Estate in Chatham, NJ)<br/> Puck Residential Associates, LLC (Residential Condominiums in New York, NY)<br/> K GAIA Village 2 Associates, LLC (Residential Real Estate in New York, NY)<br/> EV JV GP, LLC (Residential Real Estate in New York, NY)<br/> Northern Liberties JV, LLC (Residential Real Estate in Philadelphia, PA)*<br/> Brooklyn KF North 1 Associates, LLC (Residential Real Estate in Brooklyn, NY)<br/> Village KF 2 Associates, LLC (Residential Real Estate in New York, NY)<br/> BLS Portfolio, LLC (Residential Real Estate in Brooklyn, NY)<br/> Stone Portfolio, LLC (Residential Real Estate in New York, NY)<br/> K Morgan Street, LLC (Residential Real Estate in Jersey City, NJ)<br/> K 26 Journal Square, LLC (Commercial Real Estate in Jersey City, NJ)<br/> K 30 Journal Square, LLC (Commercial Real Estate in Jersey City, NJ)<br/> 175-225 Third JV, LLC (Undeveloped Real Estate in Brooklyn, NY)*<br/> 184 Kent Owner, LLC (Residential Real Estate in Brooklyn, NY)<br/> Pier Village III Urban Renewal Company, LLC (Commercial Real Estate Under Construction in Long Branch, NJ)<br/> KCOF-215 Moore, LLC (Loan Receivable from Heritage Equities; Brooklyn, NY)*<br/> 55 Challenger Road Associates LLC (Commercial Real Estate in Ridgefield Park, NJ)<br/> Kushner Skyline Promote LLC (Residential Real Estate in Hasbrouck Heights &amp; Lodi, NJ)<br/> K GAIA Village 1 Associates, LLC (Residential Real Estate in New York, NY)<br/> K Maryland Associates, LLC (Residential Real Estate in Baltimore and Prince George's County)</p> <p>* This asset has been divested.</p> |
| 6.   | 18.3 | See item 4.11 for entity structure.  |

| PART | #    | ENDNOTE   |
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| 6.   | 18.4 | <p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> <li>18.4.1 VILLAGE 2 JV SPE, LLC (Residential Real Estate in New York, NY)</li> <li>18.4.1.1 VILLAGE JV 129 FIRST AVENUE, LLC (Residential Real Estate in New York, NY)</li> <li>18.4.1.2 VILLAGE JV 143 FIRST AVENUE, LLC (Residential Real Estate in New York, NY)</li> <li>18.4.1.3 VILLAGE JV 165 AVENUE A, LLC (Residential Real Estate in New York, NY)</li> <li>18.4.1.4 VILLAGE JV 191-193 AVENUE A, LLC (Residential Real Estate in New York, NY)</li> <li>18.4.1.5 VILLAGE JV 201 EAST 2ND STREET, LLC (Residential Real Estate in New York, NY)</li> <li>18.4.1.6 VILLAGE JV 211 AVENUE A, LLC (Residential Real Estate in New York, NY)</li> <li>18.4.1.7 VILLAGE JV 338 EAST 11TH, LLC (Residential Real Estate in New York, NY)</li> <li>18.4.1.8 VILLAGE JV 435 12TH, LLC (Residential Real Estate in New York, NY)</li> <li>18.4.1.9 VILLAGE JV 500 EAST 11TH, LLC (Residential Real Estate in New York, NY)</li> </ul> |
| 6.   | 18.5 | <p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> <li>18.5.1 65 BAY, LLC (Residential Real Estate in Jersey City, NJ)</li> <li>18.5.1.1 K MORGAN STREET, LLC (Residential Real Estate in Jersey City, NJ)</li> <li>18.5.1.1.1 MORGAN STREET DEVELOPERS JOINT VENTURE, LLC (Residential Real Estate in Jersey City, NJ)</li> <li>18.5.1.1.1.1 MORGAN STREET INVESTORS RETAIL UNIT 3, LLC</li> <li>18.5.1.1.1.2 MORGAN STREET DEVELOPERS MEZZ ASSOCIATES, LLC (Residential Real Estate in Jersey City, NJ)</li> <li>18.5.1.1.1.2.1 MORGAN STREET DEVELOPERS URBAN RENEWAL COMPANY, LLC (Residential Real Estate in Jersey City, NJ)</li> </ul>  |
| 6.   | 18.6 | <p>Capital gain amount is estimated.</p> <p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> <li>18.6.1 GOWAN ASSOCIATES, LLC (Undeveloped Real Estate in Brooklyn, NY)</li> <li>18.6.1.1 KCLW 3RD STREET, LLC (Undeveloped Real Estate in Brooklyn, NY)</li> <li>18.6.1.1.1 175-225 THIRD JV, LLC (Undeveloped Real Estate in Brooklyn, NY)</li> <li>18.6.1.1.1.1 175-225 THIRD OWNER, LLC (Undeveloped Real Estate in Brooklyn, NY)*</li> </ul> <p>*This asset has been divested.</p>   |
| 6.   | 18.7 | <p>This asset has been divested. Capital gain amount is estimated.</p> <p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> <li>18.7.1 WT 25 COLUMBIA, LLC (Commercial Real Estate in Brooklyn, NY)</li> <li>18.7.1.1 KRL COLUMBIA HEIGHTS BROOKLYN, LLC (Commercial Real Estate in Brooklyn, NY)</li> <li>18.7.1.1.1 25-30 COLUMBIA HEIGHTS (BROOKLYN) VENTURE, LLC (Commercial Real Estate in Brooklyn, NY)</li> <li>18.7.1.1.1.1 25-30 COLUMBIA HEIGHTS (BROOKLYN), LLC (Commercial Real Estate in Brooklyn, NY)</li> </ul>   |
| 6.   | 19   | See item 4.18 for entity structure.   |

