

# Client Service Model

SERVICE	OFFERINGS	DIAMOND	PLATINUM	GOLD	SILVER
		\$1mm +	\$500,000 - \$1mm	\$200,000 - \$500,000	<\$200, 000
	<b>Number of In person meetings</b>	4	3	2	1
	<b>Review Months</b>	January, April, July, October	February, July, November	March, September	May
	<b>Performance Reporting</b>	Quarterly	Quarterly	Quarterly	Quarterly
	<b>Meeting Location</b>	All Meetings Client's Choice	Financial Professional Office or Virtual	Financial Professional Office or Virtual	Financial Professional Office or Virtual
	<b>Consulting/ Check in Calls</b>	Bi-Monthly	Quarterly	Semi Annual	Annual
	<b>Investment Solution - AMP</b>	Customized	Customized	Standardized Asset Allocation Model	Model Portfolio
	<b>Investment Solution - Models</b>	UMA	UMA	Model Portfolios	Model Portfolios
	<b>Access To On Demand Reporting</b>	Yes	Yes	Yes	No
	<b>Assistance With Outside Professionals</b>	Concierge Service With In-Person Involvement	Analyze In-House then Referral	Analyze In-House then Referral	Referral
<b>FINANCIAL PLANNING</b>					
	<b>Financial Planning</b>	Unlimited Financial Plan Review	Annual Financial Plan Review	Annual Financial Plan Review	Modular Financial Planning
	<b>Retirement Planning</b>	Unlimited Retirement Plan Review	Annual Retirement Plan Review	Annual Retirement Plan Review	Annual Retirement Plan Review
	<b>Investment Review</b>	Quarterly Investment Portfolio Review	Three Investment Portfolio Reviews	Semi Annual Investment Portfolio Review	Annual Investment Portfolio Review
	<b>Estate/ Survivor Needs</b>	Estate Planning & Trust Review	Estate Planning & Trust Review		
	<b>Insurance</b>	Long Term Care/ Insurance Review	Long Term Care/ Insurance Review	Long Term Care/ Insurance Review	Insurance Review
	<b>Taxation</b>	Comprehensive Tax Planning with Tax Returns	Comprehensive Tax Planning with Tax Returns		
	<b>Goal Planning</b>	Goal & Objective Review	Goal & Objective Review	Goal & Objective Review	Goal & Objective Review
	<b>Executive Benefits</b>	Employee Benefits Review, Charitable Giving,			
<b>EDUCATION</b>					
	<b>Monthly Newsletter/ Email</b>	Yes	Yes	Yes	Yes
	<b>Weekly Market Update Email</b>	Yes	Yes	Yes	Yes
<b>ADDITIONAL SERVICES</b>					
<b>Comprehensive Financial Plan \$1,000</b>					
<b>Financial Plan Module \$400</b>					