

# LIMITED LIABILITY COMPANY AUTHORIZATION

Sub Firm #	BR Code	FA Code	Account Number
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<i>(Office Use Only)</i>			

I, \_\_\_\_\_ being a duly elected, qualified and acting manager or managing manager of \_\_\_\_\_, a limited liability company (the "LLC"), do hereby certify to, and agree with, \_\_\_\_\_ ("Introducing Firm") and its clearing agent ("Clearing Agent"), as follows:

- The LLC was organized under the laws of the State of \_\_\_\_\_ and articles of organization have been filed pursuant to the Limited Liability Company Act of that State.
- As set forth in the Operating Agreement of the LLC dated \_\_\_\_\_ (the "Operating Agreement"), the LLC is *(check one)*:

a **MEMBER-managed LLC**     a **MANAGER-managed LLC**

- Any one of the following individual(s) have the authority, on behalf of the LLC to establish and maintain one or more accounts with Introducing Firm for the purpose of (i) purchasing or selling (long and short) securities, options and/or commodities contracts, (ii) the payment of money, (iii) the registration and delivery of securities, options and/or commodities contracts, (iv) transferring securities or funds to any person including, but not limited to, the person giving such instructions, or to any member or to any account of such person or member of any account in which they have an interest, and (v) any other action with respect to this account.

Name <i>(please print)</i>	Signature

- Introducing Firm and Clearing Agent shall be fully protected in relying upon this authorization and shall be indemnified and held harmless by the LLC from any and all claims, demands, expenses, losses or damages, including reasonable attorneys fees, incurred by Introducing Firm or Clearing Agent on the account of Introducing Firm or Clearing Agent honoring the signature or instruction (written or oral) of any person designated in this authorization as having the power and authority to act on behalf of the LLC.
- This Authorization shall remain in full force and effect until such time as Introducing Firm and Clearing Agent shall have received written notice of the amendment or rescission thereof and has had a reasonable time (not less than two full business days) to act upon such notices. Upon the amendment of the Operating Agreement to change the authority of the persons designated in paragraph 3 hereof, the LLC shall immediately notify Introducing Firm and cause a new authorization to be executed and delivered to Introducing Firm.
- Any and all transactions by any of the persons designated herein, acting on behalf of the LLC with Introducing Firm prior to the delivery of this authorization, are ratified, confirmed, approved and adopted by the LLC.

Executed this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_

Signature **X** \_\_\_\_\_

Printed Name \_\_\_\_\_

Title *(Check One)*:     **Member**     **Manager**

Account(s) carried by First Clearing. First Clearing is a trade name used by Wells Fargo Clearing Services, LLC, a registered broker-dealer and non-bank affiliate of Wells Fargo & Company.