| PART | #  | ENDNOTE   |
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| 6.   | 20 | See item 4.19 for entity structure.   |
| 6.   | 21 | <p>The structure of this entity is as follows:</p> <p>21.1 PUCK MIDWEST PARTNERS 1, LLC (Residential Real Estate in Middletown, Akron &amp; Bedford Heights, OH)</p> <p>21.1.1 HEARTLAND MULTIFAMILY JV, LLC (Residential Real Estate in Middletown, Akron &amp; Bedford Heights, OH)</p> <p>21.1.1.1 CEDARWOOD VILLAGE APARTMENTS I &amp; II OWNER, LLC (Residential Real Estate in Akron, OH)</p> <p>21.1.1.2 CEDARWOOD VILLAGE APARTMENTS III OWNER, LLC (Residential Real Estate in Akron, OH)</p> <p>21.1.1.3 CEDARWOOD RIVERBEND OWNER, LLC (Residential Real Estate in Akron, OH)</p> <p>21.1.1.4 BAVARIAN WOODS OWNER, LLC (Residential Real Estate in Middletown, OH)</p> <p>21.1.1.5 COLUMBUS PARK PROPERTY OWNER, LLC (Residential Real Estate in Bedford, OH)</p>   |
| 6.   | 22 | <p>The structure of this entity is as follows:</p> <p>22.1 PUCK MIDWEST PARTNERS 1, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>22.1.1 HEARTLAND MULTIFAMILY JV, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>22.1.1.1 KC DUMBO TIC, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>22.1.1.1.1 KC DUMBO TIC HOLDINGS, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>22.1.1.1.1.1 KC 117 ADAMS OWNER, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>22.1.1.1.1.2 KC 77 SANDS OWNER, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>22.1.1.1.1.3 KC 55 PROSPECT OWNER, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>22.1.1.1.1.4 KC 81 PROSPECT OWNER, LLC (Commercial Real Estate in Brooklyn, NY)</p>   |
| 6.   | 23 | <p>The structure of this entity is as follows:</p> <p>23.1 KC DUMBO HOLDING, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>23.1.1 RFR/K DUMBO, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>23.1.1.1 WATCHTOWER PROPERTY MANAGER LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>23.1.1.2 RFR/K DUMBO MT, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>23.1.1.3 RFR/K DUMBO TIC, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>23.1.1.3.1 RFR/K DUMBO TIC HOLDINGS, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>23.1.1.5.1.1 RFR/K 117 ADAMS OWNER, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>23.1.1.5.1.2 RFR/K 77 SANDS OWNER, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>23.1.1.5.1.3 RFR/K 55 PROSPECT OWNER, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>23.1.1.5.1.4 RFR/K 81 PROSPECT OWNER, LLC (Commercial Real Estate in Brooklyn, NY)</p> |
| 6.   | 24 | <p>The structure of this entity is as follows:</p> <p>24.1 KC DUMBO HOLDING, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>24.1.1 KRL 175 PEARL, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>24.1.1.1 MAPLE 3P KRL 175 PEARL HOLDINGS, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>24.1.1.1.1 MAPLE 3P KRL 175 PEARL MEZZ, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>24.1.1.1.1.1 MAPLE 3P KRL 175 PEARL OWNER, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>Due to an accounting error, this asset was not included on last year's Annual Report, but should have been reported with a value of \$50,001-\$100,000 and an income of None (or less than \$201).</p>  |



