Vista Plan PEP

BAIRD

basics

A pooled employer plan (**PEP**) is a 401(k) retirement plan that allows **unrelated businesses** to participate in **one plan** managed by a pooled plan provider (**PPP**).

PEP retirement plan

PPP

Unrelated employers sign an agreement with a PPP to participate in a PEP.

that means only

1

Master Plan Document
Audit Plan
Investment Line-Up
IRS Form 5500

Owned by the PPP.

benefits

for the plan sponsor

Save Money

with negotiated plan rates

Investment Line-up

chosen and monitored by the 3(38) Investment Manager

Save Time

we will run the plan so you don't have to

Reduced Risk

Finway Group serves as the Plan Sponsor

Flexible Plan Design

designed by experts

Relationship Manager

giving you a single point of contact

Less Calls & Questions

employee's call Finway Group

Expertise

support from the industry's best

for the participant

Advanced Participant Experience

to make changes quick and easy

Personalized Financial Wellness

for all participants

Expert On-Boarding Support

for an effortless employee enrollment

Participant Education

ready when they are

responsibilities

finway group
PPP · 3(16) · TPA

"It's time to get rid of the headaches, hassles, & heartburn of running the company retirement plan."

Sign & File the IRS Form 5500

Track Participant Eligibility

Dedicated Relationship Manager

Monitor Payroll Uploads

Daily Phone & Email Relief

Approve Loans & Distributions

Expert On-boarding Team

Mail Out All Required Notices

Payroll Integration
*(optional *additional charge)*

responsibilities

choose one

payroll integration

An intricate part of running your retirement plan.

Empower

Offers 180 & 360 integration to over 125 payroll providers.

Payroll Integrations

Offers 180 & 360 integration to additional popular payroll providers for a small fee and will provide year end census.

Step Savers

Offers integration with any other payroll provider or software vendor not offered through Empower or Payroll Integrations for a small fee.

empower

recordkeeper

your employees can

Enroll in seconds.
View projected retirement income.
Compare their savings to others'.
Estimate retirement healthcare costs.
Adjust contributions.
Rebalance portfolios.
Manage their HSA accounts.
Examine financial wellness.
Account for their outside assets.
Get updates & confirmations.
Update language to Spanish.

responsibilities

envestnet 3(38)

Having a 3(38) Investment Manager relieves the risk and responsibility when selecting and monitoring funds, providing an added layer of fiduciary protection.

empower trustee

As the directed trustee, Empower holds legal title to the assets held in trust.

fund line-up

empower
select

AB US Large Cap Growth (W Sers) CL P-1
Fidelity® 500 Index
DFA US Large Cap Value I
Fidelity® Mid Cap Index
Fidelity® Small Cap Index
Fidelity® Total International Index
Fidelity® Total Bond K6
Fidelity® US Bond Index
PIMCO Income Instl
American Century RD Hybrid 2025 CL 1
American Century RD Hybrid 2030 CL 1
American Century RD Hybrid 2035 CL 1
American Century RD Hybrid 2040 CL 1
American Century RD Hybrid 2045 CL 1
American Century RD Hybrid 2050 CL 1
American Century RD Hybrid 2055 CL 1
American Century RD Hybrid 2060 CL 1
American Century RD Hybrid 2065 CL 1
American Century RD Hybrid In Ret CL 1

what we do,

participant education

approve loans & distributions

receive weekly recordkeeper emails

leading recordkeeper technology

track eligibility

experienced on-boarding team

maintain plan records

402a Plan Fiduciary

support from industry leaders

active management accounts

interactive smartphone apps

flexible plan design

payroll monitoring

dedicated relationship manager

payroll integration
(optional *additional charge)

waive audit fee

forceouts

discounted group plan rates

investment meetings

required mailings

finway call center

QDRO recipient

complete IRS Form 5500

virtually eliminate fiduciary risk

personalized financial wellness

so you don't have to!

questions

decision process

timeline

on-boarding

what's next?

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BAIRD