



6111 Peachtree Dunwoody Road
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Atlanta, GA 30328
(404) 458-0065 telephone
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www.financialinnovations.biz

Financial Planning Questionnaire

Please complete and submit the financial planning questionnaire.
You may also download and fax the completed form to 404-393-3063.

Last Name:
First Name:

Last Name:
First Name:

Federal Tax Bracket:

- 10% 15% 25% 28% 33% 35% 39.6%

Investments

Investment Purpose:

- Save for education Income Preserve wealth
 Retirement purposes Accumulate wealth Market specification
 Save for short term goals Other:

What is your risk tolerance?

- Conservative Moderately Conservative Moderate
 Moderately Aggressive Aggressive Speculative

What is your time horizon?

- Short (Less than 5 years) Intermediate (5-10 years) Long (Over 10 years)

Indicate your investment knowledge:

- Excellent Good Limited None

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Investment Experience (indicate # of years):

Mutual Funds

Stocks

Bonds

Limited Partnerships

Variable Annuities

Options

Liquidity Needs:

Recurring Expenses (annually): \$

Special Expenses (future, non-recurring): \$

Special Expenses Timeframe (indicate # of years):

Expenses/Cash Flow

When did you buy your cars?

Car 1

Car 2

Car 3

Car 4

How many years do you typically hold a car before purchasing a new one?

What price range do you spend to buy a new car?

Financial Independence (A/K/A Retirement)

At what age do you wish to achieve financial independence?

Do you plan on maintaining a second home?

Yes

No



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Do you anticipate changes in your spending?

- Yes No

Insurance

Is anyone of an older generation going to be, or already are, dependent on you financially?

- Yes No

Other than supporting your family do you have any other financial obligations?

- Yes No

Do you have anyone in your life with special needs (disabilities)?

- Yes No

If yes, please provide name and relationship:

Education (if applicable)

Indicate the type of college you plan for your children to attend:

- Public In-State Public Out-of-State Private College

How many years do you expect your children to attend college?

- Four Years Five Years Not Sure

Do you plan to pay for graduate school?

- Yes No

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Do you have any other expenses that are material that we should budget for your child(ren) (marriage, car, etc...)?

Estate Planning

If you have estate planning documents, are they current, or are there any changes at this time that you wish to make (for example, changing people who are named inside the document or adding a child)?

What year were your estate planning documents drafted?

Do you have a financial power of attorney?

Yes No

An advance directive? Yes No

What state?

General

What is your goal for hiring a financial planner? What do you hope to achieve?

Are there any other goals or financial aspirations that you wish for us to address during the financial planning process?

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