Volume 6, Issue 4 November 2011

Compliance Monthly

COLONIAL ATHLETIC ASSOCIATION



INSIDE THIS ISSUE:

Departments Outside Athletics Hosting Non- athletics, High School, Prep School or 2-year College Personnel	1
SA perfroming Required Work other than Regular Employment	1
Official Visit Expense Money	1
NLI Early Signing Period	2
Limitations on Numbers	3
Recruiting Calendars	4

Thomas E. Yeager Commissioner

Kathleen Batterson
Senior Associate
Commissioner
kbatterson@caasports.com
Office ext. 13

Vincent Pierson Compliance Intern vpierson@caasports.com Office ext. 29

8625 Patterson Ave Richmond, VA 23229 Phone: 804.754.1616

Visit the Compliance Corner on www.CAASports.com

Recent Interpretations On Legislation.

Official Interpretation (October 20, 2011): Departments Outside Athletics Hosting Nonathletics High School, Preparatory School or Two-Year College Personnel

The Legislative Council determined that an institutional department outside the athletics department (e.g., president's office, admissions) may host nonathletics high school, preparatory school or two-year college personnel (e.g., guidance counselors, principals) in conjunction with a home intercollegiate athletics event and may provide such individuals reasonable expenses (e.g., food, refreshments, parking, room) and a nominal gift, provided the visit is not related to athletics recruiting and there is no involvement by the institution's athletics department in the arrangements for the visit, other than providing (in accordance with established policy) free admissions to an athletics event.

[References: NCAA Bylaws 13.8.1 (entertainment restrictions) and 13.8.2 (material benefits)]

Staff Interpretation (October 20, 2011): Student Athlete Performing Required Work other than Regular Employment

The academic and membership affairs staff confirmed that any work performed by a student-athlete (e.g., field or facility preparation for practice or competition) that is required, supervised or monitored by a coaching staff member (including strength and conditioning coaches) or noncoaching staff member with sports specific responsibilities, other than regular employment in accordance with NCAA employment legislation, is a countable athletically related activity.

[References: NCAA Division I Bylaws 11.7.1.1.1.1.1 (exception -- noncoaching staff member with sportspecific responsibilities), 12.4.1 (criteria governing compensation to studentathletes), 15.2.7 (employment) and 17.02.1 (countable athletically related activities); and a staff interpretation (8/9/91, Item No. a), which has been archived]

Staff Interpretation (October 14, 1998): Official Visit Expense Money

Official Visit Expense Money: (I/II/III) The membership services staff confirmed that in accordance with NCAA Bylaw 13.7.5.5, a prospective student-athlete who attends a football banquet while on an official visit must pay the admissions fee for the banquet out of the permissible entertainment allowance provided by the institution.

[Reference: Bylaw 13.7.5.5 (student host)]

NLI EARLY SIGNING PERIOD

Applies to All Sports Except Football, Field Hockey, Soccer and Cross Country/Track & Field

Initial Date for NLI Early Signing Period: November 9, 2011

Last Day of Signing Period: November 16, 2011

Dead Period: Begins at 12:01 am Monday, November 7, and continues until 11:59 pm Thursday, November 10, 2011.

DEAD PERIOD REMINDERS

<u>Contacts, Evaluations & Recruiting Trips</u>. No in-person, on- or off-campus contact with a prospective student-athlete may be made during the Dead Period, including evaluating a prospect or visiting a high school, prep school, or junior college, even if no contact is made with prospects.

<u>Speaking Engagements.</u> Coaches may not serve as speakers at or attend a meeting or banquet at which prospects are in attendance during a Dead Period.

<u>Telephone Calls.</u> Institutional coaching staff members may make unlimited telephone calls to prospects on the initial NLI signing date in the prospect's sport and the two days following the initial NLI signing date (i.e., November 9, 10 & 11, 2011).

Official/Unofficial Visits/Complimentary Admissions. A prospect may not visit your campus on an official (paid) or unofficial (self-paid) visit. Complimentary admissions may not be provided to prospects during a Dead Period.

<u>Delivery of NLI.</u> The NLI cannot be hand-delivered or picked up during the Dead Period or at any other time. It may be delivered and returned by express mail, courier service, regular mail, electronic mail or fax during the NLI signing period.

Written Correspondence. Coaches may write prospects during the Dead Period.

<u>Prior to Signing Athletics Grant-In-Aid (GIA).</u> The following requirements must be met before an institution may provide a written offer of athletically related financial aid to a prospective student-athlete:

- A. Prospect must register with the NCAA Eligibility Center; and
- B. Prospect's name must be placed on the institution's Institutional Request List (IRL) with the NCAA Eligibility Center; and
- C. Prospect must complete the Amateurism Certification Questionnaire administered by the NCAA Eligibility Center.

NEW!! Written Offer of Aid Before Signing Date.

