! IMPORTANT INFORMATION !

MUST READ BEFORE CONTINUING

USER ID AND E-DELIVERY REQUEST FORMS

ALL requested information must be included on the form. Please do not submit forms without the account number(s) in section III and V. We cannot enter the account number(s) ourselves. Forms without account number(s) in section III and V and\or without the client signature in section V will be rejected and must be resubmitted.

Please be sure all information is legible, especially the email address. Any information that is not legible will require us to contact the advisor for confirmation before the setup can proceed.

Documents Only or **Documents and Accounts View** must be selected at the top of the form. Do not select both.

Documents Only - *Provides access to account documentation only. This includes monthly statements, trade confirmations, and tax documentation.*

Documents and Accounts View - *Provides access to account documentation as well as account balances, holdings, and transactions.*

ADDITIONAL SETUP INFORMATION

Fax completed form to ProEquities Technical Support at (205) 268-6908 or send via email to Support@ProEquities.com.

After setup has been completed, the client notification form containing the client user name, password and login instructions will be posted to the My Files area in Advisor Portal for the advisor. It is now the responsibility of the Advisor to provide the login information and instructions to the client.

Submit to Technology Dept at Fax#: (205) 268-6908

USER ID AND E-DELIVERY REQUEST

Financial Organization Name: ________________________

Provide Access To:
☐ Documents Only ☐ Documents & Accounts View

I. INSTRUCTIONS

You may use this form to:

- Create a User ID and password for online access
- Provide instructions to establish electronic delivery of brokerage account statements and/or trade confirmations
- Link additional accounts to an existing User ID
- Change delivery instructions for accounts linked to an existing User ID

Your financial organization will provide you with the name of the web site through which you will access your accounts.

II. ACCOUNT OWNER INFORMATION

Please complete the following information for the primary account owner. All fields are required.

FIRST NAME **LAST NAME**

DATE OF BIRTH **MOTHER'S MAIDEN NAME**

E-MAIL ADDRESS (a valid e-mail address must be provided for delivery of notification e-mails)

If you have an existing User ID, please provide it here: ____

If you are requesting a new User ID, indicate your first and second choices below. User IDs must have a minimum of 7 and maximum of 15 characters.

USER ID DESIRED (FIRST CHOICE) **USER ID DESIRED (SECOND CHOICE)**

III. ACCOUNT INFORMATION AND CONSENT TO ELECTRONIC DELIVERY

Please provide information regarding the accounts you wish to view online. When you elect to receive electronic delivery of trade confirmations and/or account statements, you will no longer receive paper copies of these documents via postal mail. You must read and agree to the Electronic Delivery Agreement in Section IV and sign in Section V.

For U.S. accounts, the Social Security number of the primary account holder listed in Section II must be affiliated, either as an account owner or participant, on the account numbers provided below. If the primary account holder above is **not** an owner on all accounts listed below, each account owner must sign in Section V.

	Account Access	**Electronic Delivery**	
	Link Account	Account Statements	Trade Confirmations
☐☐☐ – ☐☐☐☐☐☐ **PRIMARY ACCOUNT NUMBER**	☐	☐	☐
☐☐☐ – ☐☐☐☐☐☐ **ACCOUNT NUMBER**	☐	☐	☐
☐☐☐ – ☐☐☐☐☐☐ **ACCOUNT NUMBER**	☐	☐	☐
☐☐☐ – ☐☐☐☐☐☐ **ACCOUNT NUMBER**	☐	☐	☐
☐☐☐ – ☐☐☐☐☐☐ **ACCOUNT NUMBER**	☐	☐	☐

Please attach an additional sheet if you wish to link more than five accounts.

IV. ELECTRONIC NOTIFICATION AGREEMENT

To stop receiving paper account statements, trade confirmations and/or other documents as they may be made available (the "Account Communications"), you agree to accept and receive electronic notification that the Account Communications are available online for your retrieval (the "Service"). "You" means the account owner(s) and/or authorized user(s) of the account. "Authorized user" means a person that you have authorized with full access to your account and has been granted a user ID.

