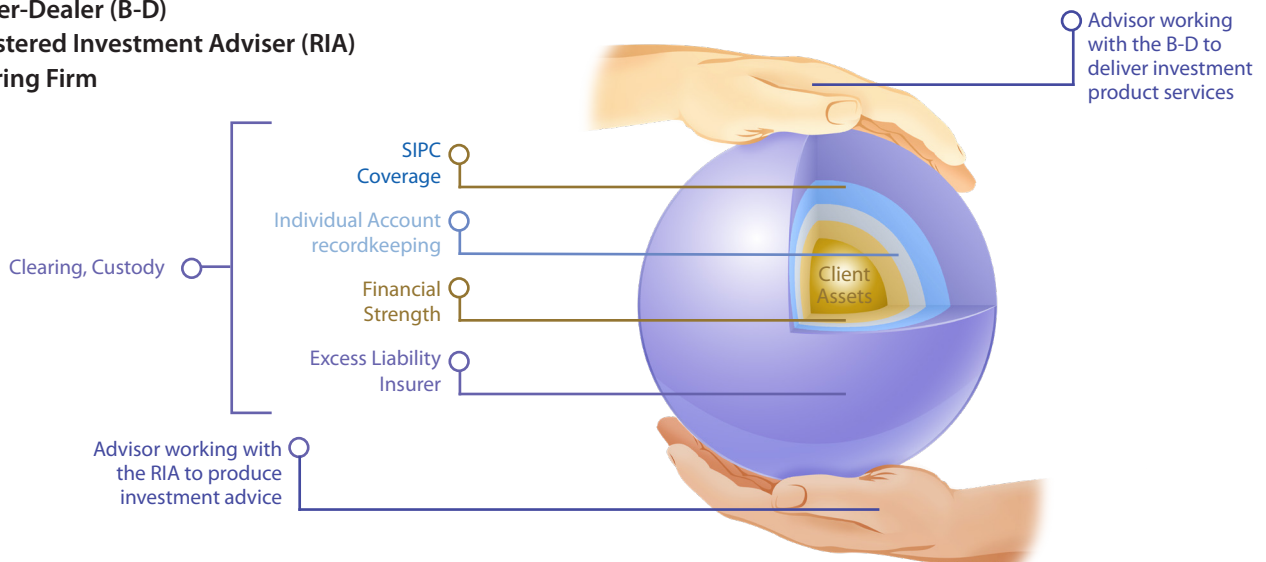


# Protection of Your Assets

Our firm works with three different financial companies committed to offering investment products, services and advice, and protecting your assets in compliance with all regulatory authorities:

1. The Broker-Dealer (B-D)
2. The Registered Investment Adviser (RIA)
3. The Clearing Firm



Our firm has affiliated with **Lincoln Investment**, as the B-D, to offer investment products and services to you. Financial professionals with our firm are licensed as registered representatives with the B-D and Lincoln Investment is regulated by the Securities and Exchange Commission (SEC), the Financial Industry Regulatory Authority (FINRA), and by individual states in which it does business. Lincoln Investment is a member of the Securities Investor Protection Corporation (SIPC®), which provides protection for securities in your account, up to \$500,000 per client, of which \$250,000 may be in cash.

Our firm has affiliated with **Capital Analysts**, as the RIA, in order to provide investment advice to you. Capital Analysts is registered with the SEC and financial professionals with our firm are licensed with the RIA as Investment Adviser Representatives (IARs). Financial professionals, through the registered investment adviser, provide services for ongoing portfolio management and advice. As an IAR, your financial advisor has an ongoing fiduciary responsibility to manage assets in your best interests.

Headquartered in suburban Philadelphia, **Lincoln Investment** and **Capital Analysts** have been working through independent financial professionals in offices nationwide for over 50 years. Collectively, those advisors serve more than 357,000 clients with over \$38 billion in assets.

Our firm works through **Pershing LLC** to provide clearing and custody of asset activities. A clearing firm is an organization that works with the exchanges to handle confirmation, delivery and settlement of transactions. Such corporations play a key role in ensuring that executed trades are settled within a specified period of time and in an efficient manner. Pershing LLC has been a leading global provider of financial business solutions for more than 75 years and serves many of the world's most respected financial organizations, remaining committed to the safekeeping, servicing, segregation, and reporting of assets held in custody. Pershing's core financial strength provides the foremost measure of the protection of assets held in the company's custody.

The B-D, RIA and clearing firm allow us to provide you with sound products, services, investment advice, and asset protection.

*Pershing LLC, member FINRA, NYSE, SIPC, is a subsidiary of The Bank of New York Mellon Corporation. For more information, please visit [www.pershing.com](http://www.pershing.com).*

*The advisory, clearing, and custody arrangements described apply only to advisory accounts in the CAAMS programs. Other programs, products, or services offered by your financial advisor may involve separate RIAs and/or custodians. Advisory services are provided for a fee, which are exclusive of other costs such as brokerage commissions, transaction fees, and custody fees. You should carefully review and compare custodial statements to any account statements you may receive from us.*