

Part 2B of Form ADV Brochure Supplement

Diane L. Woodward, CFP®

333 Ski Way, #263

Incline Village, NV 89451

Mailing Address:

930 Tahoe Blvd. Ste 802 #337

Incline Village, NV 89451

(775) 298-2982

Strategic Wealth Advisors Group, LLC

3610 American River Drive

Suite 120

Sacramento, CA 95864

(650) 571-1934

www.fsnweb.com

May 13, 2021

This Form ADV Part 2B (“Brochure Supplement”) provides information about Diane Woodward that supplements the Strategic Wealth Advisors Group, LLC (“SWAG”) Form ADV Part 2A (“Brochure”). You should have received a copy of our Brochure. Please contact us if you did not receive our Brochure or if you have any questions about the contents of this Brochure Supplement. Additional information about Ms. Woodward is available on the SEC’s website at www.adviserinfo.sec.gov or by contacting us at the address and phone number above.

Item 2. Educational Background and Business Experience

Name of Representative: Diane L. Woodward

Year of Birth: 1965

Education and Training

University of California, Santa Barbara, Bachelor of Arts, Economics, 1987

Series 7, General Securities Representative Examination

Series 63, Uniform Securities Agent State Law Examination

Series 66, Uniform Combined State Law Examination

Certified Financial Planner (CFP®)

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 68,000 individuals have obtained CFP® certification in the United States.

Previous 5 Years of Business Experience

02/2019- Present Strategic Wealth Advisors Group, Investment Adviser Representative

09/2009- Present LPL Financial, Registered Representative

Item 3. Disciplinary Information

Not applicable.

Item 4. Other Business Activities

Ms. Woodward is a registered representative of LPL Financial ("LPL"), a registered broker-dealer, member of the Financial Industry Regulatory Authority, Inc. ("FINRA") and the Securities Investor Protection Corporation ("SIPC"). Ms. Woodward is also a licensed insurance agent appointed with various insurance companies. If a client elects to purchase such a brokerage or an insurance product pursuant to a recommendation by and through Ms. Woodward, she will receive usual and customary commission for doing so. Thus, a conflict of interest exists due to the economic incentive, Ms. Woodward has in making brokerage and insurance recommendations to her advisory clients. However, SWAG clients are under no obligation to act upon any recommendations of Ms. Woodward or effect any transactions through Ms. Woodward if they decide to follow the recommendations.

When performing services as an investment adviser representative of SWAG, and/or a registered representative of LPL, Ms. Woodward uses 'doing business as' (DBA) Oak Tree Wealth Management.

Item 5. Additional Compensation

Ms. Woodward receives the following economic benefits as a result of providing advisory services to clients:

Ms. Woodward receives from LPL bonuses based on her production, awards of stock options to purchase shares of LPL's parent company, LPL Investment Holdings, Inc., reimbursement of fees she pays to LPL for items such as administrative services, and other things of value such as complimentary or reduced-cost attendance at LPL's national sales conference or top producer forums and events. These financial incentives from LPL are based on her overall business production.

Ms. Woodward receives compensation from product sponsors. Such compensation may not be tied to the sales of any products. Compensation includes such items as gifts valued at less than \$100 annually, an occasional dinner or ticket to a sporting event, or reimbursement in connection with educational meetings or marketing or advertising initiatives. Product sponsors also pays for education or training events that he may attend.

With respect to the AssetMark Program, Ms. Woodward is entitled to receive a quarterly and/or one-time reimbursement from AssetMark, Inc, for qualified marketing and/or business development expenses incurred by Applicant. The amount of such reimbursement is based on the total assets invested at the end of each calendar quarter in the AssetMark Program, as follows:

Eligible AUM - Premier Advisor 1x Reimbursement evaluated quarterly \$5MM within 12 months of first funding \$5MM after 12 months of first funding \$2,000 \$1,000 \$10MM within 12 months of first funding \$10MM after 12 months of first funding \$3,000 \$1,000 Eligible AUM - Gold and Platinum Premier Advisor Quarterly Reimbursement \$ 25MM \$1,250 \$ 35MM \$1,750 \$ 50MM \$2,500 \$ 75MM \$3,750 \$ 100MM \$6,250 \$ 125MM \$8,750 \$ 150MM \$11,250 \$ 175MM \$13,750 \$ 200MM \$16,250 \$ 225MM \$18,750 \$ 250MM \$21,250 \$ 275MM \$23,750 \$ 300MM \$26,250

As mentioned in Item 4, above, Ms. Woodward also receives commissions for the sale of certain brokerage investment and insurance products to clients. In order to mitigate these potential conflicts of interest, Ms. Woodward strives to make recommendations which are suitable and in the client's best interest.

Item 6. Supervision

The Registrant's team of compliance supervisors is responsible for implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. The Registrant's Chief Compliance Officer, Eric Hewitt, directs the actions of the team on a day to day basis.

Should you have any questions regarding the Registrant's supervision of Ms. Woodward or SWAG's compliance practices, please contact Eric Hewitt at 650-571-1934.