IRA TRANSFER FORM

SMI FUNDS
SMI ADVISORY SERVICES

The IRA Transfer Request Form is used to facilitate the transfer of assets between two IRAs. This form may be used to transfer Traditional, Roth, SEP, or SIMPLE IRA assets from one IRA Trustee/Custodian/Issuer to another. This form should not be used to facilitate a rollover of assets from an employer-sponsored qualified plan or to convert Traditional, SEP or SIMPLE IRA assets to a Roth IRA. If you have any questions regarding this form, please call Shareholder Services at 1-877-764-3863. After completing all relevant sections, sign in ink and return to:

Regular Mail
SMI Funds
PO Box 46707
Cincinnati, OH 45246

Overnight Express Mail
SMI Funds
225 Pictoria Dr, Suite 450
Cincinnati, OH 45246

***If this is for a new IRA Account, an IRA Application must accompany this form**

STEP 1 IRA Owner Information

Please print in ink

First Name, MI

Social Security Number
- -

Last Name

Date of Birth
/ /

Email

Residential Street Address

City **State** **Zip Code**

Daytime Phone
( ) -

Evening Phone
( ) -

STEP 2 Transfer Description

- ☐ **Traditional IRA** to **Traditional IRA**
- ☐ **Roth IRA** to **Roth IRA**
- ☐ **SEP IRA** to **SEP IRA**
- ☐ **SEP IRA to Traditional IRA**
- ☐ **SIMPLE IRA** to **SIMPLE IRA**
- ☐ **SIMPLE IRA** to **Traditional IRA***
- ☐ **SIMPLE IRA** to **SEP IRA***
- ☐ **Inherited Traditional IRA** to **Inherited Traditional IRA**
- ☐ **Inherited Roth IRA** to **Inherited Roth IRA**

*You may not transfer SIMPLE IRA assets to a Traditional IRA until at least two years have elapsed from the time of your initial participation in your employer-sponsor SIMPLE IRA plan.

STEP 3	Instructions to Current IRA Custodian

Current Custodian:
Send the check representing the assets payable along with a copy of this form to:

SMI Funds
FBO [Shareholder Name]
PO Box 46707
Cincinnati, OH 45246

Current IRA Trustee/Custodian/Brokerage ____________________

Account Number ____________________

Address of Current IRA Custodian ____________________

City, State, Zip Code ____________________

Name of Contact ____________________

Contact Phone Number (   )   -

Account Owner:
Please select one option and attach a current account statement. ►

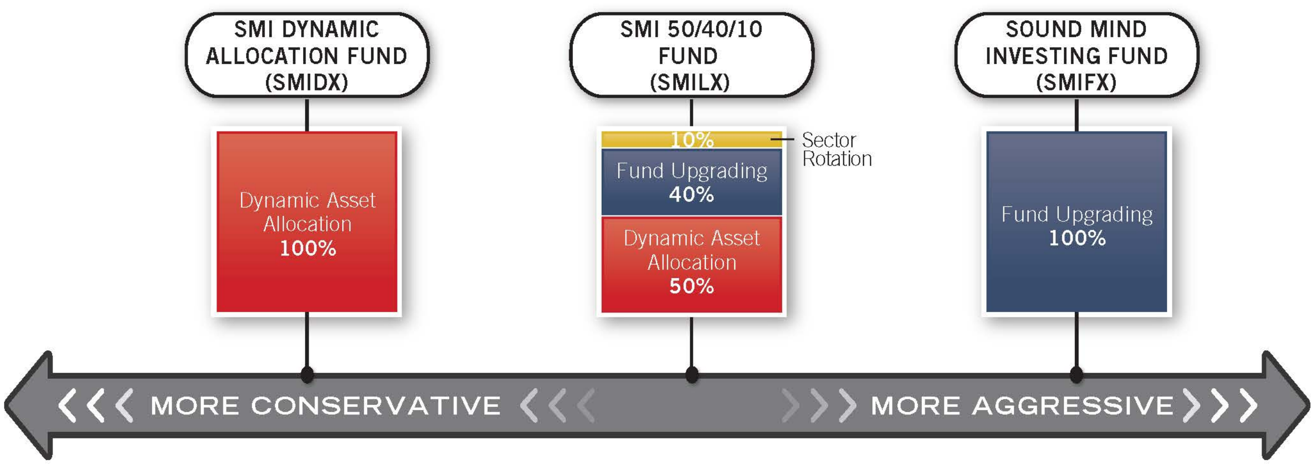
☐ Immediately liquidate all assets and send the cash proceeds to the new IRA Trustee/Custodian.

☐ Transfer-in-kind (transfer SMIFX, SMIUX, SMILX, and/or SMIDX to the new IRA Trustee/Custodian).

☐ Partially liquidate $_______________of the current account and send the proceeds to the new IRA Trustee/Custodian. (Owner: please provide written liquidation instructions).

☐ Other: ____

STEP 4	Investment Choices



☐ **New Account** - Please complete an IRA Account Application to process this transfer. Ignore the table below and go directly to Step 5.

☐ **Existing Account Number:** ____________________

☐ SMI 50/40/10 Fund (SMILX)	%
☐ SMI Dynamic Allocation Fund (SMIDX)	%
☐ Sound Mind Investing Fund (SMIFX)	%
☐ Money Market Fund (GOAXX)	%

STEP 5 Required Minimum Distribution (only complete if over the age of 70 ½)

☐ Keep my RMD in the existing IRA and transfer the balance as instructed.

☐ I have already satisfied my RMD for the year.

☐ Send me my RMD and transfer the remaining IRA balance as instructed above.

STEP 6 Authorization to Speak with SMI Funds About the Status of My Transfer

I authorize the firm surrendering these assets to provide any information related to the status of the transfer to representatives of the SMI Funds. This provision is for information related to the status of the transfer only and no further authorization is required in order for the surrendering firm to provide this information.

STEP 7 Signature of Certification

By signing this IRA Transfer Request Form, I certify that the information I have provided is true and correct. I authorize the current IRA Trustee/Custodian to transfer the IRA assets as instructed above. I understand that I am responsible for ensuring I am eligible to authorize this transfer and I assume all responsibilities for any consequences that arise as a result of my actions. I agree to indemnify and hold the IRA Trustee/Custodian harmless from any consequences related to executing my directions. I have been advised to seek competent legal and tax advice, and have not been provided any such advice from the IRA Trustee/Custodian. I also understand that if this transfer involves a SIMPLE IRA, or if I am subject to the required minimum distribution requirements, special rules apply; and I assume responsibility for my actions regarding those issues.

X

Signature of Owner*

Today's Date (MM/DD/YYYY)

Print Name of Owner

Contact your current custodian to determine if a signature guarantee* is required. ►

New Technology Medallion Signature Guarantee Stamp*
(For transfer from another custodian)

* A signature guarantee may be obtained from any eligible guarantor institution, including banks, savings associations, credit unions, and brokerage firms. The words "SIGNATURE GUARANTEED" must be stamped or typed near your signature being guaranteed. The guarantee must appear with the printed name, title, and signature of an officer and the name of the guarantor institution. Please note that a Notary Public Seal or Stamp is not acceptable.

STEP 8 Acceptance / Custodian Authorization

Unified Asset Services hereby accepts its appointment as Custodian of the above IRA account and upon receipt of assets, will deposit such assets in a SMI Fund IRA on behalf of the Depositor authorizing this transfer.