Data Lake Solution

AWS Implementation Guide

November 2016

Last updated June 2019 (see revisions)
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About This Guide
This implementation guide discusses architectural considerations and configuration steps for deploying the data lake solution on the Amazon Web Services (AWS) Cloud. It includes links to AWS CloudFormation templates that launch, configure, and run the AWS services required to deploy this solution on AWS, using AWS best practices for security and availability.

The guide is intended for IT infrastructure architects, administrators, and DevOps professionals who have practical experience architecting on the AWS Cloud.

Overview
Many Amazon Web Services (AWS) customers require a data storage and analytics solution that offers more agility and flexibility than traditional data management systems. A data lake is an increasingly popular way to store and analyze data because it allows companies to store all of their data, structured and unstructured, in a centralized repository. An effective data lake should provide low-cost, scalable, and secure storage, and support search and analysis capabilities on a variety of data types.

The AWS Cloud provides many of the building blocks required to help customers implement a secure, flexible, and cost-effective data lake. To support our customers as they build data lakes, AWS offers the data lake solution, which is an automated reference implementation that deploys a highly available, cost-effective data lake architecture on the AWS Cloud along with a user-friendly console for searching and requesting datasets. The solution is intended to address common customer pain points around conceptualizing data lake architectures and transforming and analyzing data. The solution automatically configures the core AWS services necessary to easily tag, search, share, and govern specific subsets of data across a company or with other external users. This solution allows users to catalog new datasets, upload datasets with searchable metadata, and to create data profiles for existing datasets in Amazon Simple Storage Service (Amazon S3) with minimal effort.

The data lake solution stores and registers datasets of any size in their native form in the secure, durable, highly-scalable Amazon S3. Customers can upload datasets with searchable metadata and integrate with AWS Glue and Amazon Athena to transform and analyze that data.

The solution automatically crawls your data sources, identifies data formats, and then suggests schemas and transformations, so you don’t have to spend time hand-coding data flows. Additionally, user-defined tags are stored in Amazon DynamoDB to add business-relevant context to each dataset. The solution enables companies to create simple governance
policies to require specific tags when datasets are registered with the data lake. Users can browse available datasets or search on dataset attributes and tags to quickly find and access data relevant to their business needs.

Additionally, the data lake solution includes federated templates that allow you to launch a version of the solution that is ready to integrate with your existing SAML identity provider such as Microsoft Active Directory or Okta. For more information, see Appendix A for Active Directory and Appendix B for Okta.

**Cost**

You are responsible for the cost of the AWS services used while running the data lake solution. The total cost for running this solution depends on the amount of data being loaded, requested, stored, processed, and presented. For full details, see the pricing webpage for each AWS service you will be using in this solution.
Architecture Overview
Deploying this solution builds the following environment in the AWS Cloud.

Figure 1: Data lake solution architecture on AWS

The solution uses AWS CloudFormation to deploy the infrastructure components supporting this data lake reference implementation. At its core, this solution implements a data lake API, which leverages Amazon API Gateway to provide access to data lake microservices (AWS Lambda functions). These microservices provide the business logic to create data packages,
upload data, search for existing packages, add interesting data to a cart, generate data manifests, and perform administrative functions. These microservices interact with Amazon S3, AWS Glue, Amazon Athena, Amazon DynamoDB, Amazon Elasticsearch Service (Amazon ES), and Amazon CloudWatch Logs to provide data storage, management, and audit functions.

The solution creates a data lake console and deploys it into an Amazon S3 bucket configured for static website hosting, and configures an Amazon CloudFront distribution to be used as the solution’s console entrypoint. During initial configuration, the solution also creates a default administrator role and sends an access invite to a customer-specified email address. Note that if you deploy a federated stack, you must manually create user and admin groups. For information on Active Directory, see Appendix A. For information on Okta, see Appendix B.

The solution uses an Amazon Cognito user pool to manage user access to the console and the data lake API. See Appendix C for detailed information on each of the solution’s components.

Solution Features

This data lake solution provides the following features:

- **Data lake reference implementation**: Leverage this data lake solution out-of-the-box, or as a reference implementation that you can customize to meet unique data management, search, and processing needs.

- **User interface**: The solution automatically creates an intuitive, web-based console UI hosted on Amazon S3 and delivered by Amazon CloudFront. Access the console to easily manage data lake users, data lake policies, add or remove data packages, search data packages, and create manifests of datasets for additional analysis.

- **Command line interface (CLI)**: Use the provided CLI or API to easily automate data lake activities or integrate this solution into existing data automation for dataset ingress, egress, and analysis.

- **Managed storage layer**: Secure and manage the storage and retrieval of data in a managed Amazon S3 bucket and use a solution-specific AWS Key Management Service (AWS KMS) key to encrypt data at rest.

- **Data access flexibility**: Leverage pre-signed Amazon S3 URLs or use an appropriate AWS Identity and Access Management (IAM) role for controlled yet direct access to datasets in Amazon S3.

