



Part 2B of Form ADV: *Brochure Supplement*

Alfred Charles Della Porta
Joseph James Maltese
Christopher Samuel O'Shea
Brenda Anne Barrett
Michael Gabriel Della Porta
Erik Baker Brooks

Allegiance Financial Advisors, Inc.
Jacksonville, Florida

February 26, 2024

This brochure supplement provides information about the individual(s) listed above that supplements the Allegiance Financial Advisors, Inc. brochure. You should have received a copy of that brochure. Please contact Tammara Toton if you did not receive Allegiance Financial Advisors, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about the individual(s) listed above is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Full Legal Name: Alfred Charles Della Porta

CRD# 1572372

Born: 1959

Education: No post high school education.

Business Experience:

- Allegiance Financial Advisors, Inc.; President; from 3/2005 to Present
- Allegiance Financial Advisors, Inc.; Chief Compliance Officer; from 7/2007 to 12/2014
- Allegiance Financial Advisors, Inc.; Vice President; from 12/1998 to 3/2005
- Allegiance Financial Advisors, Inc.; Investment Advisor Representative; from 12/1998 to Present
- John Hancock; General Agent; 11/2018 to Present
- Osaic Wealth, Inc.; Managing Executive; from 11/2018 to present
- John Hancock Financial Network; Managing Partner; from 09/2004 to 11/2018
- Signator Investors, Inc.; Registered Representative; from 9/1990 to 11/2018

Item 3 Disciplinary Information

Alfred Charles Della Porta has no reportable disciplinary history.

Item 4 Other Business Activities

A. Investment-Related Activities

1. Alfred Charles Della Porta is also engaged in the following investment-related activities:

Alfred is also a Registered Representative of Osaic Wealth, Inc., a FINRA licensed broker/dealer and a registered investment advisor. In addition, he is a licensed Insurance Agent for Della Porta Agency, LLC and dp Brokerage, Inc. as well as various insurance companies. All financial planning and investment advice is offered independently of Osaic Wealth, Inc., and any other affiliates. Alfred owns and controls

Della Porta Agency, LLC and dp Brokerage, Inc. He may earn commissions from the sale of products, separately and apart from his advisory activity for Allegiance. No client is obligated to use any individual, insurance company, or agency.

While Allegiance Financial Advisors, Inc. and these individuals endeavor at all times to put the interest of the clients first as part of our fiduciary duty, clients should be aware that the receipt of additional compensation itself creates a conflict of interest, and may affect the judgment of these individuals when making recommendations. Clients, however, are not under any obligation to engage Alfred Charles Della Porta when considering implementation of advisory recommendations. The implementation of any or all recommendations is solely at the discretion of the client. Clients should be aware that the receipt of additional compensation by Allegiance Financial Advisors, Inc. and its management persons or employees creates a conflict of interest that may impair the objectivity of our firm and these individuals when making advisory recommendations.

2. Alfred Charles Della Porta receives commissions, bonuses or other compensation on the sale of securities or other investment products. He may earn commissions from the sale of products, separately and apart from his advisory activity for Allegiance. Clients should be aware that the receipt of additional compensation itself creates a conflict of interest and may affect the judgment of these individuals when making recommendations.

B. Non-Investment-Related Activities

Alfred Charles Della Porta is engaged in other business or occupations that provide substantial compensation or involves a substantial amount of his time. As previously described above, Alfred is engaged as a Registered Representative and Insurance Agent. As part of these activities, he also receives additional compensation in the form of commissions from non-advisory clients.

Item 5 Additional Compensation

Alfred Charles Della Porta receives economic benefit from a non-advisory client for the provision of advisory services. As noted in Item 4 above, Alfred, in his separate capacity as Registered Representative and Insurance Agent, may be eligible to receive incentives such as cash, sales awards or other prizes and bonuses from a non-advisory client for provision of advisory services.

