KnoxGrove FINANCIAL | YOUR PATH FORWARD

KNOX GROVE FACT FINDER CHECKLIST

In preparation for your first visit with the Knox Grove Financial, LLC team, we ask that you gather the documents and information outlined below. Our clients feel this exercise helps them organize their finances and allows us to get a holistic picture of your financial situation.

Please note that not all items on the checklist will be applicable to your situation.

Investment and savings statements

- ☐ Bank account statements
- ☐ Account statements for any investments you own (IRA and NON-IRA)
- ☐ 529 plan statements
- ☐ Employment benefits statement
- ☐ Retirement- 401(k) or/403(b) plan statements/Deferred Comp, Pension, etc.
- ☐ Your most recent Stock Option Plan Documents
- ☐ Your most recent Stock Option Statements of Award and Vesting
- ☐ Your most recent Employee Stock Purchase Plan Statements

Income and cash flow information

- ☐ Most recent paystub
- ☐ Most recent federal and state income tax returns
- ☐ A list of future funding requirements: second home, college expenses, etc.
- ☐ Social Security statement income estimates: https://www.ssa.gov/myaccount/

Documents related to your debts (amounts you owe)

- ☐ Mortgage information
- ☐ HELOC statements
- ☐ Credit card statements
- ☐ All loan statements (student, auto, personal)

Estate planning information

- ☐ Wills
- ☐ Durable Power of Attorney documentation
- ☐ Health Care Proxy or Living Wills
- ☐ Most recent Trust Documents or Statements

Insurance policies

- ☐ Life insurance policy statements
- ☐ Disability and Long-Term Care insurance policy statements

Additional Documents and Information

- ☐ Driver’s License
- ☐ Basic information for family members/beneficiaries (names, DOBs)
- ☐ Details for any property owned (history, purchase price, improvements made)
- ☐ Other business interests or passive income

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