FINANCIAL PLANNING
QUESTIONNAIRE

MILL CITY
FINANCIAL PARTNERS

Get Started

PERSONAL INFORMATION

	Client A	Client B
Name		
Gender	☐ Male ☐ Female	☐ Male ☐ Female
Date of Birth	/ /	/ /
Address (full)		
Cell Phone		
Email Address		
Employment Status	☐ Employed ☐ Retired ☐ Business Owner ☐ Other	☐ Employed ☐ Retired ☐ Business Owner ☐ Other
Employer		
Occupation		
Employement Income	$	$
Other Income (non investment only)	$	$
Martial Status		

DEPENDENTS/BENEFICIARIES

Name	Date of Birth	Relationship
	/ /	
	/ /	
	/ /	
	/ /	

PROFESSIONAL CONTACTS

	Name/Firm
Attorney	
Accountant	
Life Insurance Agent	

FINANCIAL AREAS OF CONCERN

Expectations	Client A	Client B
Investment Management	☐	☐
Retirement Income	☐	☐
Estate Planning & Wealth Transfer	☐	☐
Tax Planning	☐	☐
Insurance Planning/Risk Managment	☐	☐
Charitable Giving	☐	☐
Upcoming Life Events	☐	☐
College Planning	☐	☐
Less Stress-Peace of Mind	☐	☐
Other:	☐	☐

REAL ESTATE

Property 1	
Estimated Value	$
Mortgage Remaining	$
Interest Rate %	
PMT	
HOA	

Property 2	
Estimated Value	$
Mortgage Remaining	$
Interest Rate %	
PMT	
HOA	

RETIREMENT AGE AND LIVING EXPENSES

When would you like to retire? Enter your target retirement age. Then, indicate how willing you are to delay retirement beyond that age if it helps you fund your goals. FInally, indicate your living expense amount.

	Client A (e.g., age 65)	Client B (e.g., agge 65, together)
What age would you like to retire?	☐	☐
How willing are you to retire later?	☐ Not at all ☐ Slightly ☐ Somewhat ☐ Very	☐ Not at all ☐ Slightly ☐ Somewhat ☐ Very
Living expense amount	☐ Use my Estimate $____________________	

ESTATE PLANNING

I have a ☐ Will ☐ Trust

SOCIAL SECURITY BENEFITS

If available, provide your Social Security estimate from ssa.gov.

	Client A	Client B
Are you eligible?	☐ Yes ☐ No ☐ Receiving now	☐ Yes ☐ No ☐ Receiving now
Benefit amount	Primary Insurance Amount (PIA) $____________________	Primary Insurance Amount (PIA) $____________________
When to start	☐ at full retirement age (per social sec) ☐ at age ________ ☐ at retirement	☐ at full retirement age (per social sec) ☐ at age ________ ☐ at retirement

RETIREMENT INCOME

(Pension, part-time work, rental properties, annuities, royalties, alimony)

Description	Client A	Client B	Monthly income	Start year	Year it ends or No. of years	% Survivor Benefit	Check if amount inflates	GPO
	☐	☐	$				☐	☐
	☐	☐	$				☐	☐
	☐	☐	$				☐	☐
	☐	☐	$				☐	☐
	☐	☐	$				☐	☐
	☐	☐	$				☐	☐

INVESTMENT ASSETS

Identify all the resources you have to fund your goals. Don't worry about determining the exact amounts; reasonable estimates are fine. If available, provide provide your investment statements.

Client A

Investment Type	Current Value	Annual Additions	Approximate Allocation		
			Cash	Bond	Stock
Retirement Plans (e.g. 401k, 403b)	$	$ or %	%	%	%
Employer Match	$	$ or %			
Traditonal IRA	$		%		%
Roth IRA	$		%		%
529 Savings Plan	$		%		%
Annuities	$		%		%
HSA	$		%		%
Taxable/Brokerage	$				
Other	$				

Client B

Investment Type	Current Value	Annual Additions	Approximate Allocation		
			Cash	Bond	Stock
Retirement Plans (e.g. 401k, 403b)	$	$ or %	%	%	%
Employer Match	$	$ or %			
Traditonal IRA	$		%		%
Roth IRA	$		%		%
529 Savings Plan	$		%		%
Annuities	$		%		%
HSA	$		%		%
Taxable/Brokerage	$				
Other	$				

EXTRA SAVINGS

Average balance in checking & savings account	$
Enter the maximum additional amount you could save each year above annual savings:	$
How willing are you to save more?	☐ Not at all ☐ Slightly ☐ Somewhat ☐ Very

INSURANCE

Have your insurance reviewed and analyzed to see if you have enough coverage.

	Client A	Client B	Notes
Group/Term Life Insurance	☐ Yes ☐ No	☐ Yes ☐ No	
Death Benefit	$	$	
Cash Value Life Insurance (e.g. NSL, Whole Life)	☐ Yes ☐ No	☐ Yes ☐ No	
Death Benefit	$	$	
Cash Value	$	$	
Disability Insurance	☐ Yes ☐ No	☐ Yes ☐ No	
Long-Term Care Insurance	☐ Yes ☐ No	☐ Yes ☐ No	

RISK SCORE

How much market risk are you willing to accept? On a scale of 1 to 100, with 1 being the lowest risk and 100 being the highest risk, what's your risk score?

Client A	Client B

Anything else you'd like to tell us that would help us get to know you and your financial goals?

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