Item 6 Supervision

Supervisor: Tammara A. Toton

Title: Chief Compliance Officer

Phone Number: 904-642-4401

Tammara Toton, CCO is responsible for all supervision and formulation and monitoring of investment advice offered to clients by Alfred Della Porta. Ms. Toton can be reached at 904-642-4401. Ms. Toton reviews all employee personal securities transactions on a quarterly basis, leads investment committee meetings, oversees all material investment policy changes, and conducts periodic testing to ensure that client objectives and mandates are being met.

Item 2 Educational Background and Business Experience

Full Legal Name: Joseph James Maltese

CRD# 2615850

Born: 1958

Education:

- Colorado Technical University; BS, Financial Planning; 2013
- University of North Florida; MBA, General Business; 2016

Business Experience:

- Allegiance Financial Advisors, Inc.; Vice President; from 2/2008 to Present
- Allegiance Financial Advisors, Inc.; Investment Advisor Representative; from 1/2008 to Present
- Osaic Wealth, Inc.; Registered Representative; from 11/2018 to present
- Joseph J. Maltese Financial Services, LLC; Manager; from 8/2017 to Present
- Signator Investors, Inc.; Registered Representative; from 1995 to 11/2018
- John Hancock Financial Network; Brokerage Manager; from 1995 to 11/2018

Designations:

Joseph James Maltese has earned the following designation(s) and is in good standing with the granting authority:

- LUTCF; American College; 1999 LUTCF stands for Life Underwriting Training Council Fellow. The LUTCF designation is granted by The American College to professionals who have completed a five-course curriculum focusing on life insurance planning and have met additional ethical requirements.
- CLU; American College; 2002 CLU stands for Chartered Life Underwriter. The CLU designee has completed an educational program offered by The American College, passed 10 examinations covering the application of life and health insurance in filling needs for survivor income, estate planning, business continuation and employee benefits. The designee has also met experience and ethical standards and achieves continuing education requirements of 15 hours yearly.
- ChFC; American College; 2002 ChFC stands for Chartered Financial Consultant. The ChFC designee has completed an education program offered by The American College and passed an examination on the fundamentals of financial planning, including income tax, insurance, investment and estate planning. In addition to successful completion of an exam, the designee is required to have a minimum of 3 years' experience in a financial industry position.
- CFP; Certified Financial Planner Board of Standards, Inc.; 2005 CFP® stands for Certified

Financial Planner™. The CFP® designation is administered by the Certified Financial Planner Board of Standards, Inc. Those with the CFP® designation have demonstrated competency in all areas of finance related to financial planning. Designees complete studies on over 100 topics, including stocks, bonds, taxes, insurance, retirement planning and estate planning. In addition to passing the CFP® certification exam, designees must also complete qualifying work experience and agree to adhere to the CFP® Board's code of ethics and professional responsibility and financial planning standards.

Item 3 Disciplinary Information

Joseph James Maltese has no reportable disciplinary history.

Item 4 Other Business Activities

A. Investment-Related Activities

1. Joseph James Maltese is also engaged in the following investment-related activities:

Joseph is also a Registered Representative of Osaic Wealth, Inc., a FINRA licensed broker/dealer and a registered investment advisor. In addition, he is a licensed Insurance Agent for Della Porta Agency, LLC and dp Brokerage, Inc. as well as various insurance companies. All financial planning and investment advice is offered independently of Osaic Wealth, Inc., and any other affiliates. He may earn commissions from the sale of products, separately and apart from his advisory activity for Allegiance. No client is obligated to use any individual, insurance company, or agency.

Joseph is the Manager for his DBA, Joseph J. Maltese Financial Services, LLC which is solely used as a marketing entity only.

While Allegiance Financial Advisors, Inc. and these individuals endeavor at all times to put the interest of the clients first as part of our fiduciary duty, clients should be aware that the receipt of additional compensation itself creates a conflict of interest, and may affect the judgment of these individuals when making recommendations. Clients, however, are not under any obligation to engage Joseph James Maltese when considering implementation of advisory recommendations. The implementation of any or all recommendations is solely at the discretion of the client. Clients should be aware that the receipt of additional compensation by Allegiance Financial Advisors, Inc. and its management persons or employees creates a conflict of interest that may impair the objectivity of our firm and these individuals when making advisory recommendations.