| PART | #   | ENDNOTE  |
|------|-----|--|
| 6.   | 25  | The structure of this entity is as follows:<br>25.1 QUAIL RIDGE ACQUISITIONS, LLC (Residential Real Estate in Plainsboro, NJ)  |
| 6.   | 28  | Previously disclosed U.S. money market account #2 has been closed.   |
| 6.   | 38  | This account was opened in 2018.   |
| 6.   | 40  | This asset was previously called Powershares S&P 500 Low Volatility Portfolio ETF (SPLV), and was listed in the prior year disclosure by this name.  |
| 6.   | 61  | This asset has been divested.  |
| 6.   | 62  | This asset has been divested.  |
| 6.   | 69  | This asset is in the divestment process. Filer's spouse and her family lack control over, and knowledge of, the underlying assets held by the portfolio funds that the fund has invested in. |
| 6.   | 73  | This asset was previously called Powershares S&P 500 Low Volatility Portfolio ETF (SPLV).  |
| 6.   | 92  | This asset has been divested.  |
| 6.   | 111 | This asset has been divested. This asset used to be called Pimco Total Return Fund Class P (PTTPX), and was listed by this name in the prior year disclosure.                                |
| 6.   | 159 | This asset used to be called POWERSHARES QQQ TR UNIT SER 1 (QQQ) and was listed as such in the prior year disclosures.   |
| 6.   | 162 | See item 21 for entity structure.  |
| 6.   | 163 | See item 22 for entity structure.  |
| 6.   | 164 | See item 4.37 for entity structure.  |
| 6.   | 165 | See item 4.38 for entity structure.  |
| 6.   | 166 | See item 25 for entity structure.  |
| 6.   | 167 | See item 4.17 for entity structure.  |
| 6.   | 168 | See item 4.17 for entity structure.  |
| 7.   | 5   | This transaction was made by a trust of which the filer is a beneficiary.  |
| 7.   | 6   | This transaction was made by a trust of which the filer's children are beneficiaries.  |

| PART | #  | ENDNOTE   |
|------|----|---|
| 7.   | 7  | This transaction was made by a trust of which the filer's children are beneficiaries.   |
| 7.   | 8  | This transaction was made by a trust of which the filer's children are beneficiaries.   |
| 7.   | 9  | This transaction was made by a trust of which the filer is a beneficiary.   |
| 7.   | 10 | The transaction was made by an entity in which the filer has an indirect, passive interest; the reported amount is based upon the filer's interest in the entity making the purchase. For information regarding the underlying assets of this entity, please refer to the endnote to Part 6, Line 4.41.     |
| 7.   | 11 | This transaction was made by a trust of which the filer is a beneficiary.   |
| 7.   | 12 | The transaction was made by an entity in which the filer has an indirect, passive interest; the reported amount is based upon the filer's interest in the entity making the sale. For information regarding the underlying assets of this entity, please refer to the endnote to Part 6, Line 4.1 and 4.40. |
| 7.   | 13 | The transaction was made by an entity in which the filer has an indirect, passive interest; the reported amount is based upon the filer's interest in the entity making the sale. For information regarding the underlying assets of this entity, please refer to the endnote to Part 6, Line 4.6.          |
| 8.   | 1  | Filer holds this line of credit jointly with his mother. This line of credit was paid off and closed in 2019.   |
| 8.   | 2  | Filer holds this line of credit jointly with his father. This line of credit was paid off and closed in 2018.   |
| 8.   | 3  | Filer holds this line of credit jointly with his father.  |
| 8.   | 4  | This line of credit was amended in 2018 so that it is no longer jointly held with filer's mother.   |
| 8.   | 5  | Filer holds this line of credit jointly with his father.  |
| 8.   | 7  | Filer holds this note jointly with certain members of Quail Ridge, LLC.   |

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### **4. Filer's Sources of Compensation Exceeding \$5,000 in a Year**

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### **5. Spouse's Employment Assets & Income and Retirement Accounts**

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### **6. Other Assets and Income**

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

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