

If our unwavering commitment to innovation, communication and integrity appeal to you, it would be our pleasure to learn more about your unique financial needs and goals. We invite you to visit www.westsideim.com to learn more about our firm or contact us to schedule a no-obligation consultation.



A Registered Investment Advisor

www.westsideim.com

The Financial Consultants of Westside Investment Management are also Registered Representatives with and securities offered through LPL Financial, member FINRA/SIPC.

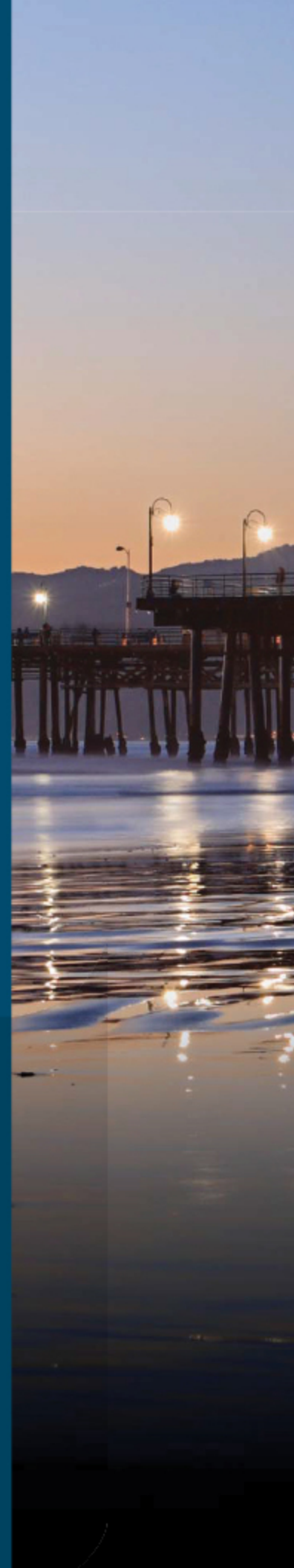


A Registered Investment Advisor

Innovation. Communication. Integrity.

Our mission is to help our clients make sound financial decisions for their future through personal integrity, client education and outstanding service.

Through our holistic wealth management and investment management process, we take time to understand your unique goals and aspirations and create financial strategies that align with the needs of you and your loved ones. Our team holds ourselves accountable to our core principles, which we believe can help position you to grow and protect your wealth.



“With 200+ years of combined experience, our knowledgeable team provides a framework for success, including the ongoing service, support and tools you need to make informed decisions about your financial future.” – Todd Clark, Founding Partner

Our Holistic Services

We truly care about your values, your entire financial picture and the well-being of you and your family. Because we are an independent firm, we have access to a wide range of professional financial services to help ensure that your wealth is strategically managed and invested.

Retirement planning

- Traditional and Roth IRAs
- SEP and simple IRAs
- 401(k) rollover services
- Pension and corporate retirement plan consulting

Investment management

- Mutual funds
- Stocks and bonds
- Variable and fixed annuities
- REITs
- ETFs
- Fund of hedge funds
- Limited partnerships