2. Joseph James Maltese receives commissions, bonuses, or other compensation on the sale of securities or other investment products. He may

earn commissions from the sale of products, separately and apart from his advisory activity for Allegiance. Clients should be aware that the receipt of additional compensation itself creates a conflict of interest and may affect the judgment of these individuals when making recommendations.

B. Non Investment-Related Activities

Joseph James Maltese is engaged in other business or occupations that provide substantial compensation or involves a substantial amount of his time. As previously described above, Joseph is engaged as a Registered Representative and Insurance Agent. As part of these activities, he also receives additional compensation in the form of commissions from non-advisory clients.

Item 5 Additional Compensation

Joseph James Maltese receives economic benefit from a non-advisory client for the provision of advisory services. As noted in Item 4 above, Joseph, in his separate capacity as Registered Representative and Insurance Agent, may be eligible to receive incentives such as cash, sales awards or other prizes and bonuses from a non-advisory client for provision of advisory services.

Item 6 Supervision

Supervisor: Tammara A. Toton

Title: Chief Compliance Officer

Phone Number: 904-642-4401

Tammara Toton, CCO is responsible for all supervision and formulation and monitoring of investment advice offered to clients by Joseph Maltese. Ms. Toton can be reached at 904-642-4401. Ms. Toton reviews all employee personal securities transactions on a quarterly basis, leads investment committee meetings, oversees all material investment policy changes, and conducts periodic testing to ensure that client objectives and mandates are being met.

Item 2 Educational Background and Business Experience

Full Legal Name: Christopher Samuel O'Shea **CRD#** 3098503

Born: 1951

Education: No post high school education.

Business Experience:

- Allegiance Financial Advisors, Inc.; Vice President; from 02/2010 to Present
- Allegiance Financial Advisors, Inc.; Investment Advisor Representative; from 03/2004 to Present
- Osaic Wealth, Inc.; Registered Representative; from 11/2018 to present
- John Hancock Financial Network; Insurance Agent; from 05/1998 to 11/2018
- Signator Investors, Inc.; Registered Representative; from 05/1998 to 11/2018

Designations:

Christopher Samuel O'Shea has earned the following designation and is in good standing with the granting authority:

- ChFC; American College; 2011 ChFC stands for Chartered Financial Consultant. The ChFC designee has completed an education program offered by The American College and passed an examination on the fundamentals of financial planning, including income tax, insurance, investment and estate planning. In addition to successful completion of an exam, the designee is required to have a minimum of 3 years' experience in a financial industry position.

Item 3 Disciplinary Information

Christopher Samuel O'Shea has no reportable disciplinary history.

Item 4 Other Business Activities

A. Investment-Related Activities

1. Christopher Samuel O'Shea is also engaged in the following investment-related activities:

Christopher is also a Registered Representative of Osaic Wealth, Inc., a FINRA licensed broker/dealer and a registered investment advisor. In addition, he is a licensed Insurance Agent for Della Porta Agency, LLC and dp Brokerage, Inc as well as various insurance companies. All financial planning and investment advice is offered independently of Osaic Wealth, Inc., and any other affiliates. He may earn commissions from the sale of products,

separately and apart from his advisory activity for Allegiance. No client is obligated to use any individual, insurance company, or agency.

While Allegiance Financial Advisors, Inc. and these individuals endeavor at all times to put the interest of the clients first as part of our fiduciary duty, clients should be aware that the receipt of additional compensation itself creates a conflict of interest, and may affect the judgment of these individuals when making recommendations. Clients, however, are not under any obligation to engage Christopher Samuel O'Shea when considering implementation of advisory recommendations. The implementation of any or all recommendations is solely at the discretion of the client. Clients should be aware that the receipt of additional compensation by Allegiance Financial Advisors, Inc. and its management persons or employees creates a conflict of interest that may impair the objectivity of our firm and these individuals when making advisory recommendations.

