



Use the **HSA Distribution Request Form** for all requests for a distribution from the HSA. If you have any questions regarding this form, please call Shareholder Services at 1-877-764-3863.

**PART I: HSA OWNER INFORMATION (\*DENOTES REQUIRED INFORMATION)**

Name\* (First, M.I., Last) \_\_\_\_\_ Date of Birth\* \_\_\_\_\_ Social Security Number\* \_\_\_\_\_  
 Street Address (Physical Address)\* \_\_\_\_\_ Apartment # \_\_\_\_\_ City\* \_\_\_\_\_ State\* \_\_\_\_\_ Zip Code\* \_\_\_\_\_  
 Daytime Phone\* \_\_\_\_\_ HSA Account/Plan Number\* \_\_\_\_\_

**PART II: DISTRIBUTION INFORMATION**

Person/Entity Requesting Distribution:	Distribution Reason:
<input type="checkbox"/> HSA Owner	<input type="checkbox"/> Normal
<input type="checkbox"/> Authorized Signer: _____	<input type="checkbox"/> Disability
<input type="checkbox"/> Beneficiary: _____	<input type="checkbox"/> Death
Tax ID Number: : _____	<input type="checkbox"/> Prohibited Transaction
DOB: _____	<input type="checkbox"/> Transfer
Address: _____	<input type="checkbox"/> to another HSA of owner <input type="checkbox"/> to HSA of ex-spouse
Relationship to HSA Owner: <input type="checkbox"/> Spouse Beneficiary <input type="checkbox"/> Nonspouse <input type="checkbox"/> Estate	<input type="checkbox"/> Return of Excess Contribution
Year of Death: _____	In what year was the contribution made?: <input type="checkbox"/> Current <input type="checkbox"/> Prior Year
	Excess Contribution Amount: \$ _____
	Earnings Attributable to Excess: \$ _____

**PART III: DISTRIBUTION INSTRUCTIONS**

Amount:  \$ \_\_\_\_\_  Entire HSA  
 Frequency:  Single Distribution  Monthly  Quarterly  Semi-Annual  Annual Beginning Date: \_\_\_\_\_

Investment Choice	Amount or Percentage
SMI 50/40/10 Fund (SMILX)	\$ _____ or _____ %
SMI Dynamic Allocation Fund (SMIDX)	\$ _____ or _____ %
Sound Mind Investing Fund (SMIFX)	\$ _____ or _____ %
Money Market Fund (GOAXX)	\$ _____ or _____ %
	TOTAL: \$ _____ or _____ %

## PART IV: PAYMENT INSTRUCTIONS

\*\* Denotes that a **New Technology Medallion Signature Guarantee Stamp** is required.

**By Mail**

- Mail check(s) to the address of record
- Make check(s) payable to someone other than the account owner (Indicate payee below)\*\*

Make check payable to: \_\_\_\_\_

- Mail check to an address other than the one on the account (Provide address below)\*\*

\_\_\_\_\_  
Street Address (Physical Address)\*          Apartment #          City\*          State\*          Zip Code\*

**Send to My Bank**

Send distributions to my bank by Automated Clearing House (ACH) based on the:

- ACH instructions already established for my IRA          **OR**           Bank Account Information below \*\*

Wire transfer my One Time Distribution (not available for Automatic Distributions) to my bank based on the:

- Bank instructions already established for my IRA          **OR**           Bank Account Information below \*\*

## PART V: PAYMENT METHOD

I authorize the Custodian to withdraw money from my mutual fund IRA and deposit to my bank account. I understand this privilege will be effective after the verification process.

<p>Please tape your check or deposit slip here</p>  <p>IF YOU DO NOT PROVIDE THIS NOW, THE PROCESS OF ADDING YOUR BANKING INFORMATION LATER WILL BE TEDIOUS DUE TO ACCOUNT SECURITY</p>	<p>Please tape a voided check or a preprinted savings deposit slip over this space OR specify your account details below:</p> <p><b>Name of Bank</b> <input style="width: 100%;" type="text"/></p> <p><b>Name on Account</b> <input style="width: 100%;" type="text"/></p> <p><b>Account Number</b> <input style="width: 60%;" type="text"/></p> <p><b>Routing Number (9 digits)</b> <input style="width: 100%; height: 20px;" type="text"/></p> <p><input type="checkbox"/> <b>Checking</b>          <input type="checkbox"/> <b>Savings</b></p>
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\*\* Shareholder Services transfers your assets two business days before the date on which you want them credited to your bank account. On the first day, we initiate a withdrawal from your IRA account. On the second day, we instruct the Custodian to transfer the appropriate assets to the Automated Clearing House (ACH). The ACH then transfers the assets to your bank. On the third day, the assets are credited to your bank account.

**PART VI: ACKNOWLEDGEMENT**

By signing this *HSA Distribution Request Form*, I certify that the information I have provided is true and correct. I understand that I am solely responsible for ensuring I am eligible to authorize this distribution, and I assume all responsibilities for any consequences as a result of my actions. I have been advised to seek competent legal and tax advice and have not been provided any such advice from the Trustee/Custodian. I will indemnify and hold the Trustee/Custodian harmless from any consequences related to executing my instructions, including payments made in error.

Signature of HSA Owner (or authorized signer): X \_\_\_\_\_ Date: \_\_\_\_\_

Signature of HSA Trustee/Custodian Representative: X \_\_\_\_\_ Date: \_\_\_\_\_

**A New Technology Medallion Signature Guarantee Stamp is designed to protect the account from fraud.**

The following institutions are acceptable signature guarantors:

- Participants in good standing of the Securities Transfer Agents Medallion Program (“STAMP”)
- Commercial banks which are members of the Federal Deposit Insurance Corporation (“FDIC”)
- Trust Companies
- Firms which are members of a domestic stock exchange
- Eligible guarantor institutions qualifying under Rule 17Ad-15 of the Securities Exchange Act of 1934, as amended, that are authorized by charger to provide new technology medallion signature guarantee stamps (e.g., credit unions, securities dealers and brokers, clearing agencies and national securities exchanges)
- Foreign branches of any of the above

**Note:** The Transfer Agent cannot honor guarantees from notaries public, savings and loan associations, or saving banks.



**MAILING INSTRUCTIONS**

Please send completed form to:

**Regular Mail Delivery**  
 SMI Funds  
 PO Box 46707  
 Cincinnati, OH 45246

**Overnight Delivery**  
 SMI Funds  
 c/o Ultimus Fund Solutions  
 225 Pictoria Dr, Suite 450  
 Cincinnati, OH 45246