- **Data transformation and analysis**: Upload datasets with searchable metadata that integrates with AWS Glue and Amazon Athena to transform and analyze the data.
• **Federation sign in:** Optionally, you can enable users to sign in through a SAML identity provider (IdP) such as Microsoft Active Directory Federation Services (AD FS) and Okta.

### AWS CloudFormation Templates

This solution uses AWS CloudFormation to automate the deployment of the data lake solution on the AWS Cloud. It includes the following AWS CloudFormation templates, which you can download before deployment:

**View template** data-lake-deploy.template: Use this template to launch the data lake solution and all associated components. The default configuration deploys built-in authentication, authorization and user/group management. You can also customize the template based on your specific needs. This template, in turn, launches the following nested stacks:

- **data-lake-storage.template:** This template deploys the Amazon S3, Amazon ES, and Amazon DynamoDB components of the solution.

- **data-lake-services.template:** This template deploys the AWS Lambda microservices and the necessary IAM roles and policies. In addition, it deploys the AWS KMS resources for the solution.

- **data-lake-api.template:** This template deploys the Amazon API Gateway resources.

### Automated Deployment

Before you launch the automated deployment, please review the architecture, configuration, network security, and other considerations discussed in this guide. Follow the step-by-step instructions in this section to configure and deploy the data lake solution into your account.

**Time to deploy:** Approximately 30 minutes

### What We’ll Cover

The procedure for deploying this architecture on AWS consists of the following steps. For detailed instructions, follow the links for each step.

**Step 1. Launch the Stack**

- Launch the AWS CloudFormation template into your AWS account.
- Enter values for required parameters: **Stack Name, Administrator Name, Administrator Email, Cognito Domain**
- Review the other template parameters and adjust if necessary.

**Step 2. Sign in to the Data Lake Console**
• Sign in with the URL and temporary password sent to the Administrator email.
• Review the solution’s online guide.

**Step 1. Launch the Stack**
The AWS CloudFormation template automatically deploys the data lake solution on the AWS Cloud.

<table>
<thead>
<tr>
<th>Note: You are responsible for the cost of the AWS services used while running this solution. See the Cost section for more details. For full details, see the pricing webpage for each AWS service you will be using in this solution.</th>
</tr>
</thead>
</table>

1. Sign in to the AWS Management Console and click the button to the right to launch the data-lake-deploy AWS CloudFormation template.
   You can also download the template as a starting point for your own implementation.

2. The template is launched in the US East (N. Virginia) Region by default. To launch the data lake solution in a different AWS Region, use the region selector in the console navigation bar.
   | Note: This solution uses Amazon Cognito, Amazon Athena, and AWS Glue which are currently available in specific AWS Regions only. Therefore, you must launch this solution in an AWS Region where these services are available. ¹ |

3. On the Select Template page, verify that you selected the correct template and choose Next.

4. On the Specify Details page, assign a name to your data lake solution stack.

5. Under Parameters, review the parameters for the template and modify them as necessary. This solution uses the following default values.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Default</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Administrator Name</td>
<td>&lt;Requires input&gt;</td>
<td>The user name for the initial solution Administrator. After the solution is deployed, this Administrator can create and manage other users, including additional Administrators.</td>
</tr>
<tr>
<td>Administrator Email</td>
<td>&lt;Requires input&gt;</td>
<td>A valid email associated with the Administrator user</td>
</tr>
<tr>
<td>Cognito Domain</td>
<td>&lt;Requires input&gt;</td>
<td>Choose an available domain prefix for your Amazon Cognito hosted domain. The solution uses Amazon Cognito for user authentication for Kibana. Defining a</td>
</tr>
</tbody>
</table>

¹ For the most current service availability by AWS Region, see https://aws.amazon.com/about-aws/global-infrastructure/regional-product-services/
<table>
<thead>
<tr>
<th>Parameter</th>
<th>Default</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>domain name</td>
<td></td>
<td>for the user pool is a pre-requirement for that.</td>
</tr>
</tbody>
</table>

6. Choose **Next**.

7. On the **Options** page, you can specify tags (key-value pairs) for resources in your stack and set additional options, and then choose **Next**.

8. On the **Review** page, review and confirm the settings. Be sure to check the box acknowledging that the template will create AWS Identity and Access Management (IAM) resources with custom names.

9. Choose **Create** to deploy the stack.

   You can view the status of the stack in the AWS CloudFormation console in the **Status** column. After the stack launches, the three nested stacks will be launched in the same AWS Region. Once all of the stacks and stack resources have successfully launched, you will see the message **CREATE_COMPLETE**. This can take 30 minutes or longer.

**Step 2. Sign in to the Data Lake Console**

After the data lake stack launch completes the Administrator will receive an email that contains the URL to the data lake console and a temporary password.

