

AccessTSC

SECURITIES-BASED LENDING



Where there is consistency and capability,
there is also confidence.

Our history of delivering innovative, actionable solutions
is what makes us the preferred banking partner
for financial advisors and their clients nationally.



Confidence Delivered

We partner with registered investment advisors, wealth management firms, trust companies, broker/dealers and family offices to provide flexible and innovative lending solutions. Our ability to deliver with confidence and consistency sets us apart from other lenders.

AccessTSC Securities-Based Line of Credit

An **AccessTSC** securities-based line of credit is a borrowing product based on the innovative approach of pledging eligible securities as collateral. It offers access to liquidity without disrupting a client's investment strategies and objectives. For nearly a decade, we have been developing processes and resources to make **AccessTSC** a quick and easy solution for advisors and their clients.

The Advantages of Pledging Assets

Competitive Rates - Both SOFR-based variable rate and fixed rate options are available.

Speed - Our simplified online process enables us to deliver **AccessTSC** loan documents paperless and faster than ever.

Value - Provides access to liquidity without the immediate sale of securities.

Flexibility - Multiple portfolios can be used as collateral. Individuals, entities and trusts are eligible to borrow and pledge.

ACAT Transfers - Facilitate the transfer of client investment accounts by paying off existing loans. This also enables you to attract new clients, former clients or additional clients.

A True Partner - As an advisor-focused firm, we strive to deliver confidence by offering advisors innovative solutions for their clients. We build strong relationships through exceptionally personalized service and go to great lengths to gain your trust as a true partner and valuable resource.

ACCESSTSC
AN EFFECTIVE
SOLUTION
FOR SHORT-
AND LONG-TERM
BORROWING NEEDS

ACAT TRANSFERS



BRIDGE LOAN
FINANCING



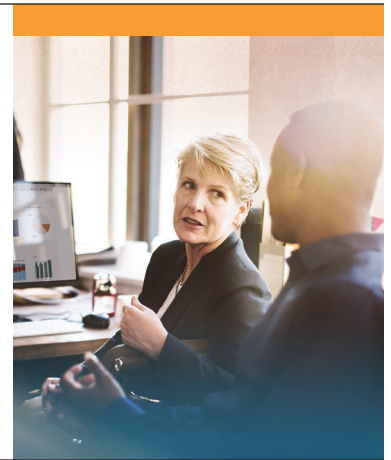
BUSINESS
INVESTMENTS



Liquidity Without Liquidation



Securities-Based Line of Credit Quick Facts



LINE OF CREDIT FEATURES	VARIABLE RATE REVOLVING	FIXED RATE NON-REVOLVING
LOAN AMOUNTS	\$250,000 MINIMUM	\$250,000 MINIMUM
PRICING	ADJUSTED ONE MONTH TERM SOFR PLUS A MARGIN	1, 3 OR 5 YEAR OPTIONS AVAILABLE
FEES/POINTS	NONE	NONE
TERM	DEMAND	DEMAND - FIXED RATE PERIOD, THEN CONVERTS TO A VARIABLE RATE
DRAWS	ANYTIME, BASED ON AVAILABILITY	MUST BE FULLY DRAWN IN 60 DAYS (PREFER 1-2 DRAWS)
ACCESS TO FUNDS	FED FUNDS WIRE, ACH	FED FUNDS WIRE, ACH
REGULAR INTEREST PAYMENTS	AUTOMATIC PAYMENT OF INTEREST MONTHLY	AUTOMATIC PAYMENT OF INTEREST MONTHLY
PRINCIPAL PAYDOWNS	FED FUNDS WIRE, ACH OR CHECK	FED FUNDS WIRE, ACH OR CHECK
ELIGIBLE SECURITIES	NON-QUALIFIED INVESTMENT ACCOUNTS WITH ELIGIBLE COLLATERAL	NON-QUALIFIED INVESTMENT ACCOUNTS WITH ELIGIBLE COLLATERAL

Securities-based lending is a non-purpose margin loan secured by eligible, marketable securities. It is non-purpose because the proceeds of the line of credit cannot be used to purchase securities. Securities-based lending has special risks and is not suitable for all investors. The risk of securities-based lending include: (i) market fluctuations that may cause the value of pledged assets to decline, (ii) a decline in the value of the pledged securities that could result in selling the securities to maintain equity, and (iii) possible adverse tax consequences as a result of selling securities. AccessTSC is offered exclusively by TriState Capital Bank through TriState Capital Bank's Digital Lending Platform (DLP).

Ask us how **AccessTSC** can help meet your clients' needs.

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BUSINESS
EXPANSION



LUXURY PURCHASES



TAXES OR OTHER
SEASONAL PAYMENTS



ACCESSTSC

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FIND YOUR BANKER

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