



CUSTOMER FEE SCHEDULE

TYPE OF FEE	FEE AMOUNT
Advantage Account	
Advantage Account - Basic (check writing only)	Free
Advantage Account - Standard (debit card & Check writing)	\$125
Advantage Check Orders	
Individuals	
Initial Order - Standard Wallet	Free
Re-order Standard Wallet	\$10
Initial Order - Standard Duplicate	\$20
Re-order Standard Duplicate	\$20
Corporate	
Initial Order - Three on a page	\$105.52
Re-order - Three on a page	\$105.52
Other Advantage Account Fees	
Insufficient Funds	\$0
Stop Payment	\$25
Returned Deposit Check	\$10
Returned Check	\$20
Additional Debit Card	\$15
Overnight delivery fee charged per card	
Other Fees	
Prepayment on Sale (Payment prior to settlement date)	\$15 or margin interest, whichever is greater
Postage and Handling (Transaction fee on Confirm)	\$8
Overnight Express Mail - Standard	\$15 Additional fee for priority overnight mail
Issuance of Physical Stock Certificate	\$500
Alternative Investments (Outside Investment Fee)	\$100 per position annually
Transfer Fees	
Investment Account Transfer to Another Broker Dealer (ACAT)	\$95
Physical Certification Reject Fee	\$50
Direct Registration Reject Fee	\$75
Physical Security Safekeeping Charge	\$150
Wire Transfer Fee	
Domestic	\$25
International	\$45
Retirement Account Fees - Wells Fargo Clearing Services as Custodian	
IRA - Annual Maintenance	\$55
IRA - Termination Fee (Waived for over 70 1/2 or death distributions)	\$95
Annual Account Fees	
Standard Brokerage Inactivity Fee	\$65
Custodial Accounts (UGMA & UTMA) Inactivity Fee	\$45

Annual account fees will be charged in September and will be based on the type of account you maintain on June 30.

The fees will be waived if one of the following criteria is met:

- 1 A household relationship with combined assets totaling \$500,000 or more as of June 30.
- 2 Any Investment Account, IRA, or Advantage Account with mutual fund position of \$100,000 or more.
(Money-market funds, closed-end funds and exchange traded funds do not count toward this exemption.)
- 3 Managed accounts that pay a fee in lieu of commissions (normal quarterly asset management fees will continue to apply).
- 4 Investment Accounts that have completed at least six billable trades during the period July 1 of the previous year to June 30 in the current year (does not apply to Advantage, IRA or ESA accounts).
- 5 Delivery/Receive Versus Payment Accounts.
- 6 Investment Accounts opened on or after January 1 of the current year.
- 7 Individual participant's accounts that are part of a Qualified Retirement Plan (QRP), excluding IRA accounts.

All fees subject to change without notice. Other fees may apply. Ask your representative for more information

Updated February 2022