   **Note:** This email will be sent from no-reply@verificationemail.com. Check your email configuration to make sure you do not block or filter emails from this domain.

1. Click the link in the email to open the solution console, and then sign in with your email address and the temporary password.

2. You will be prompted to set a new password, and then you will be signed in to the console.

3. In the top navigation bar, choose **Support** to open the online guide.


**Security**

The AWS Cloud provides a scalable, highly reliable platform that helps customers deploy applications and data quickly and securely. When you build systems on AWS infrastructure, security responsibilities are shared between you and AWS, which can reduce your operational burden. For more information about security on AWS, visit the [AWS Security Center](https://aws.amazon.com/security/).
User Authorization

Authorized users access the data lake using the solution-generated console, the data lake CLI, or direct calls to the data lake APIs. Users sign in to the data lake console with their user name (by default, their email) and password. Authentication to the console is managed in an Amazon Cognito user pool.

Requests to the data lake API are HTTPS-based and must be signed with an access key (access key and secret access key combination) to confirm the user’s identity. Administrators can grant API access on an individual user basis. If a user is granted API access, an access key is generated to identify that user’s calls to the data lake API. Each user has the ability to generate their own secret access keys to allow them to work with the data lake CLI or make direct API calls.

See Appendix C for additional component-level security information.

Additional Resources

AWS services

- AWS CloudFormation
- AWS Lambda
- Amazon S3
- Amazon CloudFront
- Amazon DynamoDB
- Amazon API Gateway
- Amazon Athena

AWS webpages

- What is a Data Lake?
- Data Lakes and Analytics on AWS
Appendix A: Federated Template – Active Directory

For customers who want to integrate with their existing SAML identity provider such as Microsoft Active Directory, this data lake solution includes another AWS CloudFormation template that deploys the same workflow with Active Directory (AD) Federation configuration.

AWS CloudFormation Templates

This solution includes the following AWS CloudFormation template, which you can download before deployment:

- **data-lake-deploy-federated.template**: Use this template to launch a version of the solution that is ready to integrate with your existing SAML identity provider such Microsoft Active Directory.

This template, in turn, launches the following nested stacks:

- **data-lake-storage.template**: This template deploys the Amazon S3, Amazon ES, and Amazon DynamoDB components of the solution.
- **data-lake-services.template**: This template deploys the AWS Lambda microservices and the necessary IAM roles and policies. In addition, it deploys the AWS KMS resources for the solution.
- **data-lake-api.template**: This template deploys the Amazon API Gateway resources.

Automated Deployment

Before you launch the automated deployment, please review the architecture, configuration, network security, and other considerations discussed in this guide. Follow the step-by-step instructions in this section to configure and deploy the data lake solution with AD Federation into your account.

**Time to deploy**: Approximately 30 minutes

**What We’ll Cover**

The procedure for deploying this architecture on AWS consists of the following steps. For detailed instructions, follow the links for each step.

**Step 1. Launch the Stack**

- Launch the AWS CloudFormation template into your AWS account.
Enter values for required parameters: **Stack Name, Cognito Domain, AD FS Hostname**.

**Step 2. Complete AD Federation**

- Manually configure the AD Federation.

**Step 1. Launch the Stack**

**Note:** You are responsible for the cost of the AWS services used while running this solution. See the **Cost** section for more details. For full details, see the pricing webpage for each AWS service you will be using in this solution.

1. Sign in to the AWS Management Console and click the button to the right to launch the data-lake-deploy-federated AWS CloudFormation template. You can also download the template as a starting point for your own implementation.

2. The template is launched in the US East (N. Virginia) Region by default. To launch the data lake solution in a different AWS Region, use the region selector in the console navigation bar.

**Note:** This solution uses Amazon Cognito, Amazon Athena, and AWS Glue which are currently available in specific AWS Regions only. Therefore, you must launch this solution in an AWS Region where these services are available.

3. On the **Select Template** page, verify that you selected the correct template and choose **Next**.

4. On the **Specify Details** page, assign a name to your data lake solution stack.

5. Under **Parameters**, review the parameters for the template and modify them as necessary. This solution uses the following default values.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Default</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cognito Domain</td>
<td>&lt;Requires input&gt;</td>
<td>Choose an available domain prefix for your Amazon Cognito hosted domain. The solution uses Amazon Cognito for user authentication for Kibana. Defining a domain name for the user pool is a pre-requisite for that.</td>
</tr>
<tr>
<td>AD FS Hostname</td>
<td>&lt;Requires input&gt;</td>
<td>Insert the hostname of your AD FS endpoint.</td>
</tr>
</tbody>
</table>

6. Choose **Next**.

7. On the **Options** page, you can specify tags (key-value pairs) for resources in your stack and set additional options, and then choose **Next**.