2. Christopher Samuel O'Shea receives commissions, bonuses or other compensation on the sale of securities or other investment products. He may earn commissions from the sale of products, separately and apart from his advisory activity for Allegiance. Clients should be aware that the receipt of additional compensation itself creates a conflict of interest, and may affect the judgment of these individuals when making recommendations.

B. Non-Investment-Related Activities

Christopher Samuel O'Shea is engaged in other business or occupations that provide substantial compensation or involves a substantial amount of his time. As previously described above, Christopher is engaged as a Registered Representative and Insurance Agent. As part of these activities, he also receives additional compensation in the form of commissions from non-advisory clients.

Item 5 Additional Compensation

Christopher Samuel O'Shea receives economic benefit from a non-advisory client for the provision of advisory services. As noted in Item 4 above, Christopher, in his separate capacity as Registered Representative and Insurance Agent, may be eligible to receive incentives such as cash, sales awards or other prizes and bonuses from a non-advisory client for provision of advisory services.

Item 6 Supervision

Supervisor: Tammara A. Toton

Title: Chief Compliance Officer

Phone Number: 904-642-4401

Tammara Toton, CCO is responsible for all supervision and formulation and monitoring of investment advice offered to clients by Christopher O'Shea. Ms. Toton can be reached at 904-642-4401. Ms. Toton reviews all employee personal securities transactions on a quarterly basis, leads investment committee meetings, oversees all material investment policy changes, and conducts periodic testing to ensure that client objectives and mandates are being met.

Item 2 Educational Background and Business Experience

Full Legal Name: Brenda Anne Barrett

CRD# 4800525

Born: 1963

Education

- Saint Anselm College; BA, Political Science; 1985

Business Experience:

- Allegiance Financial Advisors, Inc.; Investment Advisor Representative; from 1/2015 to Present
- Allegiance Financial Advisors, Inc.; Solicitor; from 1/2008 to 1/2015
- Osaic Wealth, Inc.; Registered Representative; from 11/2018 to Present
- Signator Investors, Inc.; Registered Representative; from 5/2006 to 11/2018
- John Hancock Financial Network; Insurance Agent; from 5/2006 to 11/2018
- Family Heritage Life Insurance Company of America; Insurance Agent; from 1/2000 to Present

Item 3 Disciplinary Information

Brenda Anne Barrett has no reportable disciplinary history.

Item 4 Other Business Activities

A. Investment-Related Activities

1. Brenda Anne Barrett is also engaged in the following investment-related activities:

Brenda is also a Registered Representative of Osaic Wealth, Inc., a FINRA licensed broker/dealer and a registered investment advisor. In addition, she is a licensed Insurance Agent for Della Porta Agency, LLC and dp Brokerage, Inc. as well as various insurance companies, including Family Heritage Life Insurance Company of America. All financial planning and investment advice is offered independently of Osaic Wealth, Inc., and any other affiliates. She may earn commissions from the sale of products, separately and apart

from her advisory activity for Allegiance. No client is obligated to use any individual, insurance company, or agency.

While Allegiance Financial Advisors, Inc. and these individuals endeavor at all times to put the interest of the clients first as part of our fiduciary duty, clients should be aware that the receipt of additional compensation itself creates a conflict of interest, and may affect the judgment of these individuals when making recommendations. Clients, however, are not under any obligation to engage Brenda Anne Barrett when considering implementation of advisory recommendations. The implementation of any or all recommendations is solely at the discretion of the client. Clients should be aware that the receipt of additional compensation by Allegiance Financial Advisors, Inc. and its management persons or employees creates a conflict of interest that may impair the objectivity of our firm and these individuals when making advisory recommendations.

2. Brenda Anne Barrett receives commissions, bonuses or other compensation on the sale of securities or other investment products. She may earn commissions from the sale of products, separately and apart from her advisory activity for Allegiance. Clients should be aware that the receipt of additional compensation itself creates a conflict of interest and may affect the judgment of these individuals when making recommendations.

