Discovery Meeting Checklist

A checklist of items that you should bring with you, if applicable, for your first meeting.

Investment Documents	Description
☐ 401k Statement	*A statement or form of documentation for your Retirement account with your employer.*
☐ Pension Documentation	*A statement or form of documentation for your defined compensation plan with your employer.*
☐ Traditional IRA Statement ☐ Roth IRA Statements	*A statement of any outside retirement savings with firms like Edward Jones, Raymond James, Wells Fargo, Hilliard Lyons, or State Farm.*
☐ Non- Retirement Accounts	*A statement of any non-retirement investments with firms like E-Trade, Edward Jones, Raymond James, Wells Fargo, Hilliard Lyons, or State Farm.*
☐ Bank CDs	*A Bank Statement displaying any CD's you may have.*
☐ Annuity Policies	*A statement of any retirement / tax-deferred annuities held with companies like Nationwide, Jackson National, MetLife, or Hartford.*
☐ Life Insurance	*A statement for any life insurance policies you that own with firms like Farm Bureau, State Farm, Pacific Life, or Prudential.*
☐ Umbrella Insurance	*A statement for any Umbrella insurance you have on yourself, your property, or your business.*

Other Important Documents	Description
☐ Driver's License / Passport	*Some form of State ID.*
☐ Power of Attorney	*A copy of your Power of Attorney for financial matters in the event something where to happen.*
☐ Power of Attorney for Health Care	*A copy of your Durable Power of Attorney for Health Care in the event something were to happen.*
☐ Will	*A copy of the Will for your estate.*
☐ Advance Health Care Directives	*A copy of any advance directives for health care you may have listed.*

Things to Consider Before the Meeting

☐ What is your Family's Net Worth? ______________________________

☐ What is the Total Debt you have? ______________________________

☐ When do you plan to Retire? ______________________________

☐ What are your retirement goals? ______________________________

☐ What income do you expect? ______________________________

☐ Who is your Emergency Contact? ______________________________

Any questions you may have for us?