---

<sup>2</sup> For the most current service availability by AWS Region, see [https://aws.amazon.com/about-aws/global-infrastructure/regional-product-services/](https://aws.amazon.com/about-aws/global-infrastructure/regional-product-services/)
8. On the **Review** page, review and confirm the settings. Be sure to check the box acknowledging that the template will create AWS Identity and Access Management (IAM) resources with custom names.

9. Choose **Create** to deploy the stack.

   You can view the status of the stack in the AWS CloudFormation console in the **Status** column. After the stack launches, the three nested stacks will be launched in the same AWS Region. Once all of the stacks and stack resources have successfully launched, you will see the message **CREATE_COMPLETE**. This can take 30 minutes or longer.

**Step 2. Complete AD Federation**

After the data lake stack launches, you must complete the AD Federation configuration.

1. Sign into the AWS Management Console and navigate to the stack **Outputs** tab.

2. Note the values of the **RelyingPartyURL**, **RelyingPartyTrustedIdentifier**, and **LogoutTrustedURL** keys.

3. Navigate to the **IdentityProvidersUrl** link.

4. On the **Federation** console, in the **Identity providers** section under **Active SAML Providers**, select **Show signing certificate**.

   ![Federation console](image)

5. Copy the certificate containing the public key. This key will be used by the identity provider to verify the signed logout request to a .cer file (for example, `datalake.cer`) on your AD FS server.

**Add Amazon Cognito as a relying party in AD FS:**

AD FS federation occurs with the participation of two parties; the identity or claims provider (Active Directory) and the relying party (Cognito). The relying party is a federation partner that is represented by a claims provider trust in the federation service. Use the following procedure to configure a new relying party in Active Directory Federation Services:
1. In the AD FS Management Console, right-click **AD FS**, and select **Add Relying Party Trust**.

![AD FS Management Console screenshot](image)

2. In the **Add Relying Party Trust Wizard**, select **Start**.

3. Select **Select Data Source**. Then, select the **Enter data about the relying party manually** radio button, and choose **Next**.

![Add Relying Party Trust Wizard screenshot](image)

4. Select **Specify Display Name** and set a Display Name (For example, Data Lake Solution on AWS).

5. Select **Configure Certificate** and select **Next** to accept the default values.

6. Select **Configure URL**. Then, select **Enable support for the SAML 2.0 WebSSO protocol** and set the URL replying party (use the value you noted from **RelyingPartyURL** output parameter). Then, select **Next**.
7. Select **Configure Identifiers** and set the **Relying party trusted identifier** (use the value you noted from `RelyingPartyTrustedIdentifier` output parameter). Then, select **Next**.

8. Select **Next** until you reach the end of the wizard.

**Enable Sign Out Flow**

Enabling this flow sends a signed logout request to the Active Directory when logout is called. The AD will process the signed logout request and logout your user from the Amazon Cognito session.
**Note:** The AD FS server expects a signed logout request. You must configure the signing certificate provided by Amazon Cognito with your AD FS.

Use the following procedure to configure this endpoint for consuming logout responses from your Active Directory Federation Services:

1. In the AD FS Management Console, double-click on the **relying party**, select the **Endpoints** tab, and select **Add SAML**.

2. Set the **Endpoint type** to **SAML Logout**; **Binding** to **POST**, and set the **Trusted URL** value (use the value you noted from **LogoutTrustedURL** output parameter). Then, select **OK**.

3. Select the **Signature** tab, and add the certificate you copied from **Federation** console (datalake.cer), and select **OK**.

**Custom Claim Rules**

Microsoft AD FS uses Claims Rule Language to issue and transform claims between claims providers and relying parties. A claim is information about a user from a trusted source. The trusted source is asserting that the information is true, and that source has authenticated the user. The claims provider is the source of the claim. This can be information pulled from an attribute store such as Active Directory. Amazon Cognito user pools support SAML 2.0 federation with post-binding endpoints. This eliminates the need for your app to retrieve or parse SAML assertion responses, because the user pool directly receives the SAML response from your identity provider via a user agent. Your user pool acts as a service provider on behalf of your application.
Use the following procedure to configure a new relying party in Active Directory Federation Services:

Note that this procedure configures all members of the **DataLake Admins** groups **Role** outgoing claim type as **Admin**.

1. In the AD FS Management Console, right-click on the **relying party**, and select **Edit Claim Issuance Policy**.

2. Specify a **claim rule name**.

3. Select **Attribute store**. Note that this can be Active Directory if your users are in Active Directory.

4. Map an LDAP Attribute (for example, **E-Mail-Address**) to Outgoing Claim Type (for example, **E-Mail Address**).

Make sure that your AD FS populates the following required attributes for your user pool in the SAML assertion: **fullName**, **email**, **nameId**, **groups**, and **isAdmin**.
5. Sign into the AWS Management Console, navigate to the stack **Outputs** tab.