After August 1 of a prospect's senior year in high school an institution may indicate in writing to a prospect that an athletically related GIA will be offered by the institution; however, the institution may not permit the prospect to sign a form indicating his/her acceptance of such an award before the initial signing date in that sport in the NLI Program.

<u>Prior to August 1</u> of a prospect's senior year in high school, an institution shall not, directly or indirectly, provide a written offer of athletically related financial aid or indicate in writing to the prospect that an athletically related GIA will be offered by the institution.

[Reference NCAA Bylaw 13.9.2.2]

NEW!! With the Adoption of Proposal 2010-31 (effective 8-1-11) the restrictions on the forms and frequency of communication between institutional administrators or coaching staff members and a prospective student-athlete (or prospect's relatives or legal guardians) no longer apply <u>beginning the calendar day after the prospect signs a valid NLI or written offer of admission and/or financial aid, or the prospect submits a financial deposit in response to the institution's offer of admission.

Permissible activities for prospects that meet the criteria include:</u>

- A. Prospect is permitted to make an Unofficial Visit during a Dead Period.
- B. There are no limits on the number of Telephone Calls by the institution to a prospect.
- C. A non-coaching institutional staff member or a coach who does not count toward the numerical limitations on head and assistant coaches in Bylaw 11.7.4 may make Telephone Calls to or receive Telephone Calls from the prospect (or the prospect's relatives or legal guardians).
- D. There is no limit on the number of Contacts by the institution with a prospect (or prospect's relatives or legal guardians).
- E. There is no limit on the number of Evaluations by the institution with a prospect.
- F. There is no limit on the forms of Electronically Transmitted Correspondence sent to a prospect (or prospect's relatives or legal guardians).

[Reference NCAA Bylaw 13.4.1.2, Electronic Transmissions]

Educational Column (October 19, 2010) Limitations on the Number and Duties of Coaches - - Responsibilities of Noncoaching Staff Members and Managers (I)

NCAA Division I institutions should note that pursuant to NCAA Bylaw 11.7 any individual who participates in any manner in the coaching of a sport in practice, games or organized activities directly related to that sport must be counted as a coach as stipulated in the limitations for that sport. A strength and conditioning coach does not need to be included in the institution's coaching limitations for a specific sport provided the individual only conducts flexibility, warm up and physical conditioning activities before contests and before or during practices or other organized activities. In addition to maintaining competitive equity, the intent of the coaching limitations is to restrict the actual coaching of student-athletes to those individuals who are identified as coaches.

Managers.

A manager must perform traditional managerial functions (e.g., laundry, equipment, water bottles, running clock at practice) and must be a full-time undergraduate or graduate student, except that during his or her final semester or quarter of a degree program, he or she may be enrolled in less than a full-time program of studies, provided he or she is carrying (for credit) the courses necessary to complete degree requirements. Managers may participate in limited on-court or on-field activities during practice and games (e.g., shagging balls, assisting with drills, throwing batting practice, signaling in plays at the direction of a coaching staff member), in addition to traditional managerial functions. It is not permissible to hire a manager to perform only on-court or on-field activities. Finally, under no circumstances may a manager provide instruction to student-athletes or participate in countable athletically related activities, (e.g., practice player) other than participation in limited on-court or on-field activities, without being counted in the coaching limitations. In baseball, a manager must forfeit any remaining eligibility in the sport at the institution at which the individual serves as a manager.

Noncoaching Staff Members with Sport-Specific Responsibilities.

Noncoaching staff members with sport-specific responsibilities (e.g., administrative assistants, directors of operations, quality control personnel, directors of player development, video coordinators, assistant/associate athletics directors for specific sports), do not have to be included in the institution's coaching limitations, provided they are not identified as coaches and do not engage in any coaching activities, on-court or on-field activities (e.g., shagging balls, assisting with drills, throwing batting practice, signaling in plays at the direction of a coaching staff member), off-campus recruiting activities or scouting of opponents.

For example, if a director of softball operations throws batting practice, then the director of softball operations must be counted toward the sport's coaching limitations in Bylaw 11 even if no skill instruction is provided. Similarly, if a director of football operations signals plays from the sideline during a game, then the director of football operations must be counted toward the sport's coaching limitations in Bylaw 11, even if the plays were being signaled at the direction of a member of the coaching staff.

While noncoaching staff members with sport-specific responsibilities are not permitted to be involved in any manner with practice activities, it is permissible for these individuals to observe practice or organized activities without counting toward the coaching limitations. It is also permissible for noncoaching staff members with sport-specific responsibilities to sit on an institution's bench or in the coaches box or stand on the sidelines during an institution's contest, provided these individuals do not engage in any coaching activities. If an institution permits noncoaching staff members with sport-specific responsibilities to sit on the bench or in the coaches box or stand on the sidelines during games, the institution is responsible for ensuring that these individuals are not engaging in any coaching activities. In addition, noncoaching staff members are prohibited from participating with or observing student-athletes in the staff member's sport engaged in nonorganized voluntary athletically related activities (e.g., pickup games).

