Documents Needed

To Create Your Personalized Financial Plan

LPL Financial

The following documents will be needed to properly study, analyze, and prepare a personal plan for you. This material will be treated confidentially and returned when the planning process is completed, or earlier if requested. Consider uploading the documents below into your Shared Vault within your LPL Financial WealthVision for safekeeping and to streamline the plan preparation process.

PERSONAL FILES

- Income tax returns for previous two years including W-2s and 1099s
- Wills and powers of attorney for healthcare and financial decision
- Trust agreements
- Social Security benefit statement(s), if available
- Major asset purchase details
- Cash flow worksheet

EMPLOYER

- Two most recent paystubs
- Employee benefit booklets
- Retirement savings plans available through employer
- Pension plans

BANK OR CREDIT UNION

- Checking account statements
- Savings/CD/Money Market account statements
- Loan documents, including mortgage
- Credit card statements

BROKER OR MUTUAL FUND COMPANY

- Latest investment account monthly statements
- Educational investment account statements

INSURANCE COMPANY

- Latest life insurance policy information and annuity account statements
- Health insurance/hospital and major medical policy information
- Disability income insurance policy information
- Property and casualty policy information
- Long-term care policy information

BUSINESS

- Buy-sell agreements
- Income tax returns
- Deferred compensation agreements
- Stock/Option/Bonus Plans

ADDITIONAL COMMENTS