Tax and gifting strategies

- Donor advised funds
- Trusts*
- Tax loss harvesting
- Tax reduction strategies

Insurance

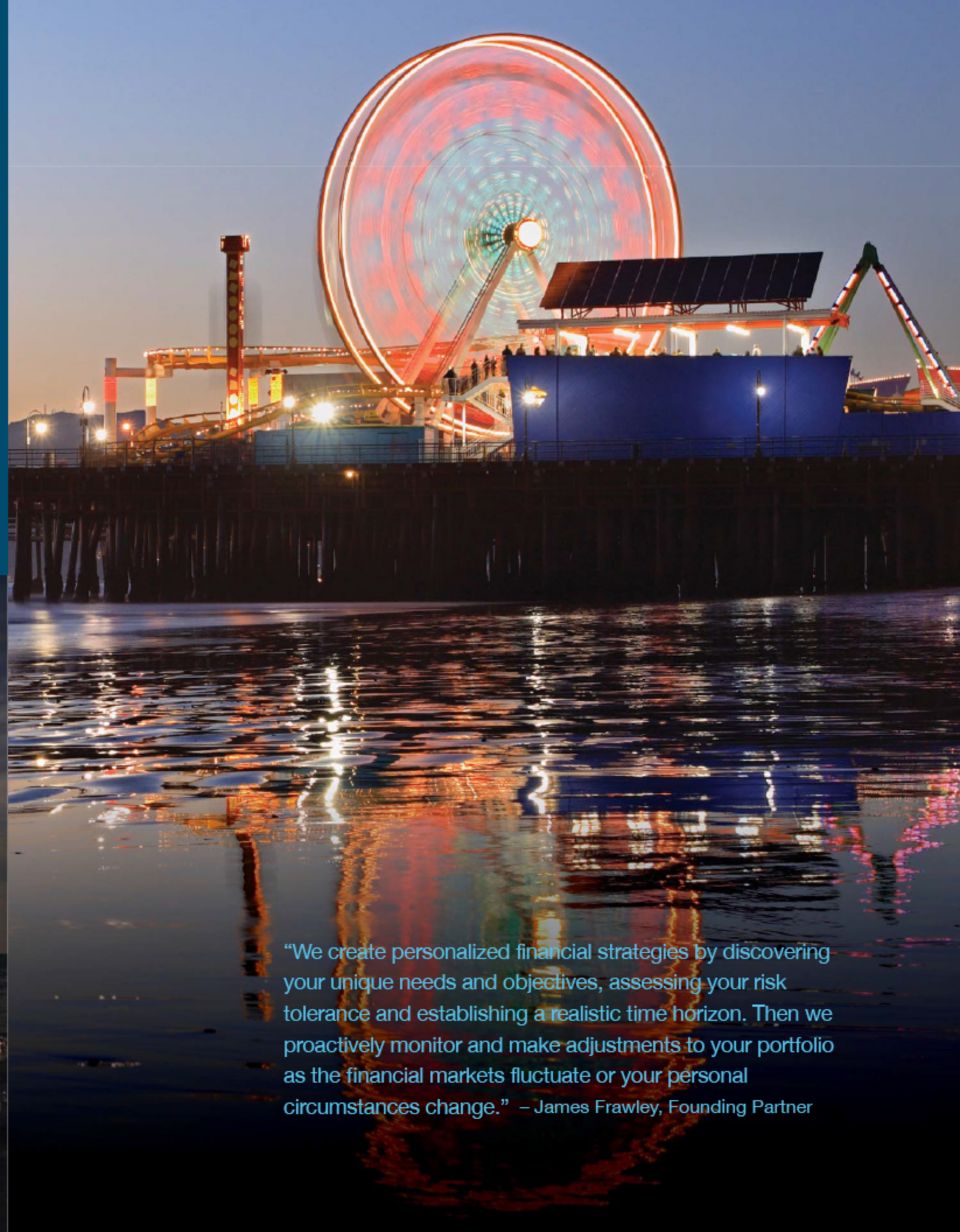
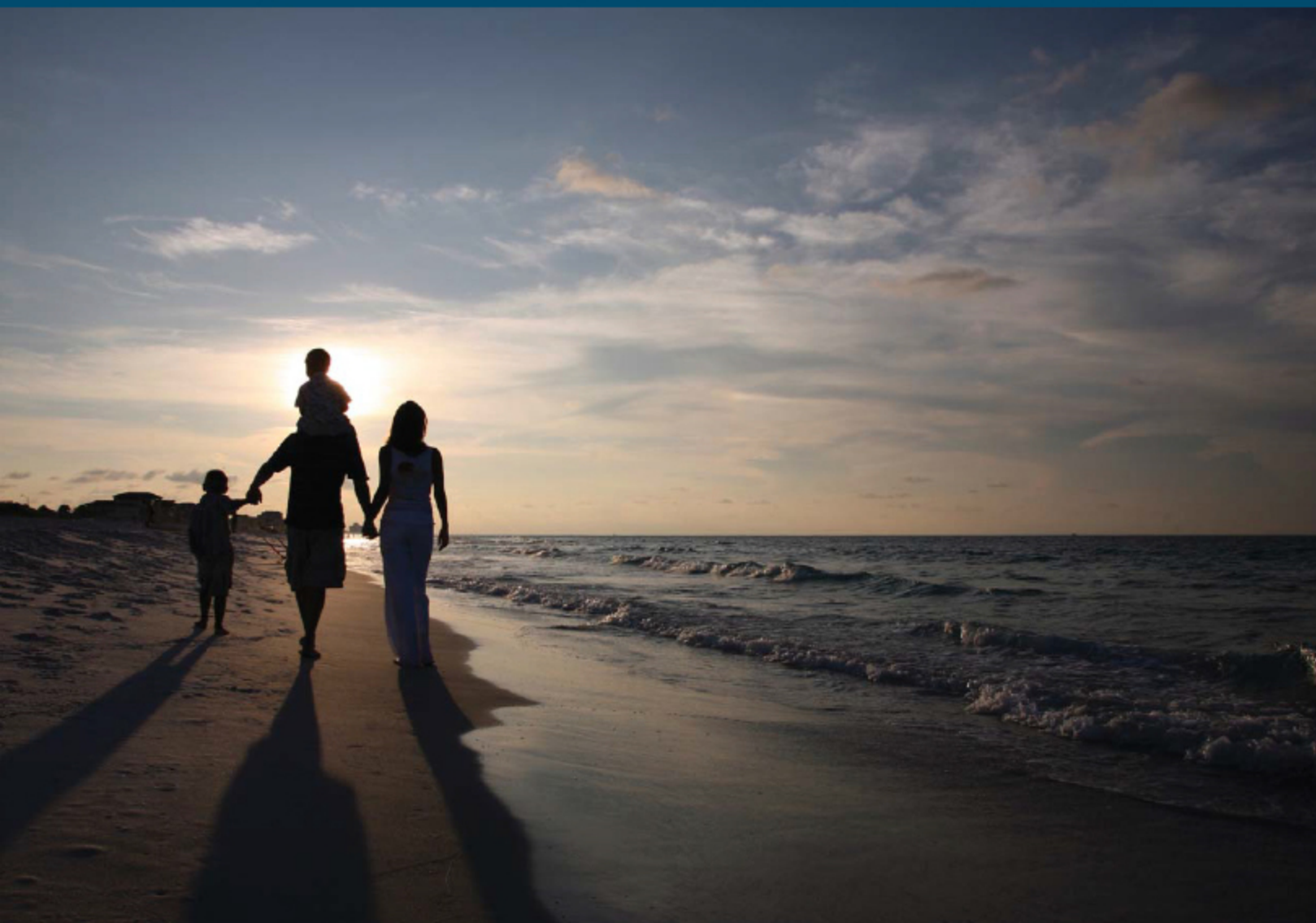
- Life
- Disability
- Long-term care
- Health