Finally, noncoaching staff members with sport-specific responsibilities may participate in organized activities involving only the coaching staff or may perform administrative duties (e.g., attend meetings involving coaching activities, analyze video of the institution's or an opponent's team, track statistics during practice or competition). However, a noncoaching staff member may not be involved in any activity involving athletics evaluations and/or selection of prospective student-athletes.

[References: NCAA Bylaws 11.01.6 (manager), 11.7 (limitations on the number and duties of coaches), 11.7.1.1 (designation of coaching category), 11.7.1.1.1 (countable coach), 11.7.1.1.1.1 (noncoaching activities), 11.7.1.1.1.1 (exception -- noncoaching staff member with sport-specific responsibilities), 11.7.1.2 (recruiting coordination functions), 11.7.2.1.1 (weight or strength coach), 11.7.3.1.1 (weight or strength coach), 11.7.4.2 (exceptions to number limits) and 11.7.4.2.1 (weight or strength coach); and official interpretation (2/11/93, Item No. 9); and staff interpretations (5/2/07, Item No. 1a) and (11/19/97, Item No. b)]

November Recruiting Calendars



Cross Country/ Track & Field								
Su	M	T	W	Th	F	Sa		
		1	2	3	4	5		
6	7	8	9	10	11	12		
13	14	15	16	17	18	13		
20	21	22	23	24	25	26		
27	28	29	30					
	28	29			25	26		

Dead period from Nov. 21 (12:01am) to Nov. 22 (12:01am)

		В	aseb	all				
Su	u M T W Th F Sa							
		1	2	3	4	5		
6	7	8	9	10	11	12		
13	14	15	16	17	18	13		
20	21	22	23	24	25	26		
27	28	29	30					

 Men's Lacrosse

 Su
 M
 T
 W
 Th
 F
 Sa

 1
 2
 3
 4
 5

 6
 7
 8
 9
 10
 11
 12

 13
 14
 15
 16
 17
 18
 13

 20
 21
 22
 23
 24
 25
 26

 27
 28
 29
 30
 8
 9
 10
 11
 12

Quiet Period

Men's Basketball								
Su	M	T	W	Th	F	Sa		
		1	2	3	4	5		
6	7	8	9	10	11	12		
13	14	15	16	17	18	13		
20	21	22	23	24	25	26		
27	28	29	30					

*All evals during the academic year shall be limited to regularly scheduled high school, preparatory school and two-year college practices/tournaments/contests and regular scholastic activities involving SAs enrolled only at that institution.

	Softball									
Su	M	T	W	Th	F	Sa				
		1	2	3	4	5				
6	7	8	9	10	11	12				
13	14	15	16	17	18	13				
20	21	22	23	24	25	26				
27	28	29	30							

*In those states that play high school softball season in the fall, evaluations shall be permissible during those seasons, except during dead periods.

Women's Lacrosse								
Su	M	T	W	Th	F	Sa		
		1	2	3	4	5		
6	7	8	9	10	11	12		
13	14	15	16	17	18	13		
20	21	22	23	24	25	26		
27	28	29	30					

Women's Basketball								
M	T	W	Th	F	Sa			
	1	2	3	4	5			
7	8	9	10	11	12			
14	15	16	17	18	13			
21	22	23	24	25	26			
28	29	30						
	7 14 21	M T 1 7 8 14 15 21 22	M T W 1 2 7 8 9 14 15 16 21 22 23	M T W Th 1 2 3 7 8 9 10 14 15 16 17 21 22 23 24	M T W Th F 1 2 3 4 7 8 9 10 11 14 15 16 17 18 21 22 23 24 25			

*Women's basketball staff members shall not exceed 100 recruiting person days. A recruiting person day is defined as one coach engaged in an off-campus recruiting activity of a women's basketball prospect on one day; two coaches engaged on the same day shall use two recruiting days

Women's Volleyball								
Su	M	T	W	Th	F	Sa		
		1	2	3	4	5		
6	7	8	9	10	11	12		
13	14	15	16	17	18	13		
20	21	22	23	24	25	26		
27	28	29	30					

Football Championship Division							
Su	M	T	W	Th	F	Sa	
		1	2	3	4	5	
6	7	8	9	10	11	12	
13	14	15	16	17	18	13	
20	21	22	23	24	25	26	
27	28	29	30				

*Not exceeding 42 days of evaluation during the months of Sept, Oct, and Nov. (institutional discretion)

Note: This is a color coded visual of the recruiting calendars, please consider this when printing.

Contact Period

Evaluation Period

Dead Period