

The Hampton Square Value

Comprehensive Fiduciary Protection for Employers

In 2018, 60% or more of the plans audited by the Department of Labor were found to have operational deficiencies which led to fines, penalties and/or restitutions.*

Our retirement plans offer comprehensive fiduciary protection for both operational and investment functions. Your time is valuable. Why not spend it growing your business or organization and impacting your employees?

Values-based Investment Options for Employees

Our Retirement Plan allows employees to choose between values-based and traditional options from their investment lineup. Many people unknowingly invest in companies whose products or practices conflict with their personal values. Values-based investing allows us to simply identify what participants value most and align their investments to match.

*Employee Benefits Security Administration, 2018. "EBSA Restores Over \$1.6 Billion to Employee Benefit Plans, Participants and Beneficiaries."



Live Aligned

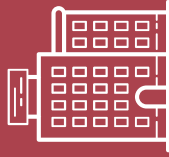
Hampton Square Wealth Management is a fiduciary advisory firm. We offer planning and investment solutions for:



Organizations



Institutions



Businesses

Live aligned with what you value most.

Are you ready to make a difference in and through the lives of your employees?

Begin the Conversation Today:

info@hampton-square.com

www.hampton-square.com

973-862-5065

Investment advice offered through OneAscent Financial Services LLC, DBA Hampton Square Wealth Management



HAMPTON
square
WEALTH MANAGEMENT

401(k)/403(b)
Retirement Plans

*Empower Your People to
Live Aligned*





The Hampton Square Support Structure

Retirement plans commonly have multiple vendors supporting the plan sponsor and participants; a financial advisor, a record-keeper, or a third-party administrator.

Even with these service providers in place, did you know you are still responsible for:

- The distribution of all compliance required participant notices and disclosures
- Ensuring the administration of the plan is in accordance with the provisions of the plan document
- Verifying the accuracy and signing the annual 5500 tax filing
- Approving the qualification and accuracy of loans, distributions, and hardships before they are processed
- Determining if the plan's fees and expenses are reasonable and the services provided are in the best interests of the participants
- Maintaining all plan related documentation that would satisfy the compliance requirements of a Department of Labor audit

The Hampton Square Advantage

TO BUSINESS OWNERS

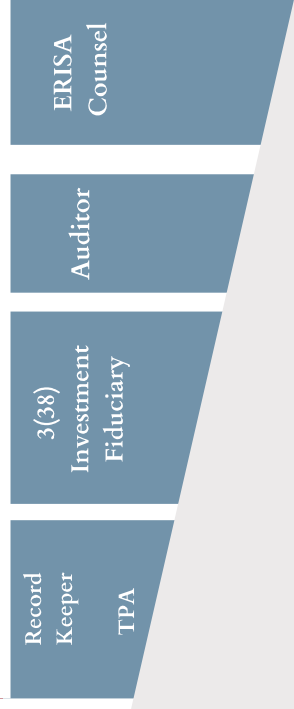
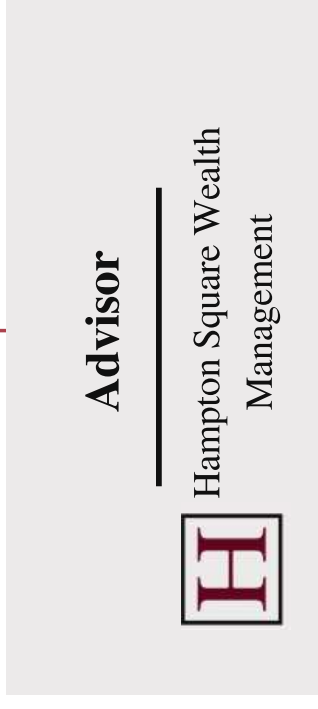
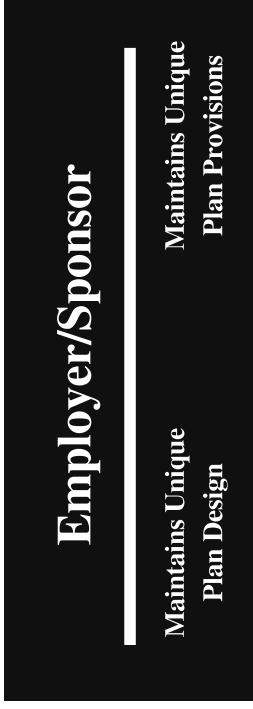
- Increase the value of your benefits package to hire top talent in your industry
- Comprehensive Fiduciary Protection, which means mitigating risk for your business owners or organization trustees
- Focus less on plan responsibilities and dedicate your time to growing your business

TO EMPLOYEES

- Give your employees a say in how they invest their retirement dollars
- A client service model that continues to educate your employees, team, and adds value to your business or organization
- Allow your participants to maximize their 401(k) or 403(b) opportunities

What risks are you taking without Hampton Square?

- Greater exposure to Fiduciary responsibility
- More administrative time spent onboarding and reporting processes within your retirement plan
- Possible violations of Federal ERISA requirements
- Exposing your employees to investments that are not aligned with their personal values or the core values of your business



Are you confident that each of these items has been addressed?