

Client Name:

Date:

Wealth Analysis Worksheet

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Wealth Planning Worksheet

Complete the following information to assist your advisory team in the preparation of financial advice and retirement income projections.

Client Profile

Investor

Spouse

First Name:	_____	_____
Last Name:	_____	_____
Email:	_____	_____
Address:	_____	
City:	_____	State: _____ Zip: _____
Home Phone:	_____	_____
Cell Phone:	_____	_____
Work Phone:	_____	_____
Fax:	_____	_____
Date of Birth:	_____	_____
Retirement Date:	_____	_____

Retirement Income Need

Monthly Retirement Income Need: _____

(Please enter your gross, or pre-tax, monthly income need in today's dollars.)

Retirement Goals & Objectives

Please list any personal financial or retirement goals below.

(Examples may include buying a vacation home, funding a child's education, gifting your estate to charity, etc.)

Retirement Accounts (IRA, Roth IRA, 401k, 403b, or other tax-advantaged accounts)

Account Type/Registration <i>401(k), IRA, 403(b), etc.</i>	Owner <i>Husband/Wife, John/Jane, Joint</i>	Amount	Annual Contributions	Available for Retirement?	
				Yes	No
1) _____	_____	_____	_____	Yes	No
2) _____	_____	_____	_____	Yes	No
3) _____	_____	_____	_____	Yes	No
4) _____	_____	_____	_____	Yes	No
5) _____	_____	_____	_____	Yes	No

Non-Retirement Investments (Brokerage Account, Trust, Checking, or other after-tax accounts)

Account Type/Registration <i>Checking, Brokerage, Trust, etc.</i>	Owner <i>Husband/Wife, John/Jane, Joint</i>	Amount	Annual Contributions	Available for Retirement?	
				Yes	No
1) _____	_____	_____	_____	Yes	No
2) _____	_____	_____	_____	Yes	No
3) _____	_____	_____	_____	Yes	No
4) _____	_____	_____	_____	Yes	No
5) _____	_____	_____	_____	Yes	No

Retirement Income (Social Security, Pensions, Rental Property Income, etc.)

Description/Type	Owner	Monthly Amount	Growth / COLA%
1) _____	_____	_____	_____
2) _____	_____	_____	_____
3) _____	_____	_____	_____
4) _____	_____	_____	_____
5) _____	_____	_____	_____

Real Estate

			Value	Balance Owed	Rate(%)	Term(Years)
Primary Residence	Rental/Investment	Second Home	_____	_____	_____	_____
Primary Residence	Rental/Investment	Second Home	_____	_____	_____	_____
Primary Residence	Rental/Investment	Second Home	_____	_____	_____	_____
Primary Residence	Rental/Investment	Second Home	_____	_____	_____	_____

Life Insurance

		Death Benefit (Amount)	Cash Value	Monthly/Annual Premium	Policy Type	
Investor	Spouse	_____	_____	_____	Term (Yrs)_____	Whole Life
					Universal Life	Variable Life
					Variable Universal Life	
Investor	Spouse	_____	_____	_____	Term (Yrs)_____	Whole Life
					Universal Life	Variable Life
					Variable Universal Life	

Disability Insurance

		Coverage (Amount)	Benefit Period	Waiting/Elimination Period	Monthly/Annual Premium
Investor	Spouse	_____	_____	_____	_____
Investor	Spouse	_____	_____	_____	_____

Long-Term Care Insurance

		Coverage (Amount)	Benefit Period	Waiting/Elimination Period	Monthly/Annual Premium
Investor	Spouse	_____	_____	_____	_____
Investor	Spouse	_____	_____	_____	_____

Initial Meeting Checklist

Forms & Paperwork

Please prepare copies of the following items in order to assist your advisory team in preparing portfolio growth projections and retirement plans.

Investment Account Statements

- 401(k), 403(b), Employer-Sponsored Retirement Plan Statements
 - *Plan Investment Options, Employer Matches, etc.*
- IRA Statements
- Brokerage Account Statements

Retirement Income

- Pension Plan Statements (Lump Sum vs. Annuity Options)
- Social Security Statements
- Rental Income Schedule

Insurance & Annuity Policies

- Insurance Policies
- Annuity Policies

Legal & Tax Documents

- Trusts & Wills
- Tax Returns