GUARDIAN
WEALTH MANAGEMENT

June 29, 2023

Dear Client,

In 2019, The Charles Schwab Corporation acquired TD Ameritrade and its related businesses. Since that time, we've been working with Charles Schwab & Co., Inc. ("Schwab") to move TD Ameritrade accounts to Schwab. This communication and the linked documents provide important details about the transition of your brokerage account. Take a few moments now to carefully review them.

No action is necessary—your TD Ameritrade Institutional brokerage account(s) will automatically and securely transition to Schwab at no cost to you, on or about Tuesday, September 5, 2023. On that date, you'll become a client of Schwab, and Schwab will become the custodian of your account(s).

You'll be able to access and withdraw cash from your TD Ameritrade Institutional account through Friday, September 1, 2023, at 8:30 p.m. ET. After that, access to your TD Ameritrade Institutional account will no longer be available. During this time, if you log on to AdvisorClient.com, you will not be able to see your account. Your assets (cash and securities positions) will be moved to Schwab over your transition weekend. Starting Tuesday, September 5, 2023, you can log on to Schwab Alliance to view, access, and transact in your account.

As your independent investment advisor on record at TD Ameritrade, we will transition with your account and will have the same authorizations on your Schwab account as we had on your TD Ameritrade Institutional account.

We are confident in the process ahead and are pleased to partner with Charles Schwab to continue to serve you. Should there be any unforeseen issues or problems in this process, we will work swiftly to find resolution. However, this transition has been in the works for several years, and we expect a smooth and seamless move.

You will continue to receive notices and updates from our office, Schwab and TD Ameritrade. Rest assured, if there is anything you need to do, we will let you know. In the meantime, feel free to contact us with your questions and know this is a very positive event and we continue to work diligently on your behalf. We are honored to serve you.

Kindest regards,

Nick Toadvine

Nick Toadvine, CFP®

President

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