



Table of Fees for Services

Carefully read Item 4 and Item 5 of Form ADV Part 2A (“Brochure”), as these sections of the Brochure contain important details about TechGen Financial’s advisory services and fees. Fees are not negotiable. The fees below will only apply to you when you request the services listed.

Fees Charged by Investment Adviser	Fee Amount	Frequency Fee is Charged	Services
Assets Under Management Fee	\$0	N/A	N/A
Hourly Fee (For non-retainer clients)	\$200 / hour	Minimum 2 hours, 15-minute increments Paid prior to or at the start of session. Additional increments paid when work completed.	Consultation for specific financial concerns on a project base
Subscription Fee	\$0	N/A	N/A
Fixed Fee	\$1,500 to \$20,000	Quarterly Or Semi-annually in advanced	Comprehensive Financial Planning
Commissions to the Adviser	\$0	N/A	N/A
Performance-based Fee	\$0	N/A	N/A
Other	\$900	At start of session	One time Financial Review.
	\$120 to \$400	Prior to filing	Tax Preparation Only (limited availability)
Fees Charged by Third Parties	Fee Amount	Frequency Fee is Charged	Services
Third Party Money Manager	\$0	N/A	N/A
Robo-Adviser Fee	\$0	N/A	N/A
Talk with your Adviser about fees and costs applicable to you			

Additional fees and costs to discuss with your Adviser

Additional Fees/Cost	Yes/No	Paid To
Brokerage Fees	Yes	Broker Dealer
Commissions	Yes	Broker Dealer
Custodian Fees	Yes	Custodians – SSG, Fidelity, Vanguard, Merrill
Mark-ups	No	
Mutual Fund/ETF Fees and Expenses	Yes	Fund Managers

Effective January 1, 2020