

GLEN ALLEN CLEMANS
CGC FINANCIAL SERVICES, LLC

5 Centerpointe Drive
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Phone: 503-670-1958
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www.cgcfinancialservices.com

March 19, 2021

This Brochure Supplement provides information about Glen A. Clemans that supplements the CGC Financial Services, LLC Brochure (Form ADV Part 2A). You should have received a copy of that Brochure. Please contact Glen A. Clemans at (503) 670-1958 or gclmans@cgcfinancialservices.com if you did not receive copy of CGC Financial Services, LLC Form ADV Part 2A or if you have any questions about the contents of this Brochure Supplement.

Additional information about Glen A. Clemans is available on the SEC's website at www.adviserinfo.sec.gov.

CGC Financial Services, LLC ("CGC") requires that individuals who give advice to clients must have a Series 65 or Series 66, state insurance license or equivalent, and two years of financial industry experience prior to becoming associated with CGC, an independent registered investment advisor.

GLEN A. CLEMANS
Year of Birth: 1962

Item 2 – Educational Background and Business Experience

Education

Bachelor of Arts, Portland State University, Portland, OR, 1986

Business Background

08/2006 to Present Investment Advisor Representative, CGC Financial Services, LLC
11/1989 to Present Registered Representative, Royal Alliance Associates
01/1987 to 11/1989 Registered Representative, Integrated Resources Equity Corporation

Item 3 – Disciplinary Information

Mr. Clemans and Royal Alliance Associates were subject to a client complaint received in December 2005 that alleged breach of contract and negligence. In order to avoid the legal costs and burdens associated with arbitration, Mr. Clemans and Royal Alliance Associates settled the claim in 2006. More information related to this matter is available at www.finra.org/brokercheck.

Item 4 – Other Business Activities

Glen Clemans is also a registered representative with Royal Alliance Associates, a FINRA registered broker-dealer and SEC registered investment advisor. Mr. Clemans is also licensed to sell insurance products through various insurance companies.

Item 5 – Additional Compensation

Mr. Clemans receives commissions based on the sales of securities or other investment products as a registered representative of Royal Alliance Associates and for the sale of insurance products. In addition, Mr. Clemans may receive additional ongoing 12b-1 distribution (sales and marketing) fees from investment companies in connection with mutual fund purchases. Because the receipt of commissions or fees by Mr. Clemans could present a conflict of interest, Clients are informed that they are under no obligation to use Mr. Clemans (or any other individual associated with CGC) for securities products.

Item 6 – Supervision

Tammi Ortega, Chief Compliance Officer, is responsible for supervising the services and advice provided to Clients of CGC Financial Services, LLC. Mr. Clemans prepares investment policies, forms, and procedures for Clients of the firm.

TAMMI JO ORTEGA
CGC FINANCIAL SERVICES, LLC

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This Brochure Supplement provides information about Tammi Jo Ortega that supplements the CGC Financial Services, LLC Brochure (Form ADV Part 2A). You should have received a copy of that Brochure. Please contact Glen Clemans at (503) 670-1958 or gclmans@cgcfinancialservices.com if you did not receive copy of CGC Financial Services, LLC Form ADV Part 2A or if you have any questions about the contents of this Brochure Supplement.

Additional information about Ms. Ortega is available on the SEC's website at www.adviserinfo.sec.gov.

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TAMMI JO ORTEGA, CFP
Year of Birth: 1973

Item 2 – Educational Background and Business Experience

Education

Master of Business Administration - Finance, Portland State University, 2001

Bachelor of Science - Electrical Engineering, Optics, Physics, Mathematics, New Mexico State University, 1996

Business Background

10/2015 to Present Investment Advisor Representative, CGC Financial Services, LLC

10/2015 to Present Registered Representative, Royal Alliance Associates, Inc.

07/2013 to 05/2015 Investment Advisor Representative, Etesian Wealth Advisors, Inc.

11/2012 to 07/2013 Senior Sales Operations Analyst, Tripwire

01/2009 to 11/2012 Principal, Creatively Adorned

07/2001 to 03/2008 Vice President, Equity Analyst, Columbia Management

Ms. Ortega holds the following professional designations: Certified Financial Planner™ (CFP®).

