ALLIED INSURANCE ADVISORS, LLC.
ALLIED INVESTMENT ADVISORS, LLC.

Insurance ▪ Investments ▪ Medicare ▪ Planning ▪ Retirement

Financial Planning Fee Disclosures

As a CERTIFIED FINANCIAL PLANNER™ and an Investment Advisor Representative of Royal Alliance Associates, Inc., Jesse Frazier has a fiduciary duty to all clients. This means that he must always put the interests of clients before his own. This distinct and legal responsibility requires a duty of loyalty, a duty of care, and a duty to follow client instructions. Jesse must also disclose any possible conflicts of interest in this regard.

The Financial Planning Practice at Allied Investment Advisors (AIA) is based on a 'hybrid' model in which clients may choose from (and combine) the following compensation methods:

1) Hourly: $150/hour with a $500 minimum. Depending upon the scope of work required, there may be a flat fee available. This arrangement is most often used when someone is looking for a second opinion on their own investment and financial planning or has a specific need such as retirement planning analysis.

2) A fee based on the percentage of assets under management: This fee arrangement typically runs between 0.75 and 1.70% of the investment account(s) we manage. The minimum account size is $10,000 and is in addition to the underlying expenses of mutual funds or exchange traded funds used to implement the investment account.

3) Product commissions: There are a variety of products such as insurance, annuities, or other financial instruments that pay commissions to AIA. Products often are needed to implement a complete financial plan.

Conflict of Interest: Jesse Frazier is a licensed insurance agent with Allied Insurance Advisors, LLC (AIA) an independent insurance brokerage firm. Within the focus of risk management in financial planning, AIA represents various carriers that offer life, health, disability, long-term care, Medicare planning, plus other types of insurance.

The sale of these products typically pays the agency a commission.

Thank you for the opportunity to help with your financial, investment, and insurance planning needs. Please let us know should you have questions about the fees you pay or how we are compensated.

3461-F Lawrenceville-Suwanee Road ▪ Suwanee, GA ▪ 30024 ▪ 678.546.7890