

**Lakeside Advisors, Inc.**  
**Privacy Disclosure**

**Lakeside Advisors, Inc.**

1115 East Denny Way  
Seattle, WA 98122

Lakeside Advisors, Inc., a SEC registered investment adviser, is committed to safeguarding material and nonpublic client information. It is a good business practice and required by regulation. We hold all personal information provided to our firm in the strictest confidence. These records include all personal information that we collect from you in connection with any of the services provided by us. We have never and will not disclose information to nonaffiliated third parties except as permitted by law, without your prior direction or approval. If we were to anticipate such a change in firm policy, we would be prohibited under the law from doing so without advising you and obtaining your consent(s) first. As you know, we use health and financial information that you provide to us to help you meet your personal financial goals while guarding against any real or perceived infringements of your rights of privacy. Our policy with respect to non-public personal information about you is listed below.

- We limit employee access to information only to those who have a business or professional reason for knowing, and only to nonaffiliated parties as permitted by law or to conduct our business. (For example, federal regulations permit us to share a limited amount of information about you with a brokerage firm in order to execute securities transactions on your behalf, or when you have authorized our firm to discuss your financial situation with your accountant or lawyer.)
- We maintain a secure office and computer environment to ensure that your information is not placed at unreasonable risk.
- The categories of material, nonpublic personal information that we collect from a client depend upon the scope of the client engagement. It will include information about your personal finances, information about your health to the extent that it is needed for the planning process, information about transactions between you and third parties, and information from consumer reporting agencies.
- For unaffiliated third parties that require access to your personal information, including financial service companies, consultants, and auditors, we also require strict confidentiality in our agreements with them and expect them to keep this information private. Federal and state regulators also may review firm records as permitted by law.
- We do not provide your personally identifiable information for marketing or other purposes.
- Personally identifiable information about you will be maintained during the time you are a client, and for the required time thereafter that such records are required to be maintained by federal and state securities laws, and consistent with the CFP Board Code of Ethics and Standards of Conduct. After this required period of record retention, all such information is destroyed.

We maintain a Business Continuity Plan describing how we intend to respond in the event of Significant Business Disruptions due to natural disaster, pandemic, technology failure, or terrorist activity. Our Business Continuity Plan is available upon request or at [www.lakesideadvisors.com](http://www.lakesideadvisors.com)

We routinely suggest to our clients that they be vigilant in reviewing their credit and overseeing their financial transactions. We want to take this opportunity to reiterate this recommendation. Attached is an explanation of additional steps you may want to consider to protect against fraud and identity theft.

# FACTS

## WHAT DOES LAKESIDE ADVISORS, INC. DO WITH YOUR PERSONAL INFORMATION?

<b>Why?</b>	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
<b>What?</b>	The types of personal information we collect and share depend on the product or service you have with us. This information can include: <ul style="list-style-type: none"> <li>■ Social Security number and Income</li> <li>■ Account Balances and Transactions</li> <li>■ Account Holdings</li> </ul>
<b>How?</b>	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Lakeside Advisors, Inc. chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Lakeside Advisors, Inc. share?	Can you limit this sharing?
<b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	NO	NO
<b>For our marketing purposes—</b> to offer our products and services to you	NO	NO
<b>For joint marketing with other financial companies</b>	NO	NO
<b>For our affiliates' everyday business purposes—</b> information about your transactions and experiences	NO	NO
<b>For our affiliates' everyday business purposes—</b> information about your creditworthiness	NO	NO
<b>For our affiliates to market to you</b>	NO	NO
<b>For nonaffiliates to market to you</b>	NO	NO

<b>To limit our sharing</b>	<ul style="list-style-type: none"> <li>■ Call <b>206-285-1730</b></li> <li>■ Visit us online: <a href="http://lakesideadvisors.com">lakesideadvisors.com</a> or</li> <li>■ Mail the <b>form</b> below</li> </ul> <p><b>Please note:</b> If you are a <i>new</i> customer, we can begin sharing your information [30] days from the date we sent this notice. When you are <i>no longer</i> our customer, we continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.</p>
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<b>Questions?</b>	Call 206-285-1730 or go to <a href="http://lakesideadvisors.com">lakesideadvisors.com</a>
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### Mail-in Form