CERTIFIED FINANCIAL PLANNER™ practitioner, CFP® and federally registered CFP (with a flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (CFP Board).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with Clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined are necessary for the competent and professional delivery of financial planning services, and attain a bachelor’s degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and Client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by the CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their Clients.
- CFP® professionals who fail to comply with the above standards and requirements may be subject to the CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Item 3 – Disciplinary Information

Ms. Ortega has not been subject to any legal or disciplinary proceedings which would be considered material (or otherwise) to a Client’s evaluation of her or any of the services CGC Financial Services, LLC provides.

Item 4 – Other Business Activities

Ms. Ortega is also a registered representative with Royal Alliance Associates, a FINRA registered broker-dealer and SEC registered investment advisor.

Item 5 – Additional Compensation

Ms. Ortega receives commissions based on the sales of securities or other investment products as a registered representative of Royal Alliance Associates. In addition, Ms. Ortega may receive additional ongoing 12b-1 distribution (sales and marketing) fees from investment companies in connection with mutual fund purchases. Because the receipt of commissions or fees by Ms. Ortega could present a conflict of interest, Clients are informed that they are under no obligation to use Mr. Clemens (or any other individual associated with CGC) for securities products.

Item 6 – Supervision

Tammi Ortega, Chief Compliance Officer, is responsible for supervising the services and advice provided to Clients of CGC Financial Services, LLC. Ms. Ortega prepares investment policies, forms and procedures for Clients of the firm.

DAVENA M. YEE
CGC FINANCIAL SERVICES, LLC

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This Brochure Supplement provides information about Davena M. Yee that supplements the CGC Financial Services, LLC Brochure (Form ADV Part 2A). You should have received a copy of that Brochure. Please contact Glen Clemans at (503) 670-1958 or gclmans@cgcfinancialservices.com if you did not receive copy of CGC Financial Services, LLC Form ADV Part 2A or if you have any questions about the contents of this Brochure Supplement.

Additional information about Ms. Yee is available on the SEC's website at www.adviserinfo.sec.gov.

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DAVENA M. YEE
Year of Birth: 1979

Item 2 – Educational Background and Business Experience

Education

Bachelor of Science, Human Development and Family Sciences, Concentration in Family Finance, Oregon State University, Corvallis, Oregon 2002

Business Background

10/2016 to Present	Investment Advisor Representative
01/2011 to 10/2015	Office Manager
09/2006 to 01/2011	Client Service Specialist CGC Financial Services, LLC
11/2007 to Present	Registered Representative, Royal Alliance Associates, Inc.
02/2004 to 08/2006	Client Service Specialist, Pearson Financial Group
06/2003 to 02/2004	Client Service Specialist, Groshong and Associates
01/2002 to 06/2003	Office Manager, Marketing Coordinator, Carlson and Associates

Item 3 – Disciplinary Information

Ms. Yee has not been subject to any legal or disciplinary proceedings which would be considered material (or otherwise) to a Client's evaluation of her or any of the services CGC Financial Services, LLC provides.

Item 4 – Other Business Activities

Ms. Yee is also a registered representative with Royal Alliance Associates, a FINRA registered broker-dealer and SEC registered investment advisor.

Item 5 – Additional Compensation

Ms. Yee receives commissions based on the sales of securities or other investment products as a registered representative of Royal Alliance Associates. In addition, Ms. Yee may receive additional ongoing 12b-1 distribution (sales and marketing) fees from investment companies in connection with mutual fund purchases. Because the receipt of commissions or fees by Ms. Yee could present a conflict of interest, Clients are informed that they are under no obligation to use Mr. Clemens (or any other individual associated with CGC) for securities products.

Item 6 – Supervision

Tammi Ortega, Chief Compliance Officer, is responsible for supervising the services and advice provided to Clients of CGC Financial Services, LLC. Ms. Yee prepares investment policies, forms, and procedures for Clients of the Firm.