

DATE: _____



CLIENT PROFILE

1.

FIRST NAME	MIDDLE NAME	LAST NAME	NICK NAME
DATE OF BIRTH	SOCIAL SECURITY NUMBER	DRIVER'S LICENSE NUMBER /STATE ISSUED / ISSUE DATE / EXP DATE	
HOME ADDRESS		CITY	STATE ZIPCODE
HOME PHONE NUMBER	CELL PHONE NUMBER	HOME E-MAIL ADDRESS	
NAME OF EMPLOYER / RETIRED	LENGTH OF EMPLOYMENT	POSITION/TITLE	
OFFICE ADDRESS		CITY	STATE ZIP CODE
OFFICE PHONE NUMBER	OFFICE FAX NUMBER	OFFICE E-MAIL ADDRESS	

2.

FIRST NAME	MIDDLE NAME	LAST NAME	NICK NAME
DATE OF BIRTH	SOCIAL SECURITY NUMBER	DRIVER'S LICENSE NUMBER /STATE ISSUED / ISSUE DATE / EXP DATE	
HOME ADDRESS		CITY	STATE ZIPCODE
HOME PHONE NUMBER	CELL PHONE NUMBER	HOME E-MAIL ADDRESS	
NAME OF EMPLOYER / RETIRED	LENGTH OF EMPLOYMENT	POSITION/TITLE	
OFFICE ADDRESS		CITY	STATE ZIP CODE
OFFICE PHONE NUMBER	OFFICE FAX NUMBER	OFFICE E-MAIL ADDRESS	

3. CHILDREN INFORMATION (IF APPLICABLE)

CHILD	DATE OF BIRTH	SOCIAL SECURITY	PHONE	EMAIL
CHILD	DATE OF BIRTH	SOCIAL SECURITY	PHONE	EMAIL
CHILD	DATE OF BIRTH	SOCIAL SECURITY	PHONE	EMAIL
CHILD	DATE OF BIRTH	SOCIAL SECURITY	PHONE	EMAIL

4. FINANCIAL DATA & EXPERIENCE

A. TOTAL ANNUAL INCOME

- LESS THAN \$25,000
- BETWEEN \$25,001 & \$50,000
- BETWEEN \$50,001 & \$100,000
- BETWEEN \$100,001 & \$250,000
- BETWEEN \$250,001 & \$500,000
- OVER \$500,000

B. NET WORTH (EXCLUSIVE OF HOME)

- LESS THAN \$25,000
- BETWEEN \$25,001 & \$100,000
- BETWEEN \$100,001 & \$200,000
- BETWEEN \$200,001 & \$500,000
- BETWEEN \$500,001 & \$1,000,000
- BETWEEN \$1,000,001 &
\$3,000,000
- OVER \$3,000,000

F. LIQUID NET WORTH

- LESS THAN \$25,000
- BETWEEN \$25,001 & \$100,000
- BETWEEN \$100,001 & \$200,000
- BETWEEN \$200,001 & \$500,000
- BETWEEN \$500,001 & \$1,000,000
- BETWEEN \$1,000,001 & \$3,000,000
- OVER \$3,000,000 (MILLION)

D. MARGINAL TAX RATE

	2025 MARGINAL TAX BRACKETS FEDERAL	
1 - 10%		
11 - 12%	\$0 - \$23,850	10%
13 - 22%	\$23,851 - \$96,950	12%
22 - 24%	\$96,951 - \$206,700	22%
25 - 32%	\$206,701 - \$394,600	24%
33 - 35%	\$394,601 - \$501,050	32%
	\$501,051 - \$751,600	35%
36 - 37%	\$751,601 +	37%

E. INVESTMENT EXPERIENCE: (# OF YEARS)

- ___ MUTUAL FUNDS
- ___ EXCHANGE TRADED FUNDS
- ___ INDIVIDUAL STOCKS
- ___ BONDS
- ___ OPTIONS
- ___ SECURITIES FUTURES
- ___ ANNUITIES
- ___ ALTERNATIVE*
- ___ MARGIN

*May include structured products, hedge funds, etc.