Geneva Partners
ASSET MANAGEMENT

Your Retirement Plan Solution

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Retirement readiness is an issue that touches all employees. As traditional pension plans disappear, social security alone will not be sufficient for most retirees.

Offering an efficient, successful retirement plan is an essential benefit in attracting and retaining talented employees.

Whether you are just starting a 401(k) plan or have offered one for years, Geneva Partners can help optimize your plan, offering increased flexibility and investment options while reducing costs and meeting fiduciary responsibilities.

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The 401(k) Industry is Changing

A growing number of low-cost funds that offer exposure to all major asset classes are now available in the 401(k) marketplace. Unfortunately, many investment companies have been slow to introduce low-cost options, to the detriment of plan participants.

Employers are required by federal law to act in the best interest of their plan participants. A failure to do so could result in legal exposure to the business owner.

> Low-cost funds that offer broad exposure to major asset classes are now available in the 401(k) marketplace.

Geneva Partners is Your Solution

As an SEC Registered Investment Adviser, Geneva Partners provides investment advice and fulfills other important responsibilities and requirements for a 401(k) plan.

Some of the benefits of choosing Geneva Partners over many traditional providers include:

- We are fiduciaries, meaning that we are bound by law to act in your best interest. Surprisingly, this is not the case for all service providers.
- We serve as an ERISA co-fiduciary to the plan, allowing you to delegate certain responsibilities to us.
- We offer low-cost funds with broad exposure to major asset classes.
- Conflicts of interest are eliminated, as investment options do not include in-house funds.
- Our platform offers transparent pricing without hidden fees or charges.

Full-Service, Flexible Plan

We will work with you to establish and guide your company's retirement plan, providing:

- Advice regarding investment options and allocations.
- Employee education and enrollment meetings.
- Oversight of investment choices.
- Annual meetings and Zoom conferencing.

The investments Geneva Partners chooses for the plans provide an array of features, including:

- Low-cost options.
- Broad exposure to major asset classes.
- No-load/load-waived fund trading.
- 100% fee transparency.

Simple Set-Up & Maintenance

Online Enrollment & Access

Establishing and maintaining your company's 401(k) plan is simple and convenient. There is no software to buy, install, or update.

Easy online access allows you to set up or convert your retirement plan right at your desk in 15 minutes or less, and we will work with you to set it up. Our platform allows you to designate:

- Employee eligibility restrictions.
- Employee vesting structures.
- Payroll deferral parameters.
- Optional company contributions.

Once your plan is established, materials and tools to disseminate information about your new plan will be provided, including online enrollment materials and sample announcements.

Open Architecture

Your employees can choose from preset portfolios or a variety of investment categories and styles – all the choices they need to build a diversified retirement portfolio.

Financial planning calculators and other tools are available online 24/7 to help employees become better investors and plan for their retirement.

You will benefit from a reduced administrative burden and higher employee participation levels, creating an overall successful retirement plan.

Program Features & Administration*

Standard recordkeeping and administrative services include the following:

Program Features

- More than 125 open architecture/fund families
- No-load/load-waived mutual-fund trading
- Online 15-minute plan establishment
- Online open enrollment for employees
- Online plan disclosures:
  - Summary plan description (SPD)
  - Summary annual report (SAR)
  - Loan policy (if applicable)
  - IRS-approved plan document

Recordkeeping & Administration

- Daily valuation accounting
- Unlimited intra-account transfers
- Integrated voice response (IVR) system
- Age-weighted and new comparability
- Online contribution processing
- Optional participant loans
- Quarterly account statements
- Annual plan review and compliance testing
- Signature-Ready Form 5500

Employer Online Services

- Daily plan snapshot
- Account profile management
- Employee census management
- Employee deferral tracking
- Proactive email notifications
- Toll-free administrative assistance

Employee Online Services

- Daily account snapshot
- Account profile management
- Deferral elections management
- Investment elections management
- Toll-free participant assistance
- Retirement planning tools and calculators

*The program is administered through ePlan Services, Inc. and the above facts and statistics relate to ePlan only.

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