RS WILL EST.1972 WEALTH MANAGEMENT

The Will Group

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INITIAL MEETING CHECKLIST

The following list of documents will assist our team in establishing your investment profile and in designing your personalized financial plan. These materials will be treated confidentially and returned once the planning process is complete.

INCOME

- ☐ Current Annual Income
- ☐ Pension Income
- ☐ Social Security Income (or statement from www.SSA.gov)
- ☐ Annuity Income
- ☐ Other Income (Dividends, Royalties, Trust, Rental, LLC/Partnership Income, Alimony)

ACCOUNT STATEMENTS

- ☐ Investment Accounts (IRA, Brokerage, Trust, 401k, TSP, 403b, Stocks, Bonds)
- ☐ Annuity (Variable, Fixed, Index Linked)
- ☐ Bank Accounts (Checking, Savings, CD)
- ☐ Company Stock (Retricted Stock, Stock Options, Employee Stock Purchase Plan)
- ☐ Pension Plan Statement & Plan Documents
- ☐ 529 College Savings Plan(s)

REAL ESTATE

- ☐ Primary Home Value
- ☐ Second Home Value
- ☐ Investment Real Estate Value

INSURANCE

- ☐ Life Insurance (Variable, Universal, Whole, Term)
- ☐ Longer Term Care Insurance

LIABILITIES

- ☐ Mortgage, HELOC, Home Equity Loan
- ☐ Securities-based Loan / Margin
- ☐ Credit Card Debt
- ☐ Car Payments
- ☐ Student Loans
- ☐ Notes Payable

BUSINESS INTEREST / OWNERSHIP / PRIVATE EQUITY

- ☐ Value of Business(es)
- ☐ Ownership Interest(s)
- ☐ Private Investments
- ☐ LLC Interest(s)

OTHER ASSETS

- ☐ Trust Beneficiary
- ☐ Inheritance
- ☐ Promissory Notes

OTHER DOCUMENTS

- ☐ Estate Documents (Will, Durable Power of Attorney, Health Care Proxy, Revocable Trust, etc.)
- ☐ Most Recent Tax Returns

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