

**SUPERVISED PERSON BROCHURE
Part 2B of Form ADV**



**GPWA, LLC
DBA
Gramercy Park Wealth Advisors, LLC**

Brian J. Cote

2400 Barton Avenue
Nashville, TN 37215-4205
Phone: 615-424-2118
Email: brian@gpwealthadvisors.com
www.gpwealthadvisors.com

November 18, 2022

This brochure supplement provides information about Brian J. Cote and supplements the GPWA, LLC brochure. You should have received a copy of that brochure. Please contact Brian J. Cote if you did not receive the brochure or if you have any questions about the contents of this supplement. Registration of an investment advisor does not imply any certain level of skill or training.

Additional information about Brian J. Cote (CRD# 4218494) is also available on the SEC's website at www.adviserinfo.sec.gov.

Supervised Person Brochure

Principal Executive Officer – Brian J. Cote

Year of birth: 1967

Item 2 - Educational Background and Business Experience

Educational Background:

- BS in Business Management, Merrimack College – 1990
- Masters in Business Administration, Fordham University - 1996

Business Experience:

- 2017 – Present GPWA, LLC Founder, Chief Compliance Officer

Item 3 - Disciplinary Information

Criminal or Civil Action: None to report

Administrative Proceeding: None to report

Self-Regulatory Proceeding: None to report

Item 4 - Other Business Activities Engaged In

Brian J. Cote has outside business activities as follows:

Referral Agent for Byline Bank: Brian is paid a referral fee for any Small Business Association (SBA) Loans that are referred to their organization. Approximately 1% of his time is spent on the above practices and from time to time, may offer Clients services from these activities. These practices represent conflicts of interest because it gives Brian J. Cote an incentive to recommend products and or services based on the commission or fee amount received. This conflict is mitigated by disclosures, procedures and GPWA's fiduciary obligation to place the best interest of the Client first. Moreover, Clients are not required to purchase or engage Byline Bank for any products or services offered as Clients have the option to purchase them through another person or entity of their choosing.

Rental Properties: Brian is the property owner and landlord of two rental properties in Nashville, TN. He is compensated for this activity by the property tenants and devotes approximately 2 hours per month to the management of these properties. This does not create any conflicts of interest or interfere with his responsibilities to Clients of the firm.

Registered Representative of GPWA, LLC DBA Gramercy Park Wealth Advisors, LLC.

Approximately 50% of his time is spent on the above practices. This association creates a material conflict of interest for clients since the Registered Representative receives commissions including service fees from mutual fund sales to clients. This practice gives the supervised person an incentive to recommend investment products based on the compensation received, rather than on the client's needs. This conflict is addressed by the individual reviewing various investment options with the client to determine what best fits their needs. This activity is overseen by our firm's Chief Compliance Officer (CCO) for verification purposes

Item 5 - Additional Compensation

Brian J. Cote does not receive additional compensation, performance-based fees, nor receives any additional compensation for performing advisory services other than what is disclosed in Item 5 of Part 2A.

Item 6 - Supervision

Brian J. Cote is the Chief Compliance Officer of GPWA, and therefore is solely responsible for all supervision and formulation and monitoring of investment advice offered to Clients.

Item 7 - Requirements for State-Registered Advisors

Arbitration Claims: None to report

Self-Regulatory Organization or Administrative Proceeding: None to report

Bankruptcy Petition: None to report

**SUPERVISED PERSON BROCHURE
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**GPWA, LLC
DBA
Gramercy Park Wealth Advisors, LLC**

Dean V. Toscano

2400 Barton Avenue
Nashville, TN 37215-4205
Phone: 615-424-2911
Email: dean@gpwealthadvisors.com
www.gpwealthadvisors.com

November 18, 2022

This brochure supplement provides information about Dean V. Toscano and supplements the GPWA, LLC brochure. You should have received a copy of that brochure. Please contact Dean V. Toscano if you did not receive the brochure or if you have any questions about the contents of this supplement. Registration of an investment advisor does not imply any certain level of skill or training.

Additional information about Dean V. Toscano (CRD# 6145732) is also available on the SEC's website at www.adviserinfo.sec.gov.

Supervised Person Brochure

Investment Advisor – Dean V. Toscano

Year of birth: 1965

Item 2 - Educational Background and Business Experience

Educational Background:

- BA in Criminal Justice, University of North Carolina, 1985

Business Experience:

- | | | |
|------------------|-----------|---------------------------|
| • 2015 – Present | GPWA, LLC | Registered Representative |
| • 2015 – Present | GPWA, LLC | Investment Advisor |

Item 3 - Disciplinary Information

Criminal or Civil Action: None to report

Administrative Proceeding: None to report

Self-Regulatory Proceeding: None to report

Item 4 - Other Business Activities Engaged In

Dean V. Toscano has outside business activities as follows:

Insurance Agent: Dean V. Toscano will sell insurance and annuities on a commission basis. This creates a conflict of interest that this activity will be the primary focus of sale efforts with a client. This conflict is addressed by industry rules and licenses that require strict adherence by the individual offering these sales. Approximately 5% of his time is spent on the above practices and from time to time, may offer Clients services from these activities.

