

LINK BANK ACCOUNT



SMI FUNDS
— SMI ADVISORY SERVICES —

This **Link Bank Account form** is used to authorize a bank account to move money between your bank account and your mutual fund account via ACH (Automated Clearing House - banks' preferred method of transferring funds) on a scheduled basis. If you have any questions regarding this form, please call Shareholder Services at 1-877-764-3863.

Please send completed form to:

Regular Mail

SMI Funds
PO Box 46707
Cincinnati, OH 45246

Overnight Express Mail

SMI Funds
225 Pictoria Dr, Suite 450
Cincinnati, OH 45246

STEP 1 Account Information

- Individual Retirement Account
- Individual Account (non-IRA)
- Joint Account
- Uniform Gift to Minor Act/
Uniform Transfer to Minor Act
- Trust
- Tax Exempt Organization
- Limited Liability Company
- Partnership
- C Corporation
- S Corporation
- Other Entity

Existing Account Number:

Account Owner/Custodian/Trustee Name (First, MI, Last)

Social Security Number

Date of Birth (MM/DD/YYYY)

Account Joint-Owner/Minor/Trustee Name (First, MI, Last)

Custodian's Social Security Number

Date of Birth (MM/DD/YYYY)

Name of Trust / Corporation / Partnership

Tax I.D. Number

Date of Trust (MM/DD/YYYY)

STEP 2 Contact Information

Residential Address (Owner, Custodian, or Trustee)

City **State** **Zip Code**

Daytime Phone

Evening Phone

Email Address

QUESTIONS?

For more information

1-877-SMI-FUND

STEP 3 Bank Account Information

Please tape your check or deposit slip here

Please tape a voided check or a preprinted savings deposit slip over this space OR specify your account details below:

Name of Bank

Name on Account

Account Number **Routing Number (9 digits)**

Checking **Savings**

IF YOU DO NOT PROVIDE THIS NOW, THE PROCESS OF ADDING YOUR BANKING INFORMATION LATER WILL BE TEDIOUS DUE TO ACCOUNT SECURITY

STEP 4 Signatures

By signing this form, I represent and warrant that: (a) I am of legal age in my state of residence and wish to purchase shares of the Funds as described in the current Prospectus; and (b) I have the full right, power and authority to invest in the Funds; and (c) I have received a current Prospectus of the Funds and agree to be bound by its terms; and (d) I understand that no certificates will be issued and that my confirmation statement will be evidence of my ownership of fund shares.

Under penalties of perjury, I certify that: (1) the number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and (2) I am not subject to backup withholding because: (i) I am exempt from backup withholding, or (ii) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (iii) the IRS has notified me that I am no longer subject to backup withholding, and (3) I am a U.S. person.

X

Signature of Owner/Custodian/Trustee

Today's Date (MM/DD/YYYY)

X

Signature of Co-Owner/Co-trustee/Officer

Today's Date (MM/DD/YYYY)