

Electronic Delivery

More convenient,
secure and flexible



Many investors enjoy the convenience and security made possible by accessing their account communications online.

With just a few clicks of the mouse, you can choose electronic delivery for investment account statements, trade confirmations, tax documents and more.

Instead of receiving paper copies by mail, you can view them online as soon as they are available.

You will also be making a choice that is better for the environment.

Go paperless
MAKE A POWERFUL CHOICE

The Choice Is Yours

You decide how you want to receive your investment account communications—electronically or in paper form. If you change your mind, it is easy to update your preferences. Going paperless has many advantages:



Convenience. Access, download and print your account communications at any time, from any computer.¹



Increased Security. Reduce the risk of identity theft by eliminating paper delivery of sensitive financial information. Your account communications will be accessible to you within a password-protected environment.



Centralized Record Keeping. Eliminate the clutter of extra paper at home and quickly search for the account communications you're looking for online.

Getting Started Is Quick and Easy

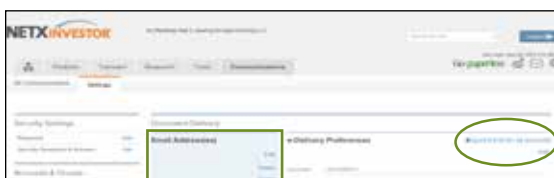
Step 1

Log in to NetXInvestor^{TM2} and click on the “Go Paperless” logo at the top of the screen.



Step 2

Add an e-mail address if there is not one associated with your account; then, click “Quick Enroll” to enroll all accounts for e-delivery, or “Edit” to add accounts individually.



Step 3

Choosing “Quick Enroll” will enroll all of your accounts. Choosing “Edit” will allow you to check the box next to the account communications you wish to receive electronically³. You can return to this screen and update your preferences at any time. If you choose to receive proxy and shareholder communications electronically, you’ll be asked to enter a four-digit numerical PIN, then click “Save PIN.”

When you’re finished, click “Save.”



Step 4

In the last step, you will see the terms and conditions of the Electronic Notification Agreement. Please read these terms and conditions and click “I Agree.”

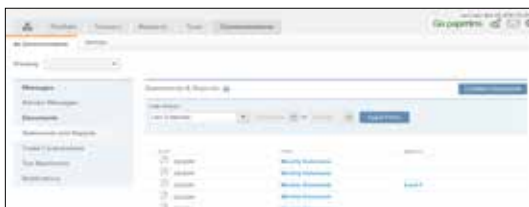


That’s all it takes.

After clicking “I Agree,” you will see a pop-up screen confirming your choices; you will also receive an e-mail confirmation as well as a hard-copy letter, for security purposes.



Once you have chosen electronic delivery, you will receive an e-mail notification when a new account communication is available to view online. To view the communication, simply log in to NetXInvestor and click “Communications” and make your selection for document type from the list on the left side. You can view your account statements, trade confirmations or other account communications for the time period you specify.⁴



Certain account communications, including proxy materials, shareholder communications and prospectuses—if offered by your financial organization—can be accessed directly from a link in the e-mail notification, rather than in NetXInvestor. To view, simply click the appropriate link in the e-mail and you’ll be redirected to a PDF version of the communication or to a website with additional information or instructions. For proxy events, you will need to enter the control number found in the e-mail and your four-digit Personal Identification Number (PIN) (created in Step 3) when prompted to do so. Please note that these communications are not archived online, but you can save them to your computer for later reference.

Please contact your advisor if you have any questions about NetXInvestor or need assistance with logging in or enrolling for e-delivery.

Make a powerful choice to go paperless today.

¹ Requires the ability to access the Internet and print PDFs.

² If you need assistance with a NetXInvestor user ID, please contact your advisor.

³ Available communication types may vary.

⁴ Statements are stored for 10 years, trade confirmations for six years and tax documents for seven years. Storage for other account communications vary by type.

Getting Started: Default Profiles

© 2014 Pershing LLC. Pershing LLC, member FINRA, NYSE, SIPC, is a wholly owned subsidiary of The Bank of New York Mellon Corporation (BNY Mellon). Trademark(s) belong to their respective owners.