B. Non Investment-Related Activities

Brenda Anne Barrett is engaged in other business or occupations that provide substantial compensation or involves a substantial amount of her time. As previously described above, Brenda is engaged as a Registered Representative and Insurance Agent. As part of these activities, she also receives additional compensation in the form of commissions from non-advisory clients.

Item 5 Additional Compensation

Brenda Anne Barrett receives economic benefit from a non-advisory client for the provision of advisory services. As noted in Item 4 above, Brenda, in her separate capacity as Registered Representative and Insurance Agent, may be eligible to receive incentives such as cash, sales awards or other prizes and bonuses from a non-advisory client for provision of advisory services.

Item 6 Supervision

Supervisor: Tammara A. Toton

Title: Chief Compliance Officer

Phone Number: 904-642-4401

Tammara Toton, CCO is responsible for all supervision and formulation and monitoring of investment advice offered to clients by Brenda Barrett. Ms. Toton can be reached at 904-642-4401. Ms. Toton reviews all employee personal securities transactions on a quarterly basis, leads investment committee meetings, oversees all material investment policy changes, and conducts periodic testing to ensure that client objectives and mandates are being met.

Item 2 Educational Background and Business Experience

Full Legal Name: Michael Gabriel Della Porta

CRD# 6715855

Born: 1993

Education:

- University of South Florida St. Petersburg; BS, Business Economics; 2016
- University of South Florida St. Petersburg; BS, Business Management; 2016

Business Experience:

- Allegiance Financial Advisors, Inc.; Investment Advisors Representative; from 3/2017 to present
- Osaic Wealth, Inc.; Registered Representative; 11/2018 to Present
- Signator Investors, Inc.; Registered Representative; from 10/2016 to 11/2018
- John Hancock Financial Network; Insurance Agent; from 9/2016 to 11/2018

Item 3 Disciplinary Information

Michael Gabriel Della Porta has no reportable disciplinary history.

Item 4 Other Business Activities

A. Investment-Related Activities

1. Michael Gabriel Della Porta is also engaged in the following investment-related activities:

Michael Gabriel Della Porta is a Registered Representative of Osaic Wealth, Inc., a FINRA licensed broker/dealer and a registered investment advisor. In addition, he is a licensed Insurance Agent for Della Porta Agency, LLC and dp Brokerage, Inc. as well as various insurance companies. All financial planning and investment advice is offered independently of Osaic Wealth, Inc., and any other affiliates. He may earn commissions from the sale of products, separately and apart from his advisory activity for Allegiance. No client is obligated to use any individual, insurance company, or agency.

While Allegiance Financial Advisors, Inc. and these individuals endeavor at all times to put the interest of the clients first as part of our fiduciary duty, clients should be aware that the

receipt of additional compensation itself creates a conflict of interest, and may affect the judgment of these individuals when making recommendations. Clients, however, are not under any obligation to engage Michael Gabriel Della Porta when considering implementation of advisory recommendations. The implementation of any or all recommendations is solely at the discretion of the client. Clients should be aware that the receipt of additional compensation by Allegiance Financial Advisors, Inc. and its management persons or employees creates a conflict of interest that may impair the objectivity of our firm and these individuals when making advisory recommendations.

2. Michael Gabriel Della Porta receives commissions, bonuses or other compensation on the sale of securities or other investment products. He may earn commissions from the sale of products, separately and apart from his advisory activity for Allegiance. Clients should be aware that the receipt of additional compensation itself creates a conflict of interest, and may affect the judgment of these individuals when making recommendations.

B. Non Investment-Related Activities

Michael Gabriel Della Porta is engaged in other business or occupations that provide substantial compensation or involves a substantial amount of his time. As previously described above, Michael is engaged as a Registered Representative and Insurance Agent. As part of these activities, he also receives additional compensation in the form of commissions from non-advisory clients.

Item 5 Additional Compensation

Michael Gabriel Della Porta receives economic benefit from a non-advisory client for the provision of advisory services. As noted in Item 4 above, Michael, in his separate capacity as Registered Representative and Insurance Agent, may be eligible to receive incentives such as cash, sales awards or other prizes and bonuses from a non-advisory client for provision of advisory services.

