

**FACTS****WHAT DOES KPP Advisory Services, LLC  
DO WITH YOUR PERSONAL INFORMATION?****Why?**

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

**What?**

The types of personal information we collect, and share depend on the product or service you have with us. This information can include:

- Social Security number
- Income
- Assets

When you are *no longer* our client, we may continue to share your information as described in this notice.

**How?**

All financial companies need to share client personal information to run their everyday business. In the section below, we list the reasons financial companies may share their client personal information; the reasons KPP Advisory Services, LLC chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does KPP Advisory Services, LLC, share?	Can you limit this sharing?
<b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations	Yes	No
<b>For our marketing purposes—</b> to offer our products and services to you	No	We don't share
<b>For joint marketing with other financial companies</b>	Yes	No
<b>For our affiliates' everyday business purposes—</b> information about your transactions and experiences	Yes	No
<b>For our affiliates' everyday business purposes—</b> information about your creditworthiness	No	We don't share
<b>For nonaffiliates to market to you</b>	No	We don't share

**Questions?**

Call 502-394-0400 or go to [www.kpp-lpl.com](http://www.kpp-lpl.com)

## Who we are

### Who is providing this notice?

KPP Advisory Services, LLC and its affiliates. Our affiliates include the following: Kentucky Planning Partners, LLC

## What we do

### How does protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

Our online environment uses security technologies, including layered security and access controls over personal information.

### How does collect my personal information?

We collect your personal information, for example, when you

- Open an account or enter into an advisory relationship
- Apply for insurance or seek advice about your investments
- Tell us about your investment or retirement portfolios

We also collect your personal information from others such as credit bureaus, affiliates or other companies.

### Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.

## Definitions

### Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- Our affiliates include companies with the KPP name: financial companies such as Kentucky Planning Partners, LLC.

### Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- Non-affiliates we may share information with include investment advisory firms such as LPL Financial LLC.

### Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- This may include banks, credit unions or other financial institutions with which we have a joint marketing agreement

## Other important information

Information for California, North Dakota, and Vermont Clients:

In accordance with applicable state law, if the mailing address provided for your account is in California, North Dakota, or Vermont, we will automatically treat your account as if you do not want us to disclose your personal information to non-affiliated third parties for purposes of them marketing to you, except as permitted by the applicable state law.