

Part 2B of Form ADV: *Brochure Supplement*

Cliff Corso
18925 Base Camp RD STE 203
Monument, CO 80132
(888) 883-2663

Advisors Asset Management, Inc.
18925 Base Camp RD STE 203
Monument, CO 80132

06/01/2022

This brochure supplement provides information about Clifford D Corso that supplements the Advisors Asset Management, Inc. brochure. You should have received a copy of that brochure. Please contact John Webber if you did not receive Advisors Asset Management, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Clifford D Corso is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2. Educational Background and Business Experience

Cliff Corso, President & CIO

Year of Birth: 1961

Education:

Mr. Corso graduated from Yale University in 1984 with a BA Economics. He graduated from Columbia University in 1990 with an MBA.

Business Background:

2021-Present	President and CIO of Advisors Asset Management, Inc
2016-2021	Exec Chairman of Insight Investment
2010-2016	CEO of Cutwater Asset Management Corp. (CAMC)
1996-2010	President and CIO of MBIA Capital Management Corp.
1994-1996	Vice President of #IA MBIA Securities Corp.
1993-1994	Vice President of Shields Asset Management
1991-1993	Vice President of National Westminster Bank
1984-1991	Vice President of Marine Midland Bank

Item 3. Disciplinary Information

Mr. Corso has no history of any disciplinary events.

Item 4. Other Business Activities

Mr. Corso does not receive any additional compensation from third parties for providing investment advice to its clients.

Employees of Advisors Asset Management, Inc. may recommend that clients place securities transactions through Advisors Asset Management, Inc. as a broker-dealer. In connection with certain transactions, commissions may be generated in addition to the advisory fee billed. These commissions may be higher or lower than other broker's commissions, but are believed to be reasonable and competitive. Clients are not obligated to place such transactions through our firm's broker-dealer division or to follow the investment recommendations at all.

Item 5. Additional Compensation

As disclosed in our firm Brochure, employees of Advisors Asset Management, Inc. may receive additional compensation and/or fees from third-party investment advisers for providing investment advice to its clients.

Item 6. Supervision

Advisors Asset Management, Inc. will continuously monitor the underlying securities in client accounts and perform at least annual reviews of account holdings for all

clients. Accounts are reviewed for consistency with client investment strategy, asset allocation, risk tolerance and performance relative to the appropriate benchmark. More frequent reviews may be triggered by changes in an account holder's personal, tax or financial status. Economic and macroeconomic specific events may also trigger reviews.

John Webber is the Chief Compliance Officer of Advisors Asset Management, Inc. and is responsible for the review and supervisions of our firm employees.

Mr. Webber's telephone number is (888) 969-2663.

Part 2B of Form ADV: *Brochure Supplement*

James R. Costas
18925 Base Camp RD STE 203
Monument, CO 80132
(888) 883-2663

Advisors Asset Management, Inc.
18925 Base Camp RD STE 203
Monument, CO 80132

06/01/2022

This brochure supplement provides information about James R. Costas that supplements the Advisors Asset Management, Inc. brochure. You should have received a copy of that brochure. Please contact John Webber if you did not receive Advisors Asset Management, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about James R. Costas is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2. Educational Background and Business Experience

James R. Costas, Executive Vice President and Portfolio Manager

Year of Birth: 1954

Education:

Mr. Costas graduated from Ithaca College in 1977 with a Bachelor of Science in Business Administration.

Business Background:

2002-Present	Executive Vice President of Advisors Asset Management, Inc.
1997-2002	Director and Trader of SunTrust Bank
1988-1997	Vice President and Trader of Prudential Securities, 03/1988 to 03/1997.
1982-1988	Vice president and Trader of EF Hutton, 03/1982 to 03/1988.

Item 3. Disciplinary Information

Mr. Costas has no history of any disciplinary events.

Item 4. Other Business Activities

Mr. Costas does not receive any additional compensation from third parties for providing investment advice to its clients.

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John Webber is the Chief Compliance Officer of Advisors Asset Management, Inc. and is responsible for the review and supervisions of our firm employees.

