

The Hock Group

"Modern Advisors for Modern Families"

BAIRD

Private Wealth
Management

Checklist for Divorce Process

Income

- Pay Stubs for Last Three Months (including spouse)
- Last Three Years Tax Returns (including all schedules)
- Record of Bonuses Received
- Stock Option Agreements (scheduled)
- Statement Copies of Deferred Comp Plans (including restrictions and current value)
- Net Worth Statements

Social Security Information

- Current Forms with Projected Benefits

Expenses

- Monthly/Annual Expenses (see Proposed Spending Plan sheet)
- Bank Statements
- Current Loan Statements (secured / unsecured)
 - o Credit Cards
 - o Personal Loans
 - Residence
 - Vacation Home(s)
 - Rental Investment Property / Timeshare
 - Auto / Boat
 - Miscellaneous
- Outstanding Taxes/Liens Due
- Child Support
 - o Copies of Court-mandated Minimums
- Spousal Maintenance
 - o Notice of Temporary Amounts and Date of Inception
- Miscellaneous (please list below):
 1. _____
 2. _____
 3. _____
 4. _____



— **Real Estate**

- Home/Primary
 - o Fair Market Value
 - o Cost Basis
 - o Mortgage Information
 - o Date of Original Mortgage
 - o Balance Remaining on Mortgage
 - o Time Remaining on Mortgage
 - o Principle and Interest Rate
- Other
 - 1. _____
 - 2. _____
 - 3. _____
 - 4. _____

— **Personal Ownership**

- Furniture Valuation / Appraisals
- Club Memberships
- Collectibles / Jewelry / Appraisals
- Safety Deposit Boxes
- Storage Space
- Intellectual Rights / Patents
- Frequent Flyer Miles / Reward Points
- Pre-Paid Funeral / Burial Plots

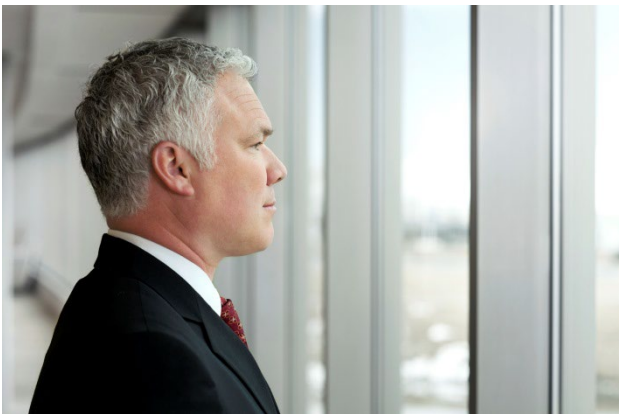


— Business

- Corporate Tax Returns
- Profit and Loss Statements
- Buy Sell Agreements
- Partnership Agreements
- Benefit Booklets
- Appraised Value
- Income and Expense Reports
- Balance Sheet

— Investments

- Joint Accounts
 - o Mutual Funds, Variable & Fixed Annuities, Variable Universal Life Policies
 - o Brokerage and Bank Accounts
- IRA Accounts
- 401(k) Accounts, 403(b) Accounts, ESOP Plans, etc
- Pension Plan Documents
 - o Projected Benefits at Retirement Date
 - o Current Vested Benefit
 - o COLA Provisions
 - o Employee Plan Information Booklet
- Brokerage Statements (including 529 Plans, UTMA/UGMA and Educational IRA accounts of children)



—— **Estate Planning Documents**

- Trusts: RLT, ILIT, GRAT, CRT, FLP
- Appraisals
- Current Loan Statements (see “Expenses”)

—— **Insurance**

- Life Insurance
 - o Death Benefit
 - o Cash Value
 - o Owner (insured and beneficiary)
- Death Benefits and Cash Values
- Owner (insured and beneficiary)
- Long Term Care Policies
- Home Owners
- Car Insurance
- Umbrella Policy

Contact The Hock Group today for more information:

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