



## **Huckin Financial Group, Inc.**

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## **Privacy Pledge and Notification**

The Huckin Financial Group respects your right to privacy. We have always been committed to securing the confidentiality and integrity of your personal information. We are proud of our privacy practices and want our current and prospective customers to understand what information we collect and how we use it.

### Why Do We Collect Your Information?

We gather information about you and your accounts so that we can (i) know who you are and thereby prevent unauthorized access to your information, (ii) design and improve the products and services we offer and (iii) as part of the government's effort to combat terrorism and other illegal activity, we collect certain personal information from you to verify your identity. Huckin Financial is required by federal law to collect this information and verify your identity prior to doing business with you.

### What Information Do We Collect?

We may collect the following types of non-public personal information about you such as:

- Information about your identity, such as your name, address and Social Security number;
- Information about your transactions with us; and
- Information we receive from you on applications, such as your finances or employment status.

### What Sources Do We Use to Obtain Your Information?

We collect non-public personal information about Huckin Financial Group clients from the following sources:

- Information we receive from you on applications or other forms; and
- Information about your transactions with us, or others.

### What Information Do We Disclose?

We do not disclose any non-public personal information about our customers or former customers to anyone, except as permitted or required by law. Moreover, we will not release information about our customers or former customers unless one of the following conditions is met:

- We receive your prior written consent;
- We believe the recipient to be you or your authorized representative; or
- We are required by law to release information to the recipient.

We only use information about you and your account(s) to help us better serve your investment needs or to suggest services or educational materials that may be of interest to you.

### Confidentiality and Security

We maintain physical, electronic and procedural safeguards to protect your personal account information. We also restrict access to your personal and financial data to authorized Huckin Financial Group associates who have a need for these records. We require all nonaffiliated organizations to conform to our privacy standards and are contractually obligated to keep the information provided confidential and used only as requested. Furthermore, we will continue to adhere to the privacy policies and practices described in this notice even after your account is closed or becomes inactive.

We will continue to conduct our business in a manner that conforms with our pledge to you, to your expectations and to all applicable laws.