Item 6 Supervision

Supervisor: Tammara A. Toton

Title: Chief Compliance Officer

Phone Number: 904-642-4401

Tammara Toton, CCO is responsible for all supervision and formulation and monitoring of investment advice offered to clients by Michael Della Porta. Ms. Toton can be reached at 904-642-4401. Ms. Toton reviews all employee personal securities transactions on a quarterly basis, leads investment committee meetings, oversees all material investment policy changes, and conducts periodic testing to ensure that client objectives and mandates are being met.

Item 2 Educational Background and Business Experience

Full Legal Name: Erik Baker Brooks

CRD# 1637561

Born: 1965

Education:

- University of Florida; BSBA, Insurance and Finance; 1987

Business Experience:

- Allegiance Financial Advisors, Inc.; Investment Advisors Representative; from 3/2022 to present
- Osaic Wealth, Inc.; Registered Representative; 11/2018 to Present
- Signator Investors, Inc.; Registered Representative; from 10/1986 to 11/2018
- John Hancock Financial Network; Insurance Agent; from 10/1986 to 11/2018

Item 3 Disciplinary Information

Erik Baker Brooks has no reportable disciplinary history.

Item 4 Other Business Activities

A. Investment-Related Activities

1. Erik Baker Brooks is also engaged in the following investment-related activities:

Erik Baker Brooks is a Registered Representative of Osaic Wealth, Inc., a FINRA licensed broker/dealer and a registered investment advisor. In addition, he is a licensed Insurance Agent for Della Porta Agency, LLC and dp Brokerage, Inc. as well as various insurance companies. All financial planning and investment advice is offered independently of Osaic Wealth, Inc., and any other affiliates. He may earn commissions from the sale of products, separately and apart from his advisory activity for Allegiance. No client is obligated to use any individual, insurance company, or agency.

While Allegiance Financial Advisors, Inc. and these individuals endeavor at all times to put the interest of the clients first as part of our fiduciary duty, clients should be aware that the receipt of additional compensation itself creates a conflict of interest and may affect the judgment of these individuals when making recommendations. Clients, however, are not under any obligation to engage Erik Baker Brooks when considering implementation of

advisory recommendations. The implementation of any or all recommendations is solely at the discretion of the client. Clients should be aware that the receipt of additional compensation by Allegiance Financial Advisors, Inc. and its management persons or employees creates a conflict of interest that may impair the objectivity of our firm and these individuals when making advisory recommendations.

2. Erik Baker Brooks receives commissions, bonuses, or other compensation on the sale of securities or other investment products. He may earn commissions from the sale of products, separately and apart from his advisory activity for Allegiance. Clients should be aware that the receipt of additional compensation itself creates a conflict of interest and may affect the judgment of these individuals when making recommendations.

B. Non Investment-Related Activities

Erik Baker Brooks is engaged in other business or occupations that provide substantial compensation or involves a substantial amount of his time. As previously described above, Erik is engaged as a Registered Representative and Insurance Agent. As part of these activities, he also receives additional compensation in the form of commissions from non-advisory clients.

Item 5 Additional Compensation

Erik Baker Brooks receives economic benefit from a non-advisory client for the provision of advisory services. As noted in Item 4 above, Erik, in his separate capacity as Registered Representative and Insurance Agent, may be eligible to receive incentives such as cash, sales awards or other prizes and bonuses from a non-advisory client for provision of advisory services.

Item 6 Supervision

Supervisor: Tammara A. Toton

Title: Chief Compliance Officer

Phone Number: 904-642-4401

Tammara Toton, CCO is responsible for all supervision and formulation and monitoring of investment advice offered to clients by Erik Baker Brooks. Ms. Toton can be reached at 904-642-4401. Ms. Toton reviews all employee personal securities transactions on a quarterly basis, leads investment committee meetings, oversees all material investment policy changes, and conducts periodic testing to ensure that client objectives and mandates are being met.