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Part 2B of Form ADV: *Brochure Supplement*

Brian W. Gilbert
18925 Base Camp RD STE 203
Monument, CO 80132
(888) 883-2663

Advisors Asset Management, Inc.
18925 Base Camp RD STE 203
Monument, CO 80132

06/01/2022

This brochure supplement provides information about Brian W. Gilbert that supplements the Advisors Asset Management, Inc. brochure. You should have received a copy of that brochure. Please contact John Webber if you did not receive Advisors Asset Management, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Brian W. Gilbert is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2. Educational Background and Business Experience

Brian W. Gilbert, 1st Vice President and Portfolio Manager

Year of Birth: 1966

Education:

Mr. Gilbert graduated from the University of Colorado in 1989 with a Bachelor of Science in Business with an emphasis in Finance.

Business Background:

2019-Present	Senior Vice President & Portfolio Manager of Advisors Asset Management, Inc.
2006-2019	1st Vice President & Portfolio Manager of Advisors Asset Management, Inc.
1999-2004	Sr. Manager, Schwab Institutional
1995-1999	Registered Representative, Charles Schwab
1991-1994	Registered Representative, Murphey Favre, Inc.
1990-1991	Registered Representative, Merrill Lynch

Item 3. Disciplinary Information

Mr. Gilbert has no history of any disciplinary events.

Item 4. Other Business Activities

Mr. Gilbert does not receive any additional compensation from third parties for providing investment advice to its clients.

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Item 5. Additional Compensation

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Item 6. Supervision

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John Webber is the Chief Compliance Officer of Advisors Asset Management, Inc. and is responsible for the review and supervisions of our firm employees.

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Part 2B of Form ADV: *Brochure Supplement*

Jeremiah B Golden
28025 IH 10 West
Boerne, TX 78006
(888) 969-2663

Advisors Asset Management, Inc.
18925 Base Camp RD STE 203
Monument, CO 80132

06/01/2022

This brochure supplement provides information about Jeremiah B Golden that supplements the Advisors Asset Management, Inc. brochure. You should have received a copy of that brochure. Please contact John Webber if you did not receive Advisors Asset Management, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Jeremiah B Golden is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2. Educational Background and Business Experience

Jeremiah B Golden 1st Vice President and Portfolio Manager

Year of Birth: 1975

Education:

Mr. Golden earned a BS in Political Science from Texas A&M University and his JD and MBA from the University of Houston. He holds FINRA 7, 24, 65 and 66 registrations and is a CFA® charterholder.

Business Background:

2019-Present	Executive Director & Portfolio Manager, Advisors Asset Management, Inc.
2014-2019	1st Vice President & Portfolio Manager, Advisors Asset Management, Inc.
2012-2014	1 st Vice President & Sales Trader, Advisors Asset Management, Inc.
2011- 2012	1 st Vice President, Investments, Advisors Asset Management, Inc.
2008-2011	Vice President, Investments, Advisors Asset Management, Inc.
2004-2008	Advisory Consultant/Advisor Services, Advisors Asset Management, Inc.

Item 3. Disciplinary Information

Mr. Golden has no history of any disciplinary events.

Item 4. Other Business Activities

Mr. Golden does not receive any additional compensation from third parties for providing investment advice to its clients.

Employees of Advisors Asset Management, Inc. may recommend that clients place securities transactions through Advisors Asset Management, Inc. as a broker-dealer. In connection with certain transactions, commissions may be generated in addition to the advisory fee billed. These commissions may be higher or lower than other broker's commissions, but are believed to be reasonable and competitive. Clients are not obligated to place such transactions through our firm's broker-dealer division or to follow the investment recommendations at all.

Item 5. Additional Compensation

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Item 6. Supervision

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John Webber is the Chief Compliance Officer of Advisors Asset Management, Inc. and is responsible for the review and supervisions of our firm employees.

Mr. Webber's telephone number is (888) 969-2663.

Part 2B of Form ADV: *Brochure Supplement*

Jacob Johnston
4343 Commerce Court, Suite 214
Lisle, IL 60532
(866) 606-7220

Advisors Asset Management, Inc.
18925 Base Camp RD STE 203
Monument, CO 80132

06/01/2022

This brochure supplement provides information about Jacob Johnston that supplements the Advisors Asset Management, Inc. brochure. You should have received a copy of that brochure. Please contact John Webber if you did not receive Advisors Asset Management, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Jacob Johnston is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2. Educational Background and Business Experience

Jacob Johnston, Vice President, Portfolio Manager

Year of Birth: 1984

Education:

Mr. Johnston graduated from Western Illinois University with a Bachelor of Business in 2007. He graduated from Keller Graduate School of Management with a Masters of Accounting and Financial Management in 2012. In addition, he is also a CFA® charterholder.

