Creating Financial Confidence Throughout Central Wisconsin and Beyond.

Financial Life Planning

Legacy
Accounting & Financial Services, LLC

Vision
To inspire our clients and community with financial wellness for people to live their best life possible.

Mission
Dedicated to guide those that seek financial confidence in a world that is always changing with the highest standards of integrity.

At Legacy Accounting & Financial Services, our clients are at the center of everything we do. Our goal is to help you and your family plan for your best future possible, for us to do that, we need to know more about you. Please spend a few minutes to share your expectations and concerns.

What are your biggest concerns about getting to your ideal retirement? As you consider this, rank these control items below from 1-6. Indicate what you feel are the greatest threats to your goals with 1 being your greatest concern.

Things We Cannot Control		Things We Can Control	
☐	**The Markets:** Market Volatility	☐	**Beliefs:** Social, Moral, Religious
☐	**The Economy:** Taxes, Inflation, Interest rates	☐	**Emotions:** Fear, Over-confidence, Loss Aversion
☐	**Noise:** From the Media, “Expert” Advice/Opinions	☐	**Decisions:** Past, Present & Future

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Your Expectations & Concerns

Retirement Expectations **What do you most look forward to? Select what applies**	**Client**	**Co-Client**
No work	☐	☐
Part-time work for a few years	☐	☐
Never completely retire	☐	☐
Active lifestyle	☐	☐
Quiet lifestyle	☐	☐
Time to travel	☐	☐
Time with friends and family	☐	☐
Opportunity to help others	☐	☐
Moving to a new home	☐	☐
Start a business	☐	☐
Less stress – Peace of mind	☐	☐
Other:	☐	☐
	☐	☐

What are your expectations of a financial advisor?
What can I do to help you achieve your goals?

Financial Planning Concerns **What worries or concerns you? Select what applies**	**Client**	**Co-Client**	**Degree High/Med/Low**
Not having a paycheck anymore	☐	☐	
Running out of money	☐	☐	
Suffering investment losses	☐	☐	
Leaving money to others	☐	☐	
Spending too much	☐	☐	
Cost of health care or long-term care	☐	☐	
Current or future health issues	☐	☐	
Dying early	☐	☐	
Living too long	☐	☐	
Getting Alzheimer’s (or other illness)	☐	☐	
Going into a nursing home	☐	☐	
Being bores	☐	☐	
Too much time together	☐	☐	
Parents needing care	☐	☐	
Family needs financial help	☐	☐	
Kids moving home	☐	☐	
Care for child with special needs	☐	☐	
Other:	☐	☐	
	☐	☐	

Your Goals

Retirement age & living expense

	Client	Co- Client (together)
At what age would you like to retire?		
How willing are you to retire later?	☐ Not at all ☐ Slightly ☐ Somewhat ☐ Very	☐ Not at all ☐ Slightly ☐ Somewhat ☐ Very
Living expense amount (take home)		

Retirement Lifestyle

Lifestyle goals are above and beyond what you need to pay for basic expenses. Rate the importance of each goal on a scale of 10-1. Needs (10,9,8), Wants (7,6,5,4), and Wishes (3,2,1).

Most Common Goals		Other Goals		
Travel	College	Wedding	New home	Celebration
Car	Home improvement	Major purchase	Start business	Provide care
Health care	Gift or donation	Leave bequest	Private school	Other

Importance High Low 10 – 1	Description	Start Year	Client	Co-Client	Amount	How Often	How Many Times

Your Experience

What positive experiences have you had with investments, and how did they come about? ______________________

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What disappointing experiences have you had, and how did they happen? ____________________________________

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What guidelines or rules do you attempt to follow regarding managing your money and investments, and how did you learn these guidelines? ____

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