Why KPP?

LET US HELP YOU BUILD THE PRACTICE YOU HAVE ALWAYS ENVISIONED

KPP
KENTUCKY PLANNING PARTNERS
Independent | Objective | Unbiased

KPP & LPL Financial

What it means to be part of the largest independent broker/dealer in the nation*.

*As reported in Financial Planning Magazine, 1996-2016, based on total revenue.

- True independence while benefiting from logistical support, shared overhead, and other economies of scale
- Freedom to recommend investment solutions that meet your clients' needs.
- Access to enabling technology
- Comprehensive clearing and compliance services
- Practice management programs and training
- Independent research

Environment and Culture

We are a boutique firm made up of 14 registered representatives with vast experience and 4 staff members that offer full time support.

- Easy to access offices with advisor and client parking
- Beautifully appointed lobby
- Team members who serve on numerous boards and are involved in non-profit work and the community
- Access to a mentor and OSJ Manager who will help you pursue your objectives of succeeding and growing
- Positive group that embraces and drives change
- Atmosphere that promotes fun, creativity, open mindedness, and individuality
- Collaborative work environment

Client Services

Our advisors are objective and unbiased and take the necessary time to educate our clients.

- Comprehensive financial planning
- Investment management
- Retirement distribution planning
- Education planning
- 401(k) and business retirement services
- Insurance planning: life, long term care, and disability
- Estate planning

Advisor Services

LPL Financial and KPP are committed to providing operational, strategic, and revenue generating resources that will allow you to do what you do best!

- Use of all services in office and operation
- Transition and on-boarding support
- Compliance supervision
- Back office administrative support and training
- Practice management
- LPL platforms, product training, and advice
- Financial group branding
- Individual office/work station
- Professional conference rooms
- Use of paperless office/document storage system
- Quarterly branch meeting
- Marketing and client appreciation support

Fees and Payouts

The GDC payout rate to LPL Financial advisors starts at 72% and can go as high as 80% when you include the effect of the individual production bonus.

KPP Payout	Gross Production (GDC)					
	Pay out	**$100k**	**$300k**	**$500k**	**$800k**	**$1M**
	72%	**$72k**	**$216k**			
	75%		**$225k**	**$375k**	**$600k**	**$750k**

Kentucky Planning Partners payout does not include rent and core charges of approximately $1,800 per month for a private office in 2017. Please subtract $21,600 from the above compensation.

A cubicle workstation is $800 per month. Please subtract $9,600 from the above compensation.

All new advisors are provided free rent and core for their first three months.

LPL Financial Bonus Payout

The LPL Financial bonus payout structure rewards your success-the higher your production, the higher the payout rate.

The Individual bonus applies to aggregate production and is paid on incremental production above a threshold, beginning at $100,000

Production	Bonus	Maximum% Payout
$100k-$199K	1%	91%
$200k-$499k	2%	92%
$500k-$749k	3%	93%
$750k-$999k	4%	94%
$1M-$1.9M	5%	95%
$2M-$2.9M	6%	96%
$3M-$3.9M	7%	97%
$4M Plus	8%	98%

LPL also offers a transition bonus which typically ranges between 10-20% of rolling 12 month GDC. This is not a loan-it is a bonus!