Business Background:

2022-Present	Executive Director, Portfolio Manager, Advisors Asset Management, Inc.
2016-2022	Vice President, Portfolio Manager, Advisors Asset Management, Inc.
2007-2016	Vice President, Equity Research, Advisors Asset Management, Inc.

Item 3. Disciplinary Information

Mr. Johnston has no history of any disciplinary events.

Item 4. Other Business Activities

Mr. Johnston does not receive any additional compensation from third parties for providing investment advice to its clients.

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Item 5. Additional Compensation

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John Webber is the Chief Compliance Officer of Advisors Asset Management, Inc. and is responsible for the review and supervisions of our firm employees.

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Steven Majoris
18925 Base Camp RD STE 203
Monument, CO 80132
(888) 883-2663

Advisors Asset Management, Inc.
18925 Base Camp RD STE 203
Monument, CO 80132

06/01/2022

This brochure supplement provides information about Steven Majoris that supplements the Advisors Asset Management, Inc. brochure. You should have received a copy of that brochure. Please contact John Webber if you did not receive Advisors Asset Management, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Steven Majoris is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2. Educational Background and Business Experience

Steven Majoris, Assistant Portfolio Manager

Year of Birth: 1990

Education:

Mr. Majoris graduated from Rowan University in 2013 with a Bachelor of Science in Finance

Business Background:

2020-Present	Assistant Portfolio Manager at Advisors Asset Management, Inc.
2018-2020	Portfolio Analyst at Advisors Asset Management, Inc.
2014-2018	Portfolio Administrator at Advisors Asset Management, Inc.
2013-2014	Financial Advisor at The Investment Center

Item 3. Disciplinary Information

Mr. Majoris has no history of any disciplinary events.

Item 4. Other Business Activities

Mr. Majoris does not receive any additional compensation from third parties for providing investment advice to its clients.

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risk tolerance and performance relative to the appropriate benchmark. More frequent reviews may be triggered by changes in an account holder's personal, tax or financial status. Economic and macroeconomic specific events may also trigger reviews.

John Webber is the Chief Compliance Officer of Advisors Asset Management, Inc. and is responsible for the review and supervisions of our firm employees.

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Part 2B of Form ADV: *Brochure Supplement*

Tracy Nolte
18925 Base Camp RD STE 203
Monument, CO 80132
(888) 883-2663

Advisors Asset Management, Inc.
18925 Base Camp RD STE 203
Monument, CO 80132

06/01/2022

This brochure supplement provides information about Brian W. Gilbert that supplements the Advisors Asset Management, Inc. brochure. You should have received a copy of that brochure. Please contact John Webber if you did not receive Advisors Asset Management, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Brian W. Gilbert is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2. Educational Background and Business Experience

Tracy Nolte, Vice President and Portfolio Manager

Year of Birth: 1969

Education:

Mr. Nolte graduated from the University of the Incarnate Word in 2017 with a Bachelor of Science in Business with an emphasis in Finance.

Business Background:

2018-Present	Vice President, Portfolio Manager, Advisors Asset Management, Inc.
2017-2018	Vice President, Portfolio Analyst, Advisors Asset Management, Inc.
2005-2017	Vice President, Portfolio Analytics, Advisors Asset Management, Inc.
2003-2004	Business Technology Analyst, USAA Investment Management Company
1997-2002	Business Technology Manager, USAA Investment Management Company
1996-1997	Financial Services Representative, Citicorp Investment Services

Item 3. Disciplinary Information

Mr. Nolte has no history of any disciplinary events.

Item 4. Other Business Activities

Mr. Nolte does not receive any additional compensation from third parties for providing investment advice to its clients.

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John Webber is the Chief Compliance Officer of Advisors Asset Management, Inc. and is responsible for the review and supervisions of our firm employees.

Mr. Webber's telephone number is (888) 969-2663.