6. Select the **Value** of the **ConsoleUrl** key. You will be redirected to the AD FS Management Console.
Appendix B: Federated Template – Okta

For customers who want to use Okta as a Security Assertion Markup Language 2.0 (SAML 2.0) identity provider (IdP), the resources provisioned by the data-lake-deploy.template can be modified to integrate with Okta. After you deploy the data-lake-deploy.template, use the following procedures to integrate the solution with Okta.

Configure the Okta Account

While the data lake stack launches, you can navigate to the Okta website to configure an account with an Okta application.

Create an account or sign into an existing one

Note: If you already have an Okta account, sign in and navigate to the Dashboard page. Skip to Create an application.

1. Navigate to the Okta website and choose Sign Up Today.
2. In the Free Trial window, enter your contact information and choose Get Started. Okta sends a confirmation email to the address you provide.
3. Use the login information from the confirmation email to sign into your account. You will be prompted to change your password.

Create an application

1. Select Dashboard to go to the admin dashboard.
2. On the admin dashboard, under Shortcuts, select Add Applications.
4. In the **Create a New Application Integration** dialog:
   a. For **Platform**, select **Web**.
   b. For **Sign on method**, select **SAML 2.0**.
   c. Select **Create**.

![Create a New Application Integration](image)

**Configure SAML integration for your Okta application**

1. On the **Create SAML Integration** page, under **General Settings**, enter a name for your application and choose **Next**.
2. Under **SAML Settings**, do the following:
   a. For **Single sign on URL**, enter:
      
      \[https://<cognito_domain_prefix>.auth.<region>.amazoncognito.com/saml2/idpresponse\]

      **Note**: You can find the **Domain prefix** in the **Amazon Cognito console** on the **Domain name** tab of the management page for your user pool.

   b. For **Audience URI (SP Entity ID)**, enter:
      
      \[urn:amazon:cognito:sp:<cognito_user_pool_id>\]

      **Note**: You can find the ID in the **Amazon Cognito console** on the **General settings** tab of the management page for your user pool.
3. Leave **Default RelayState** blank.

4. Under **Attribute Statements**, add the following information:
   - Verify that **Name format** is set to **Unspecified**
   - Select **Add Another**
   - Copy the values from the table below into the corresponding fields:

<table>
<thead>
<tr>
<th>Name</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td><a href="http://schemas.xmlsoap.org/ws/2005/05/identity/claims/givenname">http://schemas.xmlsoap.org/ws/2005/05/identity/claims/givenname</a></td>
<td>user.lastName</td>
</tr>
<tr>
<td><a href="http://schemas.xmlsoap.org/ws/2005/05/identity/claims/name">http://schemas.xmlsoap.org/ws/2005/05/identity/claims/name</a></td>
<td>user.firstName</td>
</tr>
<tr>
<td><a href="http://schemas.xmlsoap.org/ws/2005/05/identity/claims/emailaddress">http://schemas.xmlsoap.org/ws/2005/05/identity/claims/emailaddress</a></td>
<td>user.email</td>
</tr>
</tbody>
</table>

5. Under **Group Attribute Statements**, add the following information:
   - Verify that the **Name format** is set to **Unspecified**
   - Select **Add Another**
   - Copy the values from the table below to the corresponding fields
   - For **Filter**, select the drop-down arrow and choose the filter identified in the table and enter the value in the field:
### Name | Filter | Value
--- | --- | ---
http://schemas.xmlsoap.org/claims/Group | Matches regex | .*
http://schemas.microsoft.com/ws/2008/06/identity/claims/role | Equals | admin

6. Select **Next**.
7. Under section 3, **Help Okta Support**, select whether you are a customer or partner.
8. Select **Finish**.

**Configure users and groups**

**Note:** If you already have users and groups, skip to Assign users to your Okta application.

1. Under **Directory**, select **People**.
2. Select **Add Person**. In the window, enter the applicable information to add the person.
3. Under **Directory**, select **Groups**.
4. Create the groups and assign users to the groups.
   Members of the **admin** group will receive administrator access to the solution when they sign in to the console.

**Assign users to your Okta application**

1. Under **Assignments, Assign**, select **Assign to People**.
2. Next to the user you want to assign, select **Assign**.
3. Optional: For **User Name**, enter a user name, or leave as the user's email address.
4. Select **Save and Go Back**. Your user is assigned.
5. Select **Done**.

**Complete Amazon Cognito Federation**

After the data lake stack launches and the Okta application is created, you must complete the Amazon Cognito federation configuration.

1. On the Cognito console, under **General settings, Attributes**, scroll down to the custom attributes section, select **Add another attribute**, and add the following custom attribute:
2. Select **Save changes**.

3. Under **General settings, App Clients**, locate the **data-lake-ui** app client and select **Show Details**.

4. Select the **Set attribute read and write permissions** link to access the **Attributes** section.

5. Verify that the following readable and writable attributes are checked as listed in the table below (if any of them are not checked, be sure to select them; if other attributes are checked, be sure to uncheck them). Checking these attributes allows the app client to access them.

