

1. When do you plan to retire? Age: _____ or Year: _____
 Birthdate: _____

 Spouse's expected retirement: Age: _____ or Year: _____
 Spouse Birthdate: _____
2. How much do you plan to spend, after tax, once retired? _____
3. How much do you plan on saving each year in retirement fund contributions?

 Current annual household earnings or income: _____
4. Please tell us about your savings and investments (401k, stocks, bonds)

5. Have you considered the age at which you will take social security?
 Your age: _____ Your spouse's age: _____
6. How much social security will you receive? SSA.GOV is a great resource for social security information.
 Your social security amount: _____ Your spouse's social security amount: _____
7. From an original investment of \$15,000, your portfolio now worth \$25,000, suddenly declines \$3,750 or 15%, which best describes your reaction:
 I would look for a way to invest more
 I would take no action
 I would be somewhat concerned
 I would avoid any investment that could suddenly lose 15% of its value
8. Have you invested in Equities (individual stocks)?
 No Yes
9. Have you invested in Fixed Incomes?
 No Yes
10. Have you invested in Mutual Funds?
 No Yes
11. Have you purchased an Annuity or Life Insurance?
 No Yes
12. How would you describe your level of investment knowledge.
 None
 Limited
 Good
 Advanced/Affluent
13. Do you have current income needs from you investments?
 No Yes
14. When will you begin to use your invested funds?
 Less than 2 years
 2 to 5 years
 6 to 10 years
 More than 10 years