* LPL Financial Representatives offer access to Trust Services through The Private Trust Company N.A, an affiliate of LPL Financial.

Independent, But Not Alone

Westside Investment Management, a Registered Investment Advisor, is backed by the resources of LPL Financial, the nation's largest independent broker/dealer.* This relationship provides us with industry-leading, professional research and a comprehensive array of tools, resources and technology. Because LPL Financial has no proprietary products to sell, we are able to make informed recommendations and provide you with professional advice.

*As reported by *Financial Planning* magazine, June 1996–2017, based on total revenue.



“We create personalized financial strategies by discovering your unique needs and objectives, assessing your risk tolerance and establishing a realistic time horizon. Then we proactively monitor and make adjustments to your portfolio as the financial markets fluctuate or your personal circumstances change.” – James Frawley, Founding Partner



Westside Investment Management Service Model

Westside Investment Management has focused on guiding our clients into and throughout retirement, and helping them plan for the unexpected and prepare a legacy for their loved ones or meaningful charities. We specialize in working with young professionals, retirees, families, successful business owners and executives because we understand the unique challenges they face and have the knowledge to help them navigate the complicated financial and investment landscape.

As a client of ours, you benefit from our commitment to serve you as we would serve our own family. To accomplish this,

we restrict our client base to a select group of investors. We concentrate our efforts on developing and maintaining a plan aligned with your investment history, risk tolerance and goals for the future, while establishing a long-lasting relationship with you based on mutual trust and understanding.

With access to top industry research and experience in wealth management, investment management, retirement planning, tax and gifting strategies and insurance, our team of professionals are positioned to help you truly live the life you envision.



Our Core Principles

Innovation. Westside Investment Management has earned a reputation for excellence by providing innovative, professional strategies to address our clients' short- and long-term goals. We strive to uphold this reputation.

Communication. Our goal is to keep you informed by effectively communicating opportunities and important industry news through weekly market updates, quarterly newsletters, conference calls and semi-annual meetings. We also proactively educate you on services and strategies that specifically address your evolving needs.

Integrity. You can count on us to represent your best interests, listen and respond to your needs, and maintain an environment focused on honesty, sincerity and personal privacy.