<table>
<thead>
<tr>
<th>Type</th>
<th>Name</th>
<th>Min Length</th>
<th>Max Length</th>
<th>Mutable</th>
</tr>
</thead>
<tbody>
<tr>
<td>string</td>
<td>groups</td>
<td>1</td>
<td>2048</td>
<td>checked</td>
</tr>
</tbody>
</table>
### Readable Attributes
- email
- family name
- name
- custom:display name
- custom:role
- custom:accesskey

### Writable Attributes
- email
- family name
- name
- custom:display name
- custom:role
- custom:accesskey

6. Select **Save app client changes**.

7. Under **Okta, Sign On**, copy the link location of **Identity Provider metadata**.
8. Under **Federation, Identity providers**, set the metadata document using the link copied in the previous step.

9. Under **Federation, Attribute mapping**, select the **SAML** tab and then select **Add SAML attribute**.
10. Create the following mappings for the Okta identity provider:

<table>
<thead>
<tr>
<th>SAML attribute</th>
<th>User pool Attribute</th>
</tr>
</thead>
<tbody>
<tr>
<td><a href="http://schemas.xmlsoap.org/ws/2005/05/identity/claims/givenname">http://schemas.xmlsoap.org/ws/2005/05/identity/claims/givenname</a></td>
<td>Name</td>
</tr>
<tr>
<td><a href="http://schemas.xmlsoap.org/ws/2005/05/identity/claims/displayname">http://schemas.xmlsoap.org/ws/2005/05/identity/claims/displayname</a></td>
<td>custom:display_name</td>
</tr>
<tr>
<td><a href="http://schemas.microsoft.com/ws/2008/06/identity/claims/role">http://schemas.microsoft.com/ws/2008/06/identity/claims/role</a></td>
<td>custom:role</td>
</tr>
<tr>
<td><a href="http://schemas.xmlsoap.org/ws/2005/05/identity/claims/surname">http://schemas.xmlsoap.org/ws/2005/05/identity/claims/surname</a></td>
<td>Family Name</td>
</tr>
<tr>
<td><a href="http://schemas.xmlsoap.org/claims/Group">http://schemas.xmlsoap.org/claims/Group</a></td>
<td>custom:groups</td>
</tr>
<tr>
<td><a href="http://schemas.xmlsoap.org/ws/2005/05/identity/claims/emailaddress">http://schemas.xmlsoap.org/ws/2005/05/identity/claims/emailaddress</a></td>
<td>Email</td>
</tr>
</tbody>
</table>

11. Select **Save changes**.

12. Under **App integration, App client settings**, update **App client data-lake-ui** settings to use your new identity provider:

   - For **Enable Identity Providers**, select **Okta**.
   - For **CallBack URL(s)**, navigate to the AWS CloudFormation console, open the stack **Outputs** tab and copy the URL defined in the **Value** of the **ConsoleUrl** key.
   - For **Sign out URL(s)**, enter:
     https://<your_domain>-admin.okta.com/login/admin/signout
   - For **Allowed OAuth Flows**, select **Implicit grant**.
   - For **Allowed OAuth Scopes**, select **email**, **openid**, and **profile**.

13. Verify your configuration is set up appropriately.
14. Select **Save changes**.

15. Under **Federation, Identity providers**, select the **SAML** button.

16. In the **Active SAML Providers** section, select **Show signing certificate**.

17. Copy the certificate containing the public key. This key will be used by the identity provider to verify the signed logout request to a .cer file (for example, `datalake.cer`) on your Okta server. Make sure to include the beginning and end tags on the certificate. The result should look like this:

```
-----BEGIN CERTIFICATE-----
(Insert the signing certificate information here)
-----END CERTIFICATE-----
```

**Enable Sign Out Flow**

Enabling the sign out flow sends a signed logout request to Okta when logout is called. Okta will process the signed logout request and log your user out of the Amazon Cognito session.

**Note:** Okta expects a signed logout request so you must configure the signing certificate provided by Amazon Cognito with your Okta application.

Complete the following procedure to configure the endpoint for consuming logout responses from your Okta application:
1. On the Okta website, select **Applications** to go to the active applications dashboard.
2. Select the data lake application you created in **Configure the Okta Account**.
3. Select the **General** tab, scroll down to **SAML Settings** and choose **Edit**.
4. Under **General Settings**, select **Next**.
5. Under **SAML Settings**, select **Show Advanced Settings** and configure the logout parameters:
   a. Check the **Enable Single Logout** option to display additional fields.
   b. For **Single Logout URL**, enter
      https://<cognito_domain>.auth.<region>.amazoncognito.com/saml2/logout
   c. For **SP Issuer**, enter Cognito.
   d. For **Signature Certificate**, browse for the certificate file you copied (for example, datalake.cer) and select **Upload Certificate**.
6. Select **Next** and then **Finish**.

