



PLANNING CHECKLIST

Meeting 1 | What you will need to come prepared with:

- Be prepared to discuss the following:
 - At what age you would like to retire (if applicable)
 - The importance and timing of education planning to you (if applicable)
 - The importance of wealth transfer (estate planning) to you
 - Your tolerance for risk as it pertains to your investments
- Current monthly expenses / expected monthly expenses (today's dollars) at retirement
- Current annual income / retirement annual income estimates (pension, social security, etc.)
- Annual contributions to savings, including account type(s) (savings, 401(k), 529, etc.)
- Current balances / account type(s) for all investable assets (cash accounts, brokerage accounts, individual retirement accounts, current and dormant 401(k) accounts, annuities, etc.)
- Recent statements for any insurance policies including premium requirements, cash values, and death benefits
- Value and type of investment accounts established for education savings purposes
- Recent statements on any long-term care insurance policies including premium requirements, cash values, and detailed explanation of benefits
- Approximate values of "non-liquid" assets (real estate, collectibles, etc.) if wealth transfer is a main priority
- Any other information not listed above that may be pertinent to pursuing your current / long-term financial goals

Meeting 2 | What you will need to come prepared with:

- Copies of recent statements for all investment / insurance accounts (if not previously provided)
- If currently investing in an employer sponsored retirement account (401k, 403b, etc.), statement showing both current allocations and investment choices available for the plan

Meeting 3 | What you will need to come prepared with:

- Detailed list of questions arising from review of information presented in Meeting 2

Round Hill Wealth Management | 280 Shuman Boulevard | Suite #140 | Naperville, IL 60563
Phone: 630.210.8209 | Fax: 630.210.8213

Securities offered through LPL Financial, Member FINRA/SIPC, Investment advice offered through HighPoint Advisor Group, LLC, a registered investment advisor, HighPoint Advisor Group, LLC and Round Hill Wealth Management are separate entities from LPL Financial.