Part 2B of Form ADV: *Brochure Supplement*

Sonja Reed
18925 Base Camp RD STE 203
Monument, CO 80132
(888) 883-2663

Advisors Asset Management, Inc.
18925 Base Camp RD STE 203
Monument, CO 80132

06/01/2022

This brochure supplement provides information about Sonja Reed that supplements the Advisors Asset Management, Inc. brochure. You should have received a copy of that brochure. Please contact John Webber if you did not receive Advisors Asset Management, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Sonja Reed is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2. Educational Background and Business Experience

Sonja Reed, Vice President, Portfolio Analyst

Year of Birth: 1978

Education:

Ms. Reed earned a BS in Accounting from the University of Nebraska in 2000 and an MBA from Marquette University in 2005. She holds FINRA SIE, 7, 24 and 66 registrations.

Business Background:

2018-Present	Vice President, Portfolio Analyst at Advisors Asset Management
2016-2018	Vice President, Financial Analysis at Advisors Asset Management
2015-2016	Senior Operations Specialist at Advisors Asset Management
2013-2014	ETF Fixed Income Specialist at DRW Trading Group
2009-2012	Operations Analyst at DRW Trading Group
2007-2008	Senior Hedge Fund Accountant at Black Diamond Capital Management
2005-2007	Multiple Positions at Wells Capital Management (acquired Strong Capital Management)
2000-2005	Multiple Positions at Strong Capital Management

Item 3. Disciplinary Information

Ms. Reed has no history of any disciplinary events.

Item 4. Other Business Activities

Ms. Reed does not receive any additional compensation from third parties for providing investment advice to its clients.

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Mr. Webber's telephone number is (888) 969-2663.

Part 2B of Form ADV: *Brochure Supplement*

Leonard B. Reininger
18925 Base Camp RD STE 203
Monument, CO 80132
(719) 488-6106

Advisors Asset Management, Inc.
18925 Base Camp RD STE 203
Monument, CO 80132

06/01/2022

This brochure supplement provides information about Leonard B. Reininger that supplements the Advisors Asset Management, Inc. brochure. You should have received a copy of that brochure. Please contact John Webber if you did not receive Advisors Asset Management, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Leonard B. Reininger is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2. Educational Background and Business Experience

Leonard B. Reininger, Vice President of Credit Research

Year of Birth: 1955

Education:

Mr. Reininger received a Master's Degree in Public Administration from the City University of New York, Baruch College. He also has a Diploma in Financial Management from New York University and a Bachelor's Degree from the State University of New York, College at New Paltz.

Business Background:

2014-Present	Vice President Credit Research with Advisors Asset Management
2010-2014	Director of Risk Management - Fundamental Credit, American Express
2006-2010	Credit Manager, US Federal Home Loan Bank of Seattle
2002-2006	CEO and Chief Credit Officer, Church Street Capital
1997-2002	Assistant Director of Credit, Dresdner RCM Global Investors
1994-1997	Vice President of Municipal Financial Advising and Credit, Fleet Financial Group
1993-1994	Investment Manager, Prudential Investment Corp.
1988-1993	Assistant Vice President, Moody's Investors Service

Item 3. Disciplinary Information

Mr. Reininger has no history of any disciplinary events.

Item 4. Other Business Activities

Mr. Reininger does not receive any additional compensation from third parties for providing investment advice to its clients.

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Part 2B of Form ADV: *Brochure Supplement*

Scott I. Colyer
18925 Base Camp RD STE 203
Monument, CO 80132
(888) 883-2663

Advisors Asset Management, Inc.
18925 Base Camp RD STE 203
Monument, CO 80132

06/01/2022

This brochure supplement provides information about Scott I. Colyer that supplements the Advisors Asset Management, Inc. brochure. You should have received a copy of that brochure. Please contact John Webber if you did not receive Advisors Asset Management, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Scott I. Colyer is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2. Educational Background and Business Experience

Scott I. Colyer, CEO

Year of Birth: 1959

Education:

Mr. Colyer graduated from Pittsburg State University in 1982 with a Bachelor of Arts. He graduated from the Washburn University School of Law in 1985 with a Juris Doctorate.