Registered Representative of GPWA, LLC DBA Gramercy Park Wealth Advisors, LLC.

Approximately 40% of his time is spent on the above practices. This association creates a material conflict of interest for clients since the Registered Representative receives commissions including service fees from mutual fund sales to clients. This practice gives the supervised person an incentive to recommend investment products based on the compensation received, rather than on the client's needs. This conflict is addressed by the individual reviewing various investment options with the client to determine what best fits their needs. This activity is overseen by our firm's Chief Compliance Officer (CCO) for verification purposes

Owner of Rental Properties: Dean V. Toscano is the owner of a rental property in Nashville, TN and functions as the landlord. Approximately 5 hours per month are spend on this activity and compensation comes from the tenant. This business activity is not investment related and does not create any material conflicts of interest.

Teaching: Dean V. Toscano is also engaged in teaching at Renew Christian Academy. This position is for approximately 8 hours per month, and he is compensated by the employer. This engagement does not represent a material conflict of interest and does not interfere with his responsibilities to clients.

Item 5 - Additional Compensation

Dean V. Toscano receives additional compensation from Gramercy Park Wealth Advisors, LLC, Innovative Financial Group, & Millenium Brokerage Group for Insurance and Annuities.

Item 6 - Supervision

Brian J. Cote is the Chief Compliance Officer of GPWA, and therefore is solely responsible for all supervision and formulation and monitoring of investment advice offered to Clients.

Item 7 - Requirements for State-Registered Advisors

Arbitration Claims: None to report

Self-Regulatory Organization or Administrative Proceeding: None to report

Bankruptcy Petition: None to report

**SUPERVISED PERSON BROCHURE
Part 2B of Form ADV**



**GPWA, LLC
DBA
Gramercy Park Wealth Advisors, LLC**

Tammy B. Paxton

2400 Barton Avenue
Nashville, TN 37215-4205
Phone: 615-519-1839
Email: tammy@gpwealthadvisors.com
www.gpwealthadvisors.com

November 18, 2022

This brochure supplement provides information about Tammy B. Paxton and supplements the GPWA, LLC brochure. You should have received a copy of that brochure. Please contact Tammy B. Paxton if you did not receive the brochure or if you have any questions about the contents of this supplement. Registration of an investment advisor does not imply any certain level of skill or training.

Additional information about Tammy B. Paxton (CRD# 5335295) is also available on the SEC's website at www.adviserinfo.sec.gov.

Supervised Person Brochure

Investment Advisor – Tammy B. Paxton

Year of birth: 1959

Item 2 - Educational Background and Business Experience

Educational Background:

- Belmont University, 1997 – 2002 (No formal educational degree earned)

Business Experience:

- 2020 – Present GPWA, LLC Registered Representative
- 2020 – Present GPWA, LLC Financial Advisor
- 2020 – Present APO Financial Services Financial Advisor
- 2018 – 2019, Horter Investment Management, LLC; Financial Advisor
- 2017 – 2018, MML Investors Services, LLC; Financial Advisor

Item 3 - Disciplinary Information

Criminal or Civil Action: None to report

Administrative Proceeding: None to report

Self-Regulatory Proceeding: None to report

Item 4 - Other Business Activities Engaged In

Dean V. Toscano has outside business activities as follows:

Insurance Agent, APO Financial Services: Tammy V. Paxton will sell insurance and annuities on a commission basis. This creates a conflict of interest that this activity will be the primary focus of sale efforts with a client. This conflict is addressed by industry rules and licenses that require strict adherence by the individual offering these sales. Approximately 40% of her time is spent on the above practices and from time to time, may offer Clients services from these activities.

Registered Representative of GPWA, LLC DBA Gramercy Park Wealth Advisors, LLC.

Approximately 40% of her time is spent on the above practices. This association creates a material conflict of interest for clients since the Registered Representative receives commissions including service fees from mutual fund sales to clients. This practice gives the supervised person an incentive to recommend investment products based on the compensation received, rather than on the client's needs. This conflict is addressed by the individual reviewing various investment options with the client to determine what best fits their needs. This activity is overseen by our firm's Chief Compliance Officer (CCO) for verification purposes

Item 5 - Additional Compensation

Tammy B. Paxton receives additional compensation from Gramercy Park Wealth Advisors, LLC, and APO Financial for insurance and annuities.

Item 6 - Supervision

Brian J. Cote is the Chief Compliance Officer of GPWA, and therefore is solely responsible for all supervision and formulation and monitoring of investment advice offered to Clients.

Item 7 - Requirements for State-Registered Advisors

Arbitration Claims: None to report

Self-Regulatory Organization or Administrative Proceeding: None to report

Bankruptcy Petition: None to report