<p><b>Leave Blank OR</b> [If you have a joint account, your choice(s) will apply to everyone on your account unless you mark below.]</p> <p><input type="checkbox"/> Apply my choices only to me]</p>	<p>Mark any/all you want to limit:</p> <p><input type="checkbox"/> Do not share information about my creditworthiness with your affiliates for their everyday business purposes.</p> <p><input type="checkbox"/> Do not allow your affiliates to use my personal information to market to me.</p> <p><input type="checkbox"/> Do not share my personal information with nonaffiliates to market their products and services to me.</p>	
	Name	
	Address	
	City, State, Zip	
	[Account #]	
		<p><b>Mail to:</b> Lakeside Advisors, Inc 1115 E Denny Way Seattle, WA 98122</p>

## Who we are

<b>Who is providing this notice?</b>	Lakeside Advisors, Inc.
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## What we do

<b>How does Lakeside Advisors, Inc. protect my personal information?</b>	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
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<b>How does Lakeside Advisors, Inc. collect my personal information?</b>	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> <li>■ Open an account</li> <li>■ Provide account information</li> <li>■ Make deposits or withdrawals from your account</li> <li>■ Use your credit or debit card</li> <li>■ Make a wire transfer</li> </ul> <p>We also collect your personal information from other companies, for example accounting firms and law offices with your prior approval</p>
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<b>Why can't I limit all sharing?</b>	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> <li>■ sharing for affiliates' everyday business purposes—information about your creditworthiness</li> <li>■ affiliates from using your information to market to you</li> <li>■ sharing for nonaffiliates to market to you</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing.</p>
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<b>What happens when I limit sharing for an account I hold jointly with someone else?</b>	Your choices will apply to everyone on your account.
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## Definitions

<b>Affiliates</b>	Companies related by common ownership or control. They can be financial and nonfinancial companies.
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<b>Nonaffiliates</b>	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> <li>■ Lakeside Advisors, Inc. shares information with non-affiliate third parties to service your account to the extent as permitted by law</li> </ul>
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<b>Joint marketing</b>	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> <li>■ Lakeside Advisors, Inc do not jointly market</li> </ul>
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## Other important information

This notice applies to individual consumers who are customers or former customers. This notice replaces all previous notices of our consumer privacy policy, and may be amended at any time. We will keep you informed of changes or amendments as required by law.



## **Identity Theft Precautions**

### **1. Fraud Alert**

As a precaution against identity theft, you can consider placing a fraud alert on your credit file. A fraud alert tells creditors to contact you before they open any new accounts or change your existing accounts. A fraud alert also lets creditors know to watch for other unusual or suspicious activity. To place a fraud alert, contact any one of the three major credit bureaus, listed below. An initial fraud alert remains effective for one year and is free of charge. If you wish, you can renew the fraud alert at the expiration of this initial period. Once one credit bureau confirms your fraud alert, the others are notified to place fraud alerts on your file.

### **2. Security Freeze**

You can also consider placing a security freeze on your credit reports. A security freeze prohibits a credit reporting agency from releasing any information from your credit report without authorization. It does not cost anything to place or lift a security freeze.

Placing a security freeze on your credit report may delay or interfere with the timely approval of requests you make for new loans, mortgages, employment, housing, or other services; therefore, take your time to consider the benefits and potential drawbacks of a security freeze.

To place a security freeze on your credit report, you must contact **each** of the major consumer reporting agencies: Equifax, Experian, and TransUnion. The contact information for the three major consumer agencies is provided below:

#### **TransUnion Security Freeze**

[www.transunion.com/credit-freeze](http://www.transunion.com/credit-freeze)

#### **Experian Security Freeze**

[www.experian.com/help/credit-freeze](http://www.experian.com/help/credit-freeze)

#### **Equifax Security Freeze**

[www.equifax.com/personal/credit-report-services/credit-freeze/](http://www.equifax.com/personal/credit-report-services/credit-freeze/)

In order to request a freeze, you will need the following information:

1. Your full name, including middle initial (and generation)
2. Proof of current address such as a current utility bill or telephone bill.
3. If you have moved in the past two (2) years, provide the addresses where you have lived over the prior two years.
4. A legible photocopy of a current government issued identification card (state driver's license or ID card, military identification, etc.)
5. Date of birth.
6. Social Security Number.

Please see each consumer reporting agency's website for additional information regarding how to place a security freeze.

After receiving your request, the credit bureaus will send a written confirmation and provide you with a unique personal identification number (PIN) or password (or both). You will use this PIN (or password) to lift the security freeze to allow a specific entity or individual access to your credit report and to remove the security freeze.

### **3. Further Information**

You may obtain additional information by contacting the Federal Trade Commission (FTC) or visiting the FTC's privacy and identity theft website, as follows:

FTC identity theft phone line: 1-877-IDTHEFT (438-4338)

FTC identity theft website: <https://consumer.ftc.gov/features/identity-theft>