Client Questionnaire

General Information

	Client	Co-Client
Legal Name		
Gender		
Birthdate		
Social Security Number		
Driver's License Number Issue Date/Expiration Date		
Home Address/ Mailing Address		
Cell Phone		
Email Address		
Employment Status/Employer		
Employment Income		
Marital Status		

Important Relationships/Beneficiaries

Children, Beneficiaries, Charities

Name / Relationship	Social Security Number	Date of Birth

Investment Assets

Identify all the resources you have to fund your Goals. Don't worry about determining the exact amounts, reasonable estimates are fine. If available provide your investment statements.

	Current Value	Annual Additions	Where are the assets held / Who is the owner
Employer Plans (401k, 403b, 457, Pension)			
Traditional IRA			
ROTH IRA			
Annuities			
Bank Assets (CD, Savings)			
Non-Qualified (Trust, Individual, Joint, TOD)			

Net Worth		Liquid Net worth		Annual Income	

Retirement Age and Living Expenses

When would you like to retire? Enter your Target Retirement Age. Then, indicate how willing you are to delay retirement beyond that age, if it helps you fund your Goals. Then, indicate your living expense amount.

	Client	Co-Client
What age would you like to retire		
How willing are you to work longer?		
Monthly Living Expenses Todays Dollars		

Social Security Benefits

If available, provide your Social Security estimate from ssa.gov

	Client	Co-Client
Your Primary Insurance Amount (PIA)		
Monthly Amount if you are already collecting		

Extra Savings

How much additional can you save annually?		How willing are you to save more?	

Life Insurance

Please enter the death benefit and cash value as applicable to each type of insurance

	Client	Co-Client	Notes
Employer Group Term			
Term Insurance			
Permanent Insurance			
Long Term Care			

Real Estate

Primary Home Value		Primary Mortgage Balance	
Rental/Additional Property Value		Other Mortgage Balance	

Top 3 Goals/Top 3 Concerns/What you think we should know

What are your aspirations and when do you want to accomplish them?

Advisor Notes

Cetera Investors

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