



# MOOSHI

WEALTH PLANNING & MANAGEMENT

As a financial advisor, I strive to offer you the highest quality services possible and continually look for ways to improve and enrich my financial offerings. I'm excited to announce that I have formed Mooshi Wealth Planning & Management and will associate with Kestra Private Wealth Services (Kestra PWS).

My relationship with Kestra PWS provides me with the flexibility and resources to deliver premier service solutions to all of my clients. With this new association, Mooshi Wealth Planning & Management can further provide a wide range of investment resources, technology, and an enhanced commitment to serving you.

Kestra PWS is a wholly-owned subsidiary of Kestra Financial. With more than \$114 billion in assets under advisement<sup>1</sup> Kestra Financial is comprised of two affiliates: Kestra PWS, a Registered Investment Advisor (RIA), and Kestra Investment Services, LLC (Kestra IS), a top 10 broker-dealer<sup>2</sup>.



- An RIA is responsible for providing investment advice and acting as a fiduciary in financial matters.
- A broker-dealer supports independent financial advisors by facilitating trades according to a client's instructions.  
[Click here](#) to learn more about Kestra Financial.

My collaboration with Kestra PWS offers me a comprehensive suite of tools to support my clients. Custody of your assets will be maintained with Fidelity Institutional®. With more than \$4 trillion in client assets<sup>3</sup>, Fidelity Institutional offers a safe, technologically robust platform that meets the needs of our clients.

Mooshi Wealth Planning & Management's primary objective is to help you achieve your financial goals. You can benefit from the depth of my experience, diversity of offerings, and shared knowledge of planning strategies. Please note that your investment holdings will remain the same, and I will remain your financial advisor. As part of this process, I will need to update your files and paperwork, so I will be in touch soon.

I look forward to our continued growth and partnership.

<sup>1</sup> As of December 31, 2021, assets include Kestra Investment Services, LLC, Kestra Advisory Services, LLC, Kestra Private Wealth Services, LLC, and Kestra Institutional Services.

<sup>2</sup> Top 10 by average annual gross production per advisor (InvestmentNews, 2021 Broker-dealer Data Center).

<sup>3</sup> As of 3/31/22, Fidelity Investments and Fidelity Institutional® together ("Fidelity") is an independent company, unaffiliated with Kestra Financial or Mooshi Wealth Planning & Management. Fidelity is a service provider to both. There is no form of legal partnership, agency affiliation, or similar relationship between your financial advisor and Fidelity, nor is such a relationship created or implied by the information herein. Fidelity Institutional provides clearing, or other brokerage services through National Financial Services LLC or Fidelity Brokerage Services LLC, Members NYSE, SIPC. 1037936.1.0

Securities offered through Kestra Investment Services, LLC (Kestra IS), member FINRA/SIPC. Investment advisory services offered through Kestra Private Wealth Services, LLC. Mooshi Wealth Planning & Management is a member firm of Kestra Private Wealth Services, LLC, an affiliate of Kestra IS. Mooshi Wealth Planning & Management and Kestra IS are not affiliated. Form CRS: <https://bit.ly/KF-Disclosures>