

SUGGESTED DOCUMENTS CHECKLIST

ATTENDEE:

DATE:

Please do your best to gather anything relevant for your complimentary confidential meeting from the items below.

INVESTMENT STATEMENTS

- Stocks
- Bonds
- Mutual Funds/ETFs
- 529 Plans
- Dividend/Interest Statements
- Other

EXPENSES

- Personal/Family Budget
- Credit Card Statements
- Mortgage Records
- Auto Loans
- Other

INSURANCE POLICIES

- Personal/Family Budget
- Credit Card Statements
- Mortgage Records
- Auto Loans
- Other

EMPLOYEE BENEFITS

- Pension/Profit-Sharing
- Employee Stock Ownership
- Workplace Benefits
- Other

RETIREMENT PLANS

- IRA, Keogh, Roth
- 401(k) / 403(b)
- Social Security Statement

INCOME TAX RETURNS

- This Year
- Prior Year