



1519 S. Bowman Rd., Suite H

Little Rock, AR 72211

501-916-2774

**Form ADV**

Part 2B: Brochure Supplement

ADVISORS

**ERIC M. STOFFEL**

Contact: [eric@stoffelfinancialadvisors.com](mailto:eric@stoffelfinancialadvisors.com)

January 20, 2025

**Item 1—Cover Page**

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This brochure supplement provides information about the above listed Advisors that supplements the Stoffel Financial Advisors disclosure brochure. A copy of that brochure is attached to this document. Please contact Stoffel Financial Advisors at the above telephone number or send email to [eric.m.stoffel@lpl.com](mailto:eric.m.stoffel@lpl.com) if you have questions about the content of this supplement. Information about any of the advisors affiliated with the firm is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

Stoffel Financial Advisors, LLC  
1519 S. Bowman Rd.  
Suite H  
Little Rock, AR 72211

Financial Planning offered through Stoffel Financial Advisors, a Registered Investment Advisor, not affiliated with LPL Financial LLC.

January 20, 2025

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### Item 3—Educational Background and Business Experience

#### Eric M. Stoffel

Year of Birth: 1972

#### Formal Education after High School:

- University of Wisconsin Stout; BS Hospitality & Tourism Mgmt. 09.1991 –05.1995

#### Business Experience

- Stoffel Financial Advisors, LLC; Member/Manager, 05.2018—Present
- GenWealth Financial Advisors, LLC; Investment Advisor Representative 08.2011—02.2018
- LPL Financial LLC; Registered Representative and Investment Advisor Representative 06.2011—Present
- Prosperity Bank; Financial Consultant 01.2009—05.2011
- UVEST Financial Services Group; Registered Representative 01.2009
- Investment Professionals Inc.; Registered Representative 06/2008—01.2009
- Thrivent Financial; Financial Associate 04.2007—05.2008
- Stoffel Realty; Managing Partner 11.2003—11.2007

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## Item 4—Disciplinary Information

None of the advisors listed in this document have any legal or disciplinary events required to be disclosed in response to this item. There may be items that are contained on [brokercheck.finra.org](https://brokercheck.finra.org) or [www.adviserinfo.sec](https://www.adviserinfo.sec) that you may wish to review and consider in your evaluation of any of the backgrounds

## Item 5 –Other Business Activities

In addition to and separate from providing financial planning services as an investment advisor representative of Stoffel Financial Advisors, LLC, all advisors referenced in the document are involved in the following investment related businesses and occupations.

They are Investment Advisor Representatives of LPL Financial, and SEC registered investment advisors. All investment advisor work other than financial planning is conducted through LPL Financial, utilizing their advisory platforms and resources as outlined in the LPL ADV brochure.

They are also registered representatives with LPL Financial, a registered broker/dealer and member of FINRA. In such capacity, they sell securities through LPL Financial and receive normal and customary commissions and other types of compensation. (for example, mutual fund 12b1 fees or variable annuity trails). The potential for receipt of commissions and other compensation when they act as a registered representative gives them an incentive to recommend investment products based on the compensation received rather than on the client's needs.

They can provide financial services to a client either in a brokerage or advisory capacity. In certain cases, this presents a conflict of interest, in a brokerage account, a client is charged for each transaction and they have no duty to provide ongoing advice with respect to the account. In a managed advisory account, they provide ongoing investment advice and receive an ongoing advisory fee for that service. If you intend to follow a buy and hold strategy for an account, or do not wish to purchase ongoing investment advice, you should consider opening a brokerage account rather than a managed advisory account.

They are also insurance agents. In such a capacity, they may offer fixed and variable insurance products and receive normal and customary commissions as a result of any purchases made by clients. The client is under no obligation to purchase fixed or variable insurance through us on a commissionable basis. In addition, they may receive other compensation such as fixed or variable life trails. The potential for receipt of commissions or other compensation when they act as an insurance agent gives them an incentive to recommend insurance products based on the compensation received, rather than on the client's needs.

## Items 6—Additional Compensation

Investment Advisor Representatives may receive economic benefits from persons other than clients in connection with our advisory services. Please ask them directly about whether they receive any of the forms of additional compensation outlined below.

- 12b 1 fees from SAM or SAM 2 accounts offered through LPL Financial
- Non-cash compensation from product sponsors. Such compensation may not be tied to sales of any product. Compensation may include such items as gifts valued at less than \$100 annually, an occasional dinner or ticket to a sporting event or reimbursement in connection with education or training events that we may attend.
- Bonuses based on our production with LPL Financial, reimbursements fo fees we pay to LPL. See LPL ADV brochure for more details

## Item 7—Supervision

The activities of all Investment Advisor Representatives listed in this supplement are supervised by Eric Stoffel, owner and managing member of the firm. Those supervisory activities relate to the financial planning activities of each member of the firm. Any questions, concerns or requests for information regarding the firm's financial planning activities can be directed to Eric Stoffel at (501) 916-2774 or by email at [eric@stoffelfinancialadvisors.com](mailto:eric@stoffelfinancialadvisors.com).

## Item 8—Requirements for State-Registered Advisors

No advisor listed in this supplement has ever been involved in or subject to any award or otherwise been found liable in an arbitration claim alleging damages in excess of \$2,500 involving any investment or investment-related activity, fraud, false statement or omission, theft, embezzlement or wrongful taking of property, bribery, forgery, counterfeiting, extortion or dishonest, unfair, or unethical business practices.

Additionally, no advisor in this supplement has been subject to an award or otherwise been found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the above activity. No on listed in this supplement has been the subject of a bankruptcy petition.