**Update the Data Lake Console Configuration File in Amazon S3**

After configuring all the Amazon Cognito and Okta parameters, you are ready to return to the data lake console (in your Amazon S3 bucket) and update its configuration in order to activate login federation.

1. In the AWS Management Console, navigate to Amazon S3.
2. Select the data lake bucket (`datalake-<region>-<account_id>`).
3. Navigate to the **lib** file folder.

4. Download the **app-variables.js** configuration file.

5. Open the configuration file and update the parameters.

```javascript
var FEDERATED_LOGIN = true;

var LOGIN_URL = "https://<yourDomainPrefix>.auth.<region>.amazoncognito.com/login?response_type=token&client_id=<yourClientId>&redirect_uri=<ConsoleUrl>";

var LOGOUT_URL = "https://<yourDomainPrefix>.auth.<region>.amazoncognito.com/logout?response_type=token&client_id=<yourClientId>&redirect_uri=<ConsoleUrl>&logout_uri=<ConsoleUrl>";
```

Find your specific parameters in your **Amazon Cognito** console:

- To find `<yourDomainPrefix>` and `<region>`, look under **App integration, Domain name**.
- To find `<yourClientId>`, look under **General settings, App clients**. Use the **App client id** attributed to the **data-lake-ui App client**.
- To find `<ConsoleUrl>`, look in the AWS CloudFormation console. Open the stack **Outputs** tab and copy the URL defined in the **ConsoleUrl** parameter.

6. Upload the modified file back to Amazon S3.

7. Use the following link to access your data lake console:

   https://<yourDomainPrefix>.auth.<region>.amazoncognito.com/login?response_type=token&client_id=<yourClientId>&redirect_uri=<ConsoleUrl>

### Appendix C: Solution Components

**AWS KMS Key**

The data lake AWS KMS key (alias: **datalake**) is created to provide encryption of all dataset objects that the solution owns and stores in Amazon S3. Additionally, the AWS KMS key is used to encrypt the secret access key in each user’s Amazon Cognito user pool record for API access to the data lake.
Amazon CloudFront
The solution configures an Amazon CloudFront distribution to serve HTTPS requests for the data lake console.

Amazon S3
The solution uses a default Amazon S3 bucket to store datasets and manifest files associated with packages that users upload to the data lake. Additionally, the bucket stores the manifest files generated for a user when they check out their cart, which is a collection of packages. All access to this bucket (get and put actions from the package and manifest microservices) is controlled via signed URLs. All objects stored in this bucket are encrypted using the data lake AWS KMS key.

A second Amazon S3 bucket hosts the data lake console. This console is a static website that uses Amazon Cognito for user authentication. End users do not have direct access to the S3 endpoint. All access should be done via the Amazon CloudFront distribution.

Amazon Athena with AWS Glue
This solution automatically configures an AWS Glue crawler within each data package and schedules a daily scan to keep track of the changes. The crawlers crawl through your datasets and inspect portions of it to infer a data schema and persist the output as one or more metadata tables that are defined in your AWS Glue Data Catalog.

Once created, this catalog provides a unified metadata repository across a variety of data sources and formats, integrating with Amazon Athena and Amazon Redshift Spectrum to interactively query and analyze data directly in your data lake, and with Amazon EMR, AWS Glue extract, transform, and load (ETL) jobs and any application compatible with the Apache Hive data warehouse so you can categorize, clean, enrich, and move your data.

Note: If you previously created databases and tables using Athena or Amazon Redshift Spectrum, you must upgrade Athena to the AWS Glue Data Catalog. If you are new to Athena, you don't need to make any changes. For more information, see Upgrading to the AWS Glue Data Catalog Step-by-Step.

Amazon Cognito User Pool
The data lake console is secured for user access with Amazon Cognito and provides an administrative interface for managing data lake users through integration with Amazon Cognito user pools. Only Administrators can create users and groups. Once users are created the solution will automatically send an invitation to the user to join the data lake. Note that if you use the federated template, all administrative tasks should be done on the
AD server. When an Administrator creates a new user, he/she will assign the user one of the following roles, with the associated permissions:

- **Member**: The member role can perform non-administrative actions within the data lake. These actions include the following:
  - View and search packages if the owner or visible package is in a member group
  - View and search all packages in the data lake
  - Add, remove, and generate manifests for packages in their cart
  - Create, update, and delete packages they created
  - Create and update metadata on the packages they created
  - Add and remove datasets from the packages they created
  - View their data lake profile and API access information
  - Generate a secret access key if an Administrator has granted them API access

- **Admin**: The admin role has full access to the data lake. The admin role can perform the following actions in addition to the member role actions:
  - Create user invitations and assign users to one or more groups
  - Create, update, delete groups
  - Update, disable, enable, and delete data lake users
  - Assign, delete, and reassign users to groups
  - Create, revoke, enable, and disable a user’s API access
  - Update data lake settings
  - Create, update, and delete governance settings

### Data Lake API and Microservices

The data lake API receives requests via HTTPS. When an API request is made, Amazon API Gateway leverages a custom authorizer (AWS Lambda function) to ensure that all requests are authorized.

