

Item 1 Cover Page



Part 2B of Form ADV: Individual Disclosure Brochure

for

David N. Gordon
Investment Advisor Representative

Sept 12, 2023

This brochure supplement provides information about *David N. Gordon* that supplements Trustmont Advisory Group, Inc. brochure. You should have received a copy of that brochure. Please contact *David N. Gordon*, Investment Advisor Representative if you did not receive the Trustmont Advisory Group, Inc. brochure or if you have any questions about this supplement.

Additional information about *David N. Gordon* is available on the SEC's website:
www.adviserinfo.sec.gov

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Item 2 Educational Background and Business Experience

David N. Gordon is an Investment Advisor with Trustmont Advisory Group, Inc and a Registered Representative with Trustmont Financial Group, Inc. David holds his series 7, 6, 65 and 63 securities licenses. David has been a financial advisor since 1997. He formerly was a registered representative with both MetLife and the Northwestern Mutual Financial Network. David received his Bachelor of Music degree from Berklee College of Music in Boston, MA. He was born in 1964.

Item 3 Disciplinary Information

N.A.

Item 4 Other Business Activities

David N. Gordon is licensed in various states for Life and Health Insurance. David is a registered representative and receives commissions and service ('trail') fees from the sale of certain investment products, including mutual funds. The conflict between receiving fees vs. commissions is that fees are for service rendered, whereas commissions give the representative an incentive to recommend investment products based on compensation received, rather than on the client's needs. Nevertheless, David has a fiduciary obligation to recommend what is in the best interest of his clients. For more details of the fiduciary obligation, see Item 6 below. Chapter President American Financial Education Alliance, Melville, NY 11747. This is an IRS approved 501(c)3 nonprofit to provide local communities with unbiased financial education.

Item 5 Additional Compensation

N.A.

Item 6 Supervision

Trustmont Advisory Group, Inc. has created policies and procedures reasonably designed to prevent violation of the Investment Advisers Act of 1940 and the rules that the Security and Exchange Commission have adopted under the Act. Trustmont Advisory Group, Inc. does not oversee the direct investment advice that David N. Gordon gives to a client or potential client. Clients or potential clients should rely exclusively on the expertise and knowledge of David N. Gordon for all advice and recommendations. Trustmont Advisory Group, Inc. does have guidelines on the advice that David N. Gordon provides. These guidelines include but are not limited to: As an investment advisor representative David N. Gordon must provide advice that is in the client's best interest and must not place their interests ahead of the client's interest under any circumstances. David N. Gordon must follow specific fiduciary obligations when dealing with clients, obligations such as but not limited to: the duty to have a reasonable, independent basis for the investment advice provided, the duty to ensure that investment advice is suitable to meeting the client's

individual objectives, needs and circumstances and a duty to be loyal to clients. Engaging in any fraudulent or deceitful conduct with clients or potential client is strictly prohibited. Examples of fraudulent conduct include but are not limited to: misrepresentation, nondisclosure of fees and/or misappropriation of client funds.

David N. Gordon is supervised by MaryLynne Hixenbaugh Contact information is on the cover page of this supplement.