Business Background:

2001-Present	CEO of Advisors Asset Management, Inc.
1998-2000	Senior Vice President and Investment Executive of Century Securities Associates, Inc.
1994-1998	1 st Vice President of PaineWebber Incorporated
1988-1994	1 st Vice President of Prudential Securities

Item 3. Disciplinary Information

Mr. Colyer has no history of any disciplinary events.

Item 4. Other Business Activities

Mr. Colyer does not receive any additional compensation from third parties for providing investment advice to its clients.

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John Webber
28025 IH 10 West
Boerne, TX 78006
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Advisors Asset Management, Inc.
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06/01/2022

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Additional information about John Webber is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2. Educational Background and Business Experience

John Webber Chief Compliance Officer

Year of Birth: 1973

Education:

Mr. Webber graduated from Loyola University New Orleans in 1996 with a Bachelor of Business Administration in Finance.

He graduated from Brooklyn Law School in 2001 with a Juris Doctor.

Business Background:

2011-Present	CCO of Advisors Asset Management, Inc.
2006-2011	Compliance Officer for Advisors Asset Management, Inc.
2001-2006	Compliance Examiner for NASD (FINRA)

Item 3. Disciplinary Information

Mr. Webber has no history of any disciplinary events.

Item 4. Other Business Activities

Mr. Webber does not receive any additional compensation from third parties for providing investment advice to its clients.

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Item 5. Additional Compensation

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Item 6. Supervision

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John Webber is the Chief Compliance Officer of Advisors Asset Management, Inc. and is responsible for ensuring the firm's policies and procedures are reasonably designed to prevent violations of the federal securities laws.

Mr. Webber's telephone number is (888) 969-2663.

Part 2B of Form ADV: *Brochure Supplement*

Frederick Weihmiller
18925 Base Camp RD STE 203
Monument, CO 80132
(888) 883-2663

Advisors Asset Management, Inc.
18925 Base Camp RD STE 203
Monument, CO 80132

08/02/2022

This brochure supplement provides information about Frederick Weihmiller that supplements the Advisors Asset Management, Inc. brochure. You should have received a copy of that brochure. Please contact John Webber if you did not receive Advisors Asset Management, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Frederick Weihmiller is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2. Educational Background and Business Experience

Frederick Weihmiller, Portfolio Analyst

Year of Birth: 1992

Education:

Mr. Weihmiller earned a BS in Finance from The University of Northern Colorado in 2017. He holds FINRA SIE, 7, and 66 registrations.

Business Background:

2022-Present	Portfolio Analyst at Advisors Asset Management
2020-2022	Portfolio Administrator at Advisors Asset Management
2019-2020	Financial Analyst at Matrix Trust Company (Acquired TD Ameritrade Trust Company)
2018-2019	Sr. Portfolio Accountant at TD Ameritrade Trust Company
2017-2018	Portfolio Accountant at TD Ameritrade Trust Company

Item 3. Disciplinary Information

Mr. Weihmiller has no history of any disciplinary events.

Item 4. Other Business Activities

Mr. Weihmiller does not receive any additional compensation from third parties for providing investment advice to its clients.

Employees of Advisors Asset Management, Inc. may recommend that clients place securities transactions through Advisors Asset Management, Inc. as a broker-dealer. In connection with certain transactions, commissions may be generated in addition to the advisory fee billed. These commissions may be higher or lower than other broker's commissions, but are believed to be reasonable and competitive. Clients are not obligated to place such transactions through our firm's broker-dealer division or to follow the investment recommendations at all.

Item 5. Additional Compensation

As disclosed in our firm Brochure, employees of Advisors Asset Management, Inc. may receive additional compensation and/or fees from third-party investment advisers for providing investment advice to its clients.

Item 6. Supervision

Advisors Asset Management, Inc. will continuously monitor the underlying securities in client accounts and perform at least annual reviews of account holdings for all

clients. Accounts are reviewed for consistency with client investment strategy, asset allocation, risk tolerance and performance relative to the appropriate benchmark. More frequent reviews may be triggered by changes in an account holder's personal, tax or financial status. Economic and macroeconomic specific events may also trigger reviews.

John Webber is the Chief Compliance Officer of Advisors Asset Management, Inc. and is responsible for the review and supervisions of our firm employees.

Mr. Webber's telephone number is (888) 969-2663.