The data lake microservices are a series of AWS Lambda functions that provide the business logic and data access layer for all data lake operations. Each AWS Lambda function assumes an IAM role with least privilege access (minimum permissions necessary) to perform its designated functions. The following sections outline each data lake microservice.

#### Admin Microservice
The data-lake-admin-service is an AWS Lambda function that processes data lake API requests sent to the /admin/* endpoints. The admin microservice handles all
administrative services including user and group management, general settings, governance settings, API keys, and role authorization for all operations within the data lake.

**Cart Microservice**
The data-lake-cart-service is an AWS Lambda function that processes data lake API requests sent to the /cart/* endpoints. The cart microservice handles all cart operations including item lists, adding items, removing items, and generating manifests for user carts.

**Manifest Microservice**
The data-lake-manifest-service is an AWS Lambda function that manages import and export of manifest files. The manifest microservice uploads import manifest files, which allows existing Amazon S3 content to be bulk imported into a package. It also generates export manifest files for each package in a user's cart at checkout.

**Package Microservice**
The data-lake-package-service is an AWS Lambda function that processes data lake API requests sent to /packages/* endpoints. The package microservice handles all package operations including list, add package, remove package, update package, list metadata, add metadata, update metadata, list datasets, add dataset, remove dataset, process manifest, run AWS Glue on-demand crawler, list and access AWS Glue tables, and view dataset on Amazon Athena.

**Search Microservice**
The data-lake-search-service is an AWS Lambda function that process data lake API requests sent to /search/* endpoints. The search microservice handles all search operations including query, index document, and remove indexed document.

**Profile Microservice**
The data-lake-profile-service is an AWS Lambda function that processes data lake API requests sent to /profile/* endpoints. The profile microservice handles all profile operations for data lake users, including get and generate secret access key.

**Logging Microservice**
The data-lake-logging-service is an AWS Lambda function that interfaces between the data lake microservices and Amazon CloudWatch Logs. Each microservice sends operations and access events to the logging service, which records the events in Amazon CloudWatch Logs. You can access this log (datalake/audit-log) in the CloudWatch console.

**Amazon DynamoDB Tables**
The data lake solution uses Amazon DynamoDB tables to persist metadata for the data packages, settings, and user cart items. The following tables are provisioned during deployment and only accessed via the data lake microservices:
• **data-lake-packages**: persistent store for data package title and description, and list of groups that can access the package

• **data-lake-metadata**: persistent store for metadata tag values associated with packages

• **data-lake-datasets**: persistent store for dataset pointers to Amazon S3 objects

• **data-lake-cart**: persistent store for user cart items

• **data-lake-keys**: persistent store for user access key ID references

• **data-lake-settings**: persistent store for data lake configuration and governance settings

**Amazon Elasticsearch Service Cluster**

The solution uses an Amazon Elasticsearch Service cluster to index data lake package data for searching. The cluster is accessible only by the search microservice and via Amazon Cognito authentication.

**Appendix D: Collection of Operational Metrics**

This solution includes an option to send anonymous operational metrics to AWS. We use this data to better understand how customers use this solution to improve the services and products that we offer. When enabled, the following information is collected and sent to AWS:

- **Solution ID**: The AWS solution identifier
- **Unique ID (UUID)**: Randomly generated, unique identifier for each data lake solution deployment
- **Timestamp**: Data-collection timestamp
- **Cluster Size**: Size of the Amazon Elasticsearch cluster the solution will deploy

Note that AWS will own the data gathered via this survey. Data collection will be subject to the [AWS Privacy Policy](https://aws.amazon.com/privacy/). To opt out of this feature, modify the AWS CloudFormation template mapping section as follows:

```yaml
Solution:
  Data:
    SendAnonymousUsageData: "Yes"
```


Source Code

You can visit our [GitHub repository](#) to download the templates and scripts for this solution, and to share your customizations with others.
Document Revisions

<table>
<thead>
<tr>
<th>Date</th>
<th>Change</th>
<th>In sections</th>
</tr>
</thead>
<tbody>
<tr>
<td>November 2016</td>
<td>Initial release</td>
<td></td>
</tr>
<tr>
<td>June 2018</td>
<td>Granular permissions, integration of AWS Glue and Amazon Athena</td>
<td>Overview; Cost; Solution Features; Appendix C; Appendix D</td>
</tr>
<tr>
<td>September 2018</td>
<td>Active Directory Federation</td>
<td>Overview; Architecture Overview; Solution Features; Appendix A</td>
</tr>
<tr>
<td>June 2019</td>
<td>Okta Federation</td>
<td>Appendix B</td>
</tr>
</tbody>
</table>

Notices

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