By accepting electronic delivery of the Account Communications, you are agreeing to the electronic delivery of all notices, disclosures and other information relating to your account that are communicated with or within the Account Communications.

The Account Communications will be available to you online via www.myedocumentsuite.com, a service provided by Pershing LLC on behalf of your financial organization, or a website provided to you by Pershing LLC on behalf of your financial organization. You will be notified by electronic mail (e-mail) when these documents are available to be viewed online. The e-mail notification(s) will be sent to the e-mail address(es) established when registering with this service, or that have otherwise been provided. You may modify the e-mail address(es) through the website or by contacting your financial organization. In the event of an e-mail notification failure as defined by Pershing, Pershing will terminate this arrangement and you will revert to receiving paper documents until such time that you re-enroll through the myedocumentsuite.com or other website service.

By accepting this Agreement, you affirm that you have a valid e-mail address on record with your financial organization, have access to the Internet and you are at least 18 years of age. You also affirm that you have installed Adobe Acrobat Reader version 4.0 or higher to view your Account Communications. You agree that electronic delivery of the Account Communications is deemed accepted, regardless of whether a particular document is accessed or viewed. You may print or save a copy of the Account Communications at any time. You may request a mailed copy of your Account Communications by contacting your financial organization.

Your consent to accept electronic delivery of the Account Communications is effective until revoked by Pershing, your financial organization or you. You may revoke your consent and resume receiving paper Account Communications by changing your delivery preferences by following the instructions on the website, or by contacting your financial organization directly for assistance. Changes to the electronic delivery settings for your accounts may be made by any authorized user, such as a joint account owner. In the event that you lose access to the website, you may contact your financial organization in order to have your access reinstated, or you may register directly via myedocumentsuite.com.

You expressly agree and acknowledge that your use of the Service is at your sole risk. None of Pershing, your financial organization nor their respective directors, officers, employees, agents, contractors, affiliates, information providers or services warrant that the myedocumentsuite.com or other website service will be uninterrupted or error free. Neither Pershing nor your financial institution warrant the timeliness, sequence, accuracy, completeness, reliability or content of any information with respect to accessing electronic information. The service provided herein is on an "as is", "as available" basis and without warranties including, without limitation, those of merchantability, fitness for a particular purpose or non-infringement other than those warranties which are implied by and incapable of exclusion, restriction or modification under the laws, rules and regulations applicable to this service.

You are responsible for maintaining the confidentiality of your user ID and password, and for restricting access to your computer, and you agree to accept responsibility for all activities that occur under your user ID or password.

You are agreeing to abide by the terms and conditions as they may be amended from time to time; amended terms will be posted on www.myedocumentsuite.com or the website provided by Pershing LLC on behalf of your financial organization. Your continued use of either website will constitute your acceptance of the then-current terms and conditions. The terms and conditions of this Agreement set forth the entire understanding and agreement between us with respect to the subject matter hereof.

V. SIGNATURES

If you have chosen to receive brokerage account statements and/or trade confirmations electronically, by signing below you represent that you have read and understand Section IV, Electronic Notification Agreement.

Each account owner must sign this form.

—			
PRIMARY ACCOUNT NUMBER	**ACCOUNT OWNER (PLEASE PRINT)**	**SIGNATURE**	**DATE**
—			
ACCOUNT NUMBER	**ACCOUNT OWNER (PLEASE PRINT)**	**SIGNATURE**	**DATE**
—			
ACCOUNT NUMBER	**ACCOUNT OWNER (PLEASE PRINT)**	**SIGNATURE**	**DATE**
—			
ACCOUNT NUMBER	**ACCOUNT OWNER (PLEASE PRINT)**	**SIGNATURE**	**DATE**
—			
ACCOUNT NUMBER	**ACCOUNT OWNER (PLEASE PRINT